



## Local Government Performance Assessment

Kaliro District

(Vote Code: 561)

<b>Assessment</b>	<b>Scores</b>
Crosscutting Minimum Conditions	45%
Education Minimum Conditions	45%
Health Minimum Conditions	75%
Water & Environment Minimum Conditions	45%
Micro-scale Irrigation Minimum Conditions	70%
Crosscutting Performance Measures	52%
Educational Performance Measures	81%
Health Performance Measures	66%
Water & Environment Performance Measures	83%
Micro-scale Irrigation Performance Measures	0%

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s):</li> <li>• If so: Score 4 or else 0</li> </ul>	<p>There was evidence that not all DDEG funded projects were functional and utilized as per the project profile. For instance.</p> <p>1. Construction of fuel saving stoves in Buyonjo Primary school was completed (ref. page 96 of the AWP and page 89 of the APR) was not functional for example the Bukuma Nkola Primary school and Gadumire Primary School (Gadumire Sub County) and Buyonjo Primary school (Bumanya sub county) were not in use with schools complaining about lack of fitting saucepans while others lacked vents for example the stove at Buyonjo Primary school.</p> <p>2. Preparation of a detailed physical development Plan for Kyanji Trading Centre was complete (ref. page 94 of the AWP and page 88 of the APR). The plan was functional and in use by Kyanji Subcounty.</p> <p>Whereas, the physical plan was functional, the fuel saving stoves were not functional.</p>	0
2	<p>Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>a. If the average score in the overall LLG performance assessment increased from previous assessment :</p> <ul style="list-style-type: none"> <li>o by more than 10%: Score 3</li> <li>o 5-10% increase: Score 2</li> <li>o Below 5 % Score 0</li> </ul>	Not applicable.	0

Service Delivery  
Performance

Maximum 6 points on  
this performance  
measure

b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.

- If 100% the projects were completed : Score 3
- If 80-99%: Score 2
- If below 80%: 0

There was evidence that DDEG funded investment projects implemented in FY 2019/2020 were completed as per the work plan; Ref: Kaliro District Annual Workplan and quarter 4 performance report.

The LG had planned for 7 projects as per the LG approved workplan 2019/2020 and the progress of implementation was observed as follows.

1. Rehabilitation of Nansololo phase 1 (ref. page 23 of AWP, was 100 % complete as per page 39 of the APR)

2. Rehabilitation of 38 boreholes drilling (ref. page 91 of the AWP, was 100% complete as per page 41 of the APR)

3. Preparation of a detailed physical development Plan for Kyanji Trading Centre (ref. page 94 of the AWP, was 100% complete as per page 88 of the APR)

4. Demarcation of access roads in Kyani Trading centre (ref, Page 94 of the AWP, was 100% complete as per page 88 of the APR).

5. Construction of fuel saving stove in Bukomankoolo and Buyonjo Primary schools (ref. page 96 of the AWP, was 100% complete as per page 89 of the APR)

6. Procurement and installation of a solar panels to planning department (ref. page 106 of the AWP, was 100% as per page 100 of the APR)

7. Career development (ref. page 111 of the AWP, was 125% complete as per page 123 of the APR).

Percentage of completed projects were calculated by dividing completed (7 total projects over 7) projects multiplied by 100 projects completed as per work plan.

This was 100% completion as per the LG Q4 performance report.

Investment  
Performance

Maximum 4 points on  
this performance  
measure

a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:

Score 2 or else score 0.

There was evidence that the District had budgeted and spent on all eligible DDEG projects for the previous FY on eligible projects; Ref Q4 LG performance reports

The LG budget performance for DDEG projects/ activities was as follows.

1. Maintenance and repair of Nansololo phase 1 was budgeted at Ugx. 16,147,721, (Ref: page 8 of the budget estimates 2019/20, and expenditure was 100 % as per page 39 of the Q4 report 2019/20)

2. Rehabilitation of 38 boreholes drilling was budgeted at Ugx. 89,015,000, (Ref: page 45 of the budget estimates, and expenditure was 100% as per page 41, of the Q4 report 2019/20)

3. Preparation of a detailed physical development Plan for Kyanji Trading Centre and demarcation of access roads was budgeted at Ugx. 30,000,000 (Ref: page 48 of the budget estimates, and expenditure was Ugx. 37,701,000 as per page 88 of the Q4 report 2019/20)

4. Construction of fuel saving stove in Bukomankoolo and Buyonjo Primary schools was budgeted at 5, 819,000 (Ref: page 49 of the budget estimates, and expenditure was Ugx. 3,500,000 as per page 89 of the Q4 report 2019/20)

5. Procurement and installation of a solar panels to planning department was budgeted at Ugx. 7,407,000 (Ref: page 56 of the budget estimates, and expenditure was Ugx. page 6,100,000 as per page 100 of the Q4 report 2019/20)

6. Career development was budgeted at Ugx. 21,038,000 (Ref: page 7 of the budget estimates and expenditure was Ugx. 19,087,000 as per page 36 of the Q4 report 2019/20)

7. Monitoring and supervision of rehabilitation of resources was budgeted at Ugx. 4,685,000 (Ref: page 45 of the budget estimates and expenditure was performance was Ugx. 4,685,000, page 124 of the Q4 report 2019/20).

The LG had spent 100% of the budgeted DDEG funds according to Q4 performance reports hence was compliant.

3	Investment Performance  Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,  score 2 or else score 0	Under the DDEG funded projects for the previous FY there were 6 projects.  Out of the sampled DDEG projects i.e. Physical Development Plan for Kyani Trading Center (Bumanya Sub county).  Energy saving stoves in three schools of Bwayuya primary school (Town Council), Bukonankola primary school and Gadumire primary school (Gadumire Sub county) and completion of Nasonsolo Sub county offices.  The variations in contract price of sampled works/supplier for the previous FY contracts were not within +/-20% of the LG Engineers' estimates (Reserve Price) because the engineer did not have both the reserve and contract prices at the time of assessment.	0
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### Performance Reporting and Performance Improvement

4	Accuracy of reported information  Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,  score 2 or else score 0	There was no evidence to verify the accuracy of information on the positions filled in LLGs as per the Minimum staffing standards. The AT could not find the staff list at the LLG of Namugongo S/C because the offices were closed at 12:30pm when the AT arrived. Similarly the staff list of Kasokwe S/C was not svailed to the AT when they visited. Only the staff list of Kaliro T/C was availed to the assessment for verification	0
4	Accuracy of reported information  Maximum 4 points on this Performance Measure	b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:  • If 100 % in place: Score 2, else score 0.  <b>Note: if there are no reports produced to review: Score 0</b>	There was evidence that all DDEG infrastructure projects were in place as per Annual Performance Reports  • Maintenance and repair of Nansololo headquarters subcounty offices (Ref: page 8 of the budget estimates and page 39 of the Q4 report). This was in place and located in Nansolo Subcounty.  • Rehabilitation of 38 boreholes drilling (Ref: page 45 of the budget estimates, and page 41 of the Q4 report). A borehole in Bukomankoolo was in place.  • Construction of fuel saving stove in BuyonjoPrimary school (Ref: page 49 of the budget and page 89 of the Q4 report). This was available and located in Buyonjo Subcounty.	2

5	Reporting and Performance Improvement  Maximum 8 points on this Performance Measure	a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;  If there is no difference in the assessment results of the LG and national assessment in all LLGs  score 4 or else 0	Assessment of LLGs had not started	0
5	Reporting and Performance Improvement  Maximum 8 points on this Performance Measure	b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.  Score: 2 or else score 0	Assessment of LLGs had not started	0
5	Reporting and Performance Improvement  Maximum 8 points on this Performance Measure	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:  Score 2 or else score 0	Assessment of LLGs had not started	0

### Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff  Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th, with copy to the respective MDAs and MoFPED.  Score 2 or else score 0	The LG consolidated and submitted their staffing requirements for next FY 2021/2022 to MoPS. It was however received at MoPS and MoFPED on 6/10/2020 beyond the deadline of 30/9/2020. It requested for 156 staffing positions.	0
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7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>The LG had conducted tracking and analysis of staff attendance for the first and second quarters of 2019/2020. The LG had submitted the second quarter analysis report to the MoPS and MoLG as indicated by the stamps of receipt at both ministries reading 17/1/2020. The analysis covered a total of 251 staff who attended with the best performer having worked for 63 days in the quarter and the least being Mr. Saade Ahmed the communications Officer having attended for 33 days in the quarter.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>The LG had 9 Departments and appraised the HoDs for 2019/2020 as follows.</p> <ol style="list-style-type: none"> <li>1. The D/CAO who was the head of Administration was appraised from the Centre and his performance report was not with the PHRO.</li> <li>2. Ms. Namukose Irene, the Head Community Development Department was appraised by the CAO Mr. Kizito Mukasa Fred on 24/6/2020 with overall performance rating of 4.</li> <li>3. Mr. Mutome Godfrey the Ag. CFO and Head of Finance and Planning Department was appraised by the CAO on 24/6/2020 with overall performance rating of 4 (80%)</li> <li>4. Mr. Fred Mbalumya Max the District Production Officer was appraised by the CAO- Mr. Kizito Mukasa Fred on 8/7/2020 with overall performance rating of 4 and with comments 'a very good performance by the Officer'.</li> <li>5. Mr. Nyonyi Paul the Ag. District Engineer and head of Works department was appraised by the CAO on 30/6/2020 with overall performance rating of 4.</li> <li>6. Mr. Kamaga Edward, the DEO was appraised by the CAO on 30/6/2020 with overall performance rating of 4</li> <li>7. Dr. Katamba Allan Ssemakula the Ag. District Health Officer was appraised by the CAO on 14/9/2020 without overall performance rating score.</li> <li>8. Mr. Muwanika Christopher the Ag. District Commercial Officer and head of Trade and Industry was last appraised by the D/CAO – Mr. Ogwanyu Emmanuel Peter on 23/7/2019 with overall performance rating of 4</li> <li>9. Mr. Diogo Paul, the Ag. District Natural Resources Officer was appraised by the CAO on 25/6/2020 with overall performance rating of 4.</li> </ol>	0

Performance management

Maximum 5 points on this Performance Measure

ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:

Score 1 or else 0

The LG had the rewards and Sanction committee in place that was functional. The committee in one of their meetings that sat on 7/11/2019, the members Present were;

1. Mr. Akubonabona Yusuf (PAS) - Chairperson
2. Mr. Kamaga Edward (DEO) – Member
3. Mr. Diogo Paul (Ag. DNRO) – Member
4. Ms. Kwagala Rebecca (SHRO) – Secretary
5. Mr. Sabagabo John (SHI) – Member
6. Dr. Katamba Allan Ssemakula (DHO) – Member.

Under Min. R&S/5/11/2019: Discussion of Q1 Disciplinary Report FY 2019/2020; the members received the disciplinary report that was submitted to MoPS and MoLG and thanked the SHRO for the work done. They however noted that there is delay by the DSC to conclude the disciplinary cases.

The CAO appointed members of the committee on 11/12/2018 in a letter ref: CR 157/1 as indicated above.



Performance management

Maximum 5 points on this Performance Measure

iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.

Score 1 or else 0

The LG had a consultative committee composed of 10 members appointed by the CAO on 2/3/2020 according to the appointment letter dated 2/3/2020 but not referenced.

The committee sat on 13/5/2020 in the board room with the following Agenda;

1. Prayer
2. Self introduction
3. Communication from the chairperson
4. Reaction to 3 above
5. Closure.

The members under Min. 4 – reactions, the members as a way forward resolved that ‘members should sensitize the staff about the existence of the committee and members should stick to their roles and acquire copies of the act’. The members present in this meeting were;

1. Ogwayu Emmanuel, D/CAO – C/person
2. Isiko James, HRO –Sec
3. Nkyadi Simon, Senior Accountant- Member
4. Jumire Nelson, Ag. SLO – member
5. Akubonabona Yusuf, PACAO-Member
6. Balyejjusa Ronald, SACAO – Member.

8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>The LG recruited a total of 38 staff in the previous FY 2019/2020. Not all staff recruited during the year 2019/2020 accessed the salary pay roll not later than two months after the appointment.</p> <ol style="list-style-type: none"> <li>1. Ms. Naseeta Rebecca, a porter was appointed on 16/4/2020 and accessed payroll in September 2020</li> <li>2. Mr. Mwaliro Jackline, an Education Assistant was appointed on 28/6/2019 and accessed pay roll in July 2019,</li> <li>3. Mr. Isooba Michael, a Laboratory Assistant was appointed on 16/4/2020 and accessed payroll in September 2020</li> <li>4. Ms. Nakwanyifatumah an Asst., Fisheries officer was appointed on 16/4/2020 and accessed payroll in Sept. 2020</li> <li>5. Mr. Muwanga Daniel, an Education Assist. was appointed on 16/4/2020 and accessed payroll in Sept. 2020.</li> </ol>	0
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9	<p>Pension Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:</p> <p>Score 1.</p>	<p>The LG retired 14 staff. All the staff that retired during the previous FY accessed the pension payroll not later than two months after retirement.</p> <ol style="list-style-type: none"> <li>1. Mr. Ntuyo David Kalibanaki an Education Assistant, IPPS 521196 retired on 6/11/2019 and accessed pension payroll in January 2019</li> <li>2. Mr. PiidoBukoosi a Forest Ranger IPPS 820490 retired on 8/10/2019 and accessed Pension in November 2019.</li> <li>3. Mr. Tenywa Grace, a Senior Education Assistant IPPS 519364 retired on 6/8/2020 and accessed pension payroll in October 2020</li> <li>4. Ms. Guluka Elizabeth Ruth Tibiwa a Senior Education Assistant retired IPPS 521767 retired on 23/11/2019 and appeared on the pension payroll in October 2019</li> <li>5. Mr. Lumi Fred an Education Assistant IPPS 520995 retired on 31/1/2020 and accessed pension in February 2020</li> </ol>	1
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**Management, Monitoring and Supervision of Services.**

10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure or else score 0</p>	<p>a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:</p> <p>Score 2 or else score 0</p>	<p>The approved DDEG budget for the District FY 2019/2020 was Ugx. 506,976,000 (Ref: page 4 of the Approved budget estimates, dated 27th May 2020).</p> <p>The LG had twelve sub counties and a total of Ugx. 332,584,836 DDEG funds was transferred to LLGs for all quarters 2019/20 as follows.</p>	2
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Measure

In Q1 the LG transferred a total of Ugx. 110,861,612 DDEG funds to LLGs on 12th August 2019 as follows.

1. Namwiwa Ugx.11,398,230VR. No.0171
  2. Bukamba Ugx.11,144,023VR. No.0154
  3. Budomero Ugx.10,353,157VR. No. 1703
  4. Nansololo Ugx.6,991,976VR. No.1000
  5. Kisinda Ugx.4,930,075VR. No.0172
  6. Buyinda Ugx.5,071,301VR. No.1003
  7. Kasokwe Ugx.7,246,183VR. No.3327
  8. Gadumire Ugx.11,059,287VR. No.3237
  9. Bumanya Ugx.10,889,816VR. No.1553
  10. Nawaikoke Ugx.9,647,026VR. No.1551
  11. Namugongo Ugx.8,884,405VR. No.1623
  12. Kaliro TC Ugx.13,246,135VR. No.1624
- Total Ugx. 110,861,612

In Q2 the LG transferred a total of Ugx. 110,861,612 DDEG funds to LLGs on 11th November 2019 as follows.

1. Namwiwa Ugx 11,398,230VR. No. 0160
  2. Bukamba Ugx 11,144,023VR. No. 1460
  3. Budomero Ugx 10,353,157VR. No.0162
  4. Nansololo Ugx 6,991,976VR. No.1605
  5. Kisinda Ugx 4,930,075VR. No.3353
  6. Buyinda Ugx 5,071,301VR. No.1176
  7. Kasokwe Ugx 7,246,183VR. No.1665
  8. Gadumire Ugx 11,059,287VR. No.1175
  9. Bumanya Ugx 10,889,816VR. No.3244
  10. Nawaikoke Ugx 9,647,026VR. No.3455
  11. Namugongo Ugx 8,884,405VR. No.1603
  12. Kaliro TC Ugx 13,246,135VR. No.1606
- Total Ugx. 110,861,612

In Q3 the LG transferred a total of Ugx. 110,861,612 DDEG funds to LLGs on 22nd January 2020 as follows.

1. Namwiwa Ugx 11,398,230 VR.No.0165
2. Bukamba Ugx 11,144,023 VR. No. 1165
3. Budomero Ugx 10,353,157VR. No.1607

4. Nansololo Ugx 6,991,976VR. No.1579
  5. Kisinda Ugx 4,930,075VR. No.1233
  6. Buyinda Ugx 5,071,301VR. No.1190
  7. Kasokwe Ugx 7,246,183VR. No.0164
  8. Gadumire Ugx 11,059,287VR. No.1183
  9. Bumanya Ugx 10,889,816VR. No.1193
  10. Nawaikoke Ugx 9,647,026VR. No. 1575
  11. Namugongo Ugx 8,884,405VR. No.1609
  12. Kaliro TC Ugx 13,246,135VR. No.1455
- Total Ugx. 110,861,612

Thus, the percentage of DDEG funds transferred to LGs was  $(332,584,836/506,976,000) \times 100$

= 65.6% of DDEG funds were transferred to LLGs thus the LG was compliant.

10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:</p> <p>Score: 2 or else score 0</p>	<p>From the IFMIS report, GOU Approved Warrant Report Kaliro District Ref: 01-Jul-2019 to 30-Jun-2020, dated 30-11-2020, the District had warranted DDEG funds for the FY 2019/2020 as follows.</p> <ul style="list-style-type: none"> <li>• Q1 DDEG funds cash limits were received on 24th July 2019, warranted on 27th July 2019, Ref: Warrant No. 561-AW-20-4</li> <li>• Q2 DDEG funds cash limits were received on 07th October 2019, warranted on 28th October 2020, Ref: Warrant No.561-AW-2020-9</li> <li>• Q3 DDEG funds grant cash limits were received on 19th January 2020, warranted on 19th January 2020, Ref. warrant no.561-AW-2020-9</li> </ul> <p>With reference to the above, Q2 DDEG funds were warranted after 5 days from the time of receipt of cash limits from MoFPED, thus the LG was not compliant.</p>	0
10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of funds release in each quarter. While Finance had the invoices on file, there were no communications to LLGs that were availed at the LG or the 3 LLGs that were sampled and visited by the assessor.</p>	0

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG conducted supervisory visits to assess the construction of DDEG projects during FY 2019/2020 as indicated below.</p> <p>Quarter 1 monitoring visit was conducted on 15 to 16 October 2019 as observed from DDEG monitoring report dated 15th October 2019 prepared by the LG Statistician. The planning unit monitored the LLGs of Kisinda, Gadumire and Budomero and Bukamba, Nansolo, Nawaikoike Subcounties</p> <p>Quarter 2 monitoring was conducted on 19 December 2019 as observed from the monitoring report of DDEG projects dated 19 December 2019 prepared by the LG Statistician. The LG monitored Buyinda, Namugongo, Bukamba, Bumanya, Gadumire subcounties.</p> <p>Quarter 4 monitoring was conducted from 17th to 24th June 2020 as observed from the report on monitoring DDEG projects dated 24th June 2020 prepared by the LG Statistician. The LG monitored and supervised projects in Kaliro TC, Namugogo SC, Bumanya, Kasokwe, Bukamba, Namwiwa, Kisinda, Gadumire, Budomero Subcounties.</p> <p>Kaliro District conducted three supervisory monitoring visits to DDEG funded projects, there was no evidence of Q3 mentoring/supervisory visit report thus, LG was not compliant.</p>	0
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that monitoring reports were discussed by the TPC and identified issues were recommended for action for instance.</p> <p>The TPC meeting held on 27th November 2019 Ref: Min 09/DTPC/Nov/2019 discussed monitoring reports for Q1 and Q2 DDEG projects,</p> <p>The meeting recommended that the LLGs locate more DDEG funds for routine maintenance for the community access roads and consider providing offshoots for the roads graded and maintained. Swamp need lines of culverts. District Plan and SAS.</p>	2

**Investment Management**

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p>	<p>The LG maintained an Asset Register as per format in the PBS which did not conform to the formats outlined in the Local Governments Financial and Accounting Manual 2007. For instance, the Assets register for Finance was General in nature as it had the records of chairs tables filing cabinets and computers while that of Water and Roads contained records of Motor Vehicles and Heavy plants.</p>	0
		<p><b>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</b></p>	<p>Besides, the Asset Register in place was not updated as additions for the FY 2019/20 were not reflected for example residential buildings worth Ugx. 2,483,502,422, Moto vehicles and bicycles worth Ugx. 28,000,000, worth Ugx. Machinery and equipment 59,141,544, Furniture and fittings worth Ugx. 45,647,000 and others worth Ugx. 19,100,000 all cumulatively totaling to Ugx. 2,635,391,366.</p>	
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The LG had a Board of Survey of FY 2019/20 which was submitted to the Accountant General, MoFPED on 28th August 2020 in a letter dated 20th August 2020. On page 8 of the board of survey, there was a follow up on the previous board of survey, and part of action taken was acquisition of the District land title. For assets that were to be disposed off the process was on-going.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>The Chief Administrative Officer had on 6th October 2014, under reference No Min28/KDLC/APR/2014/2015 appointed 12 Members to the Committee and they included the following.</p> <ol style="list-style-type: none"> <li>1. Akubonabona Yusuf designated as Principal Assistant Secretary (Chairperson)</li> <li>2. Takuwa Janet designated as Physical Planner (Secretary)</li> <li>3. Diogo Paul designated as Acting District Natural Resources Officer (Member) assigned on 8th December 2018 under reference CR 156/1</li> <li>4. Namukose Irene designated as District Community Development Officer</li> <li>5. Dr. Katamba Allan designated as District Health Officer (Member)</li> <li>6. Sajja Samuel designated as Senior Agricultural Officer (Member)</li> <li>7. Edhaya David designated as District Water Engineer (Member)</li> <li>8. Nyonyi Paul designated as District Engineer</li> </ol>	2

(Member)

9. Kamaga Edward designated as district Education Officer (Member)

10. Kategere Edward designated as Town Clerk Namwiwa Town Council (Member).

11. Diogo Paul designated as District Environmental Officer (Member)

12. Naita Julius designated as Senior lands officer (Member)

Whereas the existing Committee was functional during the FY 2019/2020, it was not fully constituted as it lacked a Physical Planner in Private Practice as evidenced by the minutes of meetings held as presented below:

- Quarter 1 meeting was held on 24th September 2019, Ref: Min 01/2019-20(8) of 24/09/2019 approval of land application files. Minutes of the physical planning committee proceedings were submitted to the Commissioner Physical Planning Department in a letter dated 15th November 2019. Ref: CR.
- Quarter 2 meeting was held on 20th December 2019, Ref: Min 02/2019-20(7) of 20/12/2020 approval of land application files and development. Minutes of the physical planning committee proceedings were submitted to the Commissioner Physical Planning Department in a letter dated 8th June 2020 which Ref: CR.
- Quarter 3 meeting was held on 12th March 2020, Ref: Min 03/2019-20(6) of 12/03/2020 discussed development plans. Minutes of the physical planning committee proceedings were submitted to the Commissioner Physical Planning Department in a letter dated 15th July 2020 Ref: CR.
- Quarter 4 meeting was held on 26th May 2020, Ref: Min 04/2019-20(5) of 26/05/2020 discussed the land application files and development applications, approval of an area action plan for Kyani Trading centre. Minutes of the physical planning committee proceedings were submitted to the Commissioner Physical Planning Department in a letter dated 27th July 2020. Ref: CR.

All the 4 sets of minutes were submitted.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects; Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the LG Development Plan; (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG conducted desk appraisal of investment DDEG funded projects in FY 2019/2020</p> <p>In the Kaliro District Development Plan (DDP) 2015/16 to 2019/20 the LG planned for capacity building activities on page 160, drilling of 17 deep wells and Rehabilitation of 38 boreholes on page 163, procurement of office equipment, furniture and solar batteries on page 163.</p> <p>The TPC meeting held on 23rd May 2019; Min 3/TPC/2019 discussed departmental prioritized projects FY 2020/21 in preparation for the budget conference and meeting the BFP submission deadlines.</p> <p>During this meeting, the departments presented the project profiles and the funding that was availed for DDEG</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that the LG conducted field appraisal for investment projects to check for feasibility, environmental and customized design for investment projects.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>There was evidence that project profiles were developed and prepared for investments in the AWP and the formats were inline with the LG planning guidelines.</p> <p>The TPC meeting held on 30th January 2020; Min 8/DTPC/Jan 2020 presented and discussed the project profiles and workplans 2020/21</p> <p>From a sample of projects, the format of project profile was as below,</p> <p>Department: Education and Sports</p> <p>Sector: Education</p> <p>Code: KDLG-06-121470-001</p> <p>Project Name: Construction of 1-5 stance lined pit latrine at each of the 10 sites:</p> <p>Location:Bugoodo P/S, Kiwa-Nabuzi P/S , Bukamba P/S, Nantamali P/S, Buwangala P/S, Kalalu P/S, Kisinda P/S, Namukooge P/S, Butambala P/S, Namejje P/S</p>	1



Implementation Agency: Kaliro District Council

Total Planned expenditure: 20,000,000 x 10

Funds Secured:Shs ...200,000,000

Funding gap: NIL

Funding Source: SFG

Operating cost:Shs200,000,000

Start date: July 2020

Completion date: July 2021

Objectives:To improve on sanitation for pupils

BackgroundWhen Kaliro District was created the Education, department realized that the schools were few with good sanitation. Pupils and teachers did not have enough pit latrines causing poor sanitation, hygiene, and school dropouts. Aware of the importance of education to Ugandans and Kaliro in particular, Kaliro District secured funding in form of SFG to provide more pit latrines in the district

Technical Description: Construction of lined pit latrines, 1-5 stance lined pit latrine

Monitoring and Supervision

The School Management Committee shall take full responsibility by supervising work, checking the quality of works and materials provided on the site and approving the certificate of works issued by the District Engineer.

The Chief Administrative Officer, the District Education Officer and the District Engineer will also monitor and supervise during construction

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:

Score 2 or else score 0

There was evidence that the LG had screened for environmental and social risks/impact and put mitigation measures were required before being approved for construction using checklists for example;

There was evidence that the LG had carried out Environmental, Social and Climate Change screening for;

i. Screening forms for Road demarcation in Kyani Trading Centre Kaliro District local Government. Form filled out by the District Natural Resources Officer Mr. Diogo Paul on 16th July 2019.

ii. Screening forms for Area action plan for Kyani Trading Centre Kaliro District local Government. Form filled out by the District Natural Resources Officer Mr. Diogo Paul on 16th July 2019.

iii. Screening forms for Construction of Energy saving stoves at Bukumankoola primary school and Buyonjo primary school in Kaliro District local Government. Form filled out by the District Natural Resources Officer Mr. Diogo Paul on 16th July 2019.

However the CDO had not endorsed on the screening forms yet the indicator required that both CDO and Environment Officer endorse therefore the LG scored zero.

<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>There were only 3 Infrastructure project for the current FY implemented using the DDEG was incorporated in the LG procurement plan of 2020/2021. The date for the District Local Government Council Meeting was not known and so was the Minute.</p> <p>However, PPDA-Office (Home) received on 22 October 2020</p> <p>MFPEd received on 22nd October 2020.</p> <p>AWP- Its approval date and minute not available.</p> <p>However, the infrastructure projects for the current year to be implemented using DDEG were incorporated in the seen procurement plan. For instance:</p> <p>a) Construction of 2 Institution Energy Saving Stove at Nawapiti Primary school (Bukamba S/C) and Panyolo Primary School (Gadumire Sub County). S/No 5 Page 3</p> <p>b) Maintenance of Construction of Kasokwe-Kirumbi-Kibwanguusyo Road in Kasokwe Sub county. S.No.2 Page 19</p> <p>c) Partial Construction of Administration block for Budomero Sub county S. No 4, Page 18.</p>
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13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>a) Minute of the 4th District Contracts Committee Meeting held in PDU office on 14th October 2020 for the Partial construction of Administration block for Budomero Sub county S. No 4, Page 18: Min26/DCC/2020-2021. Procurement Referenced No. KALI561/Wrks/2020-2021/00046 worth UGX. 193, 373,680.</p> <p>The awardee contractor was: M &amp; I TukoleBukozi Company.</p> <p>b) Minute of the 4th District Contracts Committee Meeting held in PDU office on 14th October 2020 for Maintenance of Kasokwe-Kirumbi-Kibwanguoyo Road in Kasokwe Sub county. S.No.2 Page 19</p> <p>Min26/DCC/2020-2021. Procurement Reference No. KALI561/SUPLS/2020-2021/00040 (Culverts) and KALI561/SUPLS/2020-2021/00041 (Murrum) under Framework contract.</p> <p>The awarded contractor was: M/s. Yeze Solutions Uganda Ltd (Culverts) and Namukoma Investments Ltd (Murrum)</p> <p>This is on Force Account.</p> <p>c) Construction of 2 Institution Energy Saving Stove at Nawapiti Primary school (Bukamba S/C) and Panyolo Primary School (Gadumire Sub County). S/No 5 Page 3-Not yet Procured</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>There was no evidence of Project Implementation Team</p>	0

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:</p> <p>Score 1 or else score 0</p>	<p>Construction of 3 Institution Energy Saving Stove at BukumaNkola Primary school and Gadumire Primary School (Gadumile Sub County) and Buyonjo Primary school (Bumanya sub county)</p> <p>Site Visit:</p> <p>Field findings indicate that the stoves are all not in use with schools complaining about lack of fitting saucepans.</p> <p>Some lacked vents e.g. the stove at Buyonjo Primary school.</p> <p>Others have cracked floors e.g. Stove at both Buyomjo and Gadumire Primary school</p> <p>Completion report dated</p> <p>Construction of 5 stance pit latrine at Gadumire primary school.</p> <p>Site Visit indicated that whereas the latrine was available build as per specifications apart from lack of ramp for accessibility of PWD and it had only one vent.</p> <p>Completion report dated not available</p> <p>For Bukamba Seed Secondary School and Nansoloo Sub county headquarters whose construction works involved fixing a beam, roofing and plastering. These two were in accessible as the road was impassable. Attempts to use an alternative route through Luuka District via Busadha, Nantamali, Nawaikoka proved very far over 50 Km.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0</p>	<p>From the sampled infrastructure projects, not all the projects (2/3) showed evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in the previous FY. Secondly, two out of three sampled projects had signed S&amp;E compliance certificates signed. using the following parameters the details follow:</p> <p>Physical Development Plan Kyani Trading Center (Bumanaya Sub-county)</p> <ul style="list-style-type: none"> <li>• Procurement Ref No: KALI561/SRVCS/2019-2020/00016</li> <li>• Contractor: Spatial Data Ltd</li> <li>• AWP No: S.No 14. Page 2</li> <li>• Certification files: Nil</li> <li>• Completion certificates: 11th June 2020</li> <li>• LPO dated: 11th June 2020</li> </ul>	0

- Voucher Payment No: Nil
- Dated: Nil
- Signed S&E compliance: 4th June 2020
- Supervision Progress Report on the sensitization of physical planning of Kyani Trading center dated 1st November 2019.
- Report on Monitoring and supervision of the preparation of Kyani Physical development plan date 28th May 2020.

Energy-saving stoves in three schools of Bwayuya (Town Council), Gadumire (Gadumire Sub-county)

- Procurement Ref No: KALI561/SRVCS/2019-2020/00053
- Contractor: Mercy Uganda Ltd
- AWP No: 15 pages 2
- Certification files: Nil
- Completion certificates: 28th August 2020
- LPO dated NIL
- Voucher Payment No: NIL
- Dated: Nil
- Signed S&E compliance: 29th May 2020
- Supervision Progress Report for the construction of energy-saving stoves in schools of Bukumankola and Buyonjo dated 28th May 2020, and 27th May 2020

Completion of Nasonsolo Sub-county offices.

- Procurement Ref No: File Not available
- Contractor: Spatial Data Ltd
- AWP No: Nil page Nil
- Certification files: Nil
- Completion certificates: Nil
- LPO dated Nil
- Voucher Payment No:
- Dated:
- Signed S&E compliance:
- Supervision progress Report on the sensitization of physical planning of Kyani Trading center dated 1st November 2019. NRO, SAS Bumanaya S/C, etc. were among the people present.
- Report on Monitoring and Supervision of the preparation of Kyani Physical development plan date

28th May 2020. Members not captured.

• Supervision progress Report for the construction of energy-saving stoves in schools of Bukumankola and Buyonjo dated 28th May 2020 by the district engineers and 27th May 2020 by the Senior Environmental officer. However, no members were indicated on the report.

13

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):

Score 1 or else score 0

The LG did not have verified works (certified) and initiated payments of contractors within specified timeframes as per contract for instance all the three sampled projects neither indicated known completion certificates, when the requisition was initiated by the contractor, signed S&E compliance certificates nor evidence that payments were received. Details are further provided below:

a) Rehabilitation of Water points unknown (Framework contract, a quotation is dependent on the availability of funds)

• Procurement Ref No: KALI561/SUPLS/2019-2020/00023.

• Contractor: Kaliro Hand Pump Mechanics Association

• AWP No: S. No. 6 Page 1

• Certification files: N/A

• Completion certificates: Nil

• LPO dated Nil

• Voucher Payment No: Nil

• Dated: Nil

• Signed S&E compliance: Nil

b) Construction of Institutional Energy-saving Stove at Buyonjo and Bukumankola Primary schools

Procurement Ref No: KALI561/WRKS/2019-2020/00053.

• Contractor: Mercy Uganda Ltd

• AWP No: S. No. 15 Page 2

• Certification files: Nil

• Completion certificates: Nil

• LPO dated Nil

• Voucher Payment No: Nil

• Dated: Nil

• Signed S&E compliance: Nil

0

c) Connection of electricity to Natural Resource department at the Headquarters. S. No. 15 Page 2

- Procurement Ref No: Not reported
- Contractor: User just requests and report back PDU
- AWP No: S. No. 15 Page 2
- Certification files: Nil
- Completion certificates: Nil
- LPO dated Nil
- Voucher Payment No: Nil
- Dated: Nil
- Signed S&E compliance: Nil

13

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0

The LG did have a complete Procurement plan for current FY and on file.

Contracts register 2020/2021 available. Six pages in total.

Procurement files available: For instance:

a) Construction of a two classroom block with office and store at Kibembe Primary school (Gadumile Sub-county)

Minutes of the 2nd District Contracts Committee Meeting held on 10th August, 2020 at the PDU offices for the Min12/DCC/2020-2021.

• Advert: ODB dated Friday 3rd July 2020 in the Daily Monitor

• Requisition forms PPF1: 30th June 2020

• The Evaluation report dated: 6th August 2020

• Minutes of Min12/DCC/2020-2021 District Contracts Committee Meeting decision date: 10th August, 2020

• Procurement Ref No. KALI561/WKRS/2020-2021/00014

• BEB Notice: 25th August 2020

• Letter of Bid Acceptance: 8th September 2020

• Bidder's acceptance: 14th September 2020

• The awardee contractor: Ms. Bulomu Enterprises Ltd

• Contract agreement: 14th September 2020

• Amount: worth UGX. 59,997,000.

b) Construction of a two classroom block with office and store at Kahango Primary school (Budomero

0



Sub-county)

Minutes of the 2nd District Contracts Committee Meeting held on 10th August, 2020 at the PDU offices for the Min12/DCC/2020-2021.

- Advert: ODB dated Friday 3rd July 2020 in the Daily Monitor
- Requisition forms PPF1: 30th June 2020
- The Evaluation report dated: 6th August 2020
- Minutes of Min12/DCC/2020-2021 District Contracts Committee Meeting decision date: 10th August, 2020
- Procurement Ref No. KALI561/WKRS/2020-2021/00015
- BEB Notice: 25th August 2020
- Letter of Bid Acceptance: 8th September 2020
- Bidder's acceptance: 8th September 2020
- The awardee contractor: Ms. Mercy Uganda Ltd
- Contract agreement: 14th September 2020
- Amount: worth UGX. 59,998,892.

## Environment and Social Safeguards

14

Grievance redress mechanism operational.

Maximum 5 points on this performance measure

a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.

Score: 2 or else score 0

The LG had evidence of a designated person to coordinate response to feed-back (grievance / complaints) under letter of appointment dated 5th June 2019, Ref: CR 200/55. Re: Assignment of duties as a focal point person for complaints and grievance handling to Ms.Saade Ahamed. Her tasks were to receive, record and forward to relevant offices a form of complaint from all departments. The letter was authored by the District CAO, Mr. Kizito Mukasa Fred.

There was further evidence of a centralized grievance committee with relevant department heads as seen under letter of appointment dated 20th August 2019 for FY 2019/2020. The members included: Mr. Akubonabona Yusuf the PAS as chairperson, Mr. Diogo Paul the Natural Resources Officer as a member, Ms. Mutesi Eunice the Assistant District Water Officer as secretary, Mr. Nsako James the HRO as member, Ms. Kagoye Irene the SAS as a member, Ms. Saade Ahamed as Grievance Redress Coordinator.

2

14	Grievance redress mechanism operational.	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.	<p>The LG had a specified a system for Recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action for example;</p> <p>i. There was a Grievance log book with three grievances logged like on 3rd February 2020 Ms. Nambote Eve filed a complaint of none payment of her salary. Action taken: The complaint was forwarded to HRO for consideration and she was eventually paid her salary according to a copy of payment slip seen. (Payment slip for Nambote Eve employee No. 0000000020538, run date 28th February 2020. Employee Position: Stenographer secretary Admin. Kaliro District).</p> <p>ii. Mr. Waako John of Namwiwa Trading Centre logged a complaint on 10th June 2020 about delayed access to water. Action taken: The Water officer took up the matter however there was no evidence of its conclusion at the time of assessment.</p> <p>iii. Mr. Mutalemwe Muhammed of Nawaikoke Trading centre logged a complaint on 5th June 2020 about delayed salary payment. Action taken: It was forwarded to the HRO for consideration, however it was not yet concluded at the time pf assessment.</p>	2
	Maximum 5 points on this performance measure	If so: Score 2 or else 0		
14	Grievance redress mechanism operational.	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	<p>There was evidence that the LG had evidence of a defined complaints referral path, and public display of information at district/municipal offices for example on the district notice boards there was a notice to report any complaint/ grievance to Saade Ahamed of contact 0774155170/070615054 and email: sadepeace10@gamil.com/kalirodlg@gmail.com authored by the District management.</p>	1
	Maximum 5 points on this performance measure	If so: Score 1 or else 0		
15	Safeguards for service delivery of investments effectively handled.	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	<p>There was no evidence that the Environment Socio and Climate change interventions had been integrated into the LG Development Plan, AWP and Budgets Development.</p> <p>The Environment, Socio and Climate change interventions had only been integrated in the Workplan and budget for natural resources department.</p>	0
	Maximum 11 points on this performance measure			

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>There was evidence which indicated that DDEG guidelines were disseminated to LLGs as observed from the activity report dated 4th October 2019 on a training of the HoDS and LLGS in mainstreaming population issues in workplans and budgets and DDEG guidelines. In attendance were representatives from Sub counties. Training took place from 26th September 2019.</p> <p>It was also noted from Kaliro District Local Government Delivery book that planning guidelines were acknowledged by LLGS on 28th October 2019.</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costing Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p>	<p>At the time of assessment, there was no evidence that the LG incorporated costing Environment and Social Management Plans (ESMPs) into designs, BoQs for DDEG infrastructure projects of the previous FY, for example;</p> <p>i. In the contract document of Road demarcation in Kyani Trading Centre Kaliro District local Government. Environment and Social Management Plans were not costing in the BoQs.</p> <p>ii. Area action plan for Kyani Trading Centre Kaliro District local Government. Environment and Social Management Plans were not costing in the BoQs.</p> <p>Therefore the LG scored zero because the indicator required incorporated costing Environment and Social Management Plans in the BoQs. Therefore the LG scored zero.</p>	0
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>d. Examples of projects with costing of the additional impact from climate change.</p> <p>Score 3 or else score 0</p>	<p>There was evidence that the LG had a project with costing of the additional impact from climate change for example; Construction of 2 classroom block and 5 stance lined pit latrines at Nababoko primary located in Kisindi sub countythere was an additional impact from climate change by planting of trees, planting paspalum grass around the pit latrine costing at UGX 500,000. Construction of 5 stance lined pit latrine at Nabikooli health Centre II. Additional impact from climate change was through planting of trees costing at UGX 300,000.</p>	3

15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	e. Evidence that all projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:  Score 1 or else score 0	There was evidence of a letter of consent dated 20th March 2019 where the school management and the foundation body of Buyonjo primary school authorized the Government of Uganda through Kaliro to construct energy saving stoves at Buyonjo primary school for the benefit of the school. The road demarcation did not require evidence land ownership.	1
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:  Score 1 or else score 0	There was evidence of monitoring reports for the demarcation of roads in the planned town of kyani, and construction of energy saving stoves in two schools of Bukumankoola in Kaliro Town council and Buyonjo primary school in Budomo sub county however the monitoring reports were done by the district physical planner without involving the Environmental Officer and CDO as the indicator required therefore the LG scored zero.	0
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:  Score 1 or else score 0	There was evidence that the LG had Environment and Social compliance Certification forms completed and signed by Environmental Officer and CDO prior to payments of contractors for example;  i. Certification for demarcation of roads in Kyani trading Centre located in the trading center. Endorsed by the Environmental Officer and CDO on 18th June 2020, final payments to M/s Spatial Data Ltd done on 23rd June 2020.  ii. Certification for physical development plan for Kyani Trading Center. Project phase at completion. Some of the mitigation identified were: sensitization was done earlier and the plan development process had been followed up to the Council approval therefore they certified that works were satisfactorily done. Endorsed by the Environmental Officer and CDO on 4th June 2020, final payments to M/s Spatial Data Ltd done on 16th June 2020.  iii. Certification form for Energy saving stoves located in Buyonjo and Bukumankoola. Project phase: completion. Mitigation measures: Debris cleared and chimney installed. The Environment Officer and CDO certified that mitigation measure as described in the prject document had been addressed satisfactorily, therefore recommended approval of works on 29th May 2020 and payments certified to M/s Mercy Uganda Ltd on 4th June 2020.	1

## Financial management

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>	<p>The LG had carried out monthly bank reconciliations on IFMS and reconciliations were up to date at the time of Local Government Performance Assessment 30th October 2020 as per details indicated below;</p> <ol style="list-style-type: none"> <li>1. Treasury Single Account A/C No. 005610528000000 at Bank of Uganda was reconciled up to 26th November 2020</li> <li>2. General Fund A/C No. 01983501004102 at DFCU bank was reconciled up to 30th October 2020</li> <li>3. Youth Livelihood Program A/C no. 0110041128 at Tropical Bank was reconciled up to 30th September 2020</li> <li>4. UWEP A/C No. 9030013310393 at Stanbic Bank was reconciled up to 30th September 2020</li> <li>5. Local revenue A/C No. 005610168000001 at Bank of Uganda was reconciled up to 30th October 2020</li> </ol> <p>The LG was on the integrated Financial Management System (IFMS)</p> <p>There was Treasury Single Account (TSA) comprising of departmental Accounts maintained at Bank of Uganda where the reconciliations were automated.</p> <p>All expenditures were taking place on the said Account and was being reconciled by Office of Accountant General.</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG had prepared all quarterly Internal Audit Reports as indicated below.</p> <p>Quarter 1 was prepared on 10/01/2020</p> <p>Quarter 2 was prepared on 26/03/2020</p> <p>Quarter 3 was prepared on 18/08/2020</p> <p>Quarter 4 was prepared on 18/10/2020</p>	2

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.

Score 1 or else score 0

There was evidence that the LG had provided IA information to the LG Council/Chairperson and the LG PAC.

The status reports on IA were submitted and acknowledged by the LG Clerk to Council and the LG PAC on the following dates.

- Quarter 1 on 10/01/2020; Ref: AUD 216/2, letter dated 10th January 2020, addressed to the District Speaker
- Quarter 2 on 26/03/2020, Ref: AUD 216/2, letter dated 26th March 2020.
- Quarter 3 on 19/08/2020; Ref: AUD 216/03, letter dated 19th August 2020
- Quarter 4 on 20/11/2020; Ref: AUD 216/1, letter dated 18th October 2020.

These reports were submitted to the District Speaker and copied to the, Office of the Internal Auditor, Internal Auditor General, Ministry of Local Government, Resident District Commissioner, Chairperson Eastern Audit Committee, Chairperson District , PAC, Chief Administrative Officer.

<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>The IA reports were submitted to the LG Accounting Officer and LGPAC on the following dates:</p> <ul style="list-style-type: none"> <li>• Quarter 1 was submitted on 10/01/2020; Ref: AUD 216/2, letter dated 10th January 2020, addressed to the District Speaker</li> <li>• Quarter 2 was submitted on 26/03/2020, Ref: AUD 216/2, letter dated 26th March, 2020.</li> <li>• Quarter 3 was submitted on 19/08/2020; Ref: AUD 216/03, letter dated 19th August 2020</li> <li>• Quarter 4 was submitted on 20/11/2020; Ref: AUD 216/1, letter dated 18th October 2020.</li> </ul> <p>The LG PAC had reviewed four quarterly Internal Audit Reports as evidenced by the following LG PAC minutes.</p> <p>The LG PAC Committee meeting held on 27th February 2020 reviewed Q1 Internal Audit Report: Ref: Min 78/KDLG/PAC/FEB/2019/2020</p> <p>The LG PAC Committee meeting held on 28th February 2020 reviewed Q2 Internal Audit Report: Ref: Min 70/KDLG/PAC/FEB/2019/2020</p> <p>The LG PAC Committee meeting held on 24th June 2020 reviewed Q3 Internal Audit Report: Ref: Min 38/KDLG/PAC/NOV/2019/2020</p> <p>The LG PAC Committee meeting held on 12th October 2020 reviewed Q4 Internal Audit Report: Ref: Min 22/KDLG/PAC/OCT/2020/2021</p> <p>All four quarterly Internal Audit Reports were reviewed thus the LG was compliant.</p>
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## Local Revenues

<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>There was evidence that the local revenue collected was as per the budget.</p> <p>From the Draft financial statements 2019/20, page 17 of the Statement of Local revenue, was projected at Ugx 626,119,084 and the Actual local revenue collection realized was Ugx 575,000,043 Ref: page 17 of the statement of performance of revenues. This translated into a revenue collection ratio of 91.8% which was 8.2 % short of target and was within the required range of +/- 10% range.</p>
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The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)

Maximum 2 points on this Performance Measure.

a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY

- If more than 10 %: score 2.
- If the increase is from 5% -10 %: score 1.
- If the increase is less than 5 %: score 0.

There was evidence that the LGs OSR increased in FY2019/20 compared to FY 2018/19

Total of OSR for FY2018/2019 was Ugx. 170,922,128 as shown on page 34 of statement of revenues collected during FY 2018/19.

Total of OSR for FY 2019/2020 was Ugx. 575,000,043 as shown on page 34 of Financial statement ended 30th June 2020.

Thus Ugx. 575,000,043 (FY 2019/2020) minus Ugx 170,922,128 (FY 2018/2019)

There was increase of Ugx 404,077,915

$404,077,915 / 170,922,128 \times 100 = 236\%$

This was an increase in revenue by 36% increase which was more than the required range of 10%.



Local revenue administration, allocation, and transparency

Maximum 2 points on this performance measure.

a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0

There was evidence that the LG remitted the mandatory LLG share of local revenues as per 85 of LGA and fifth schedule (part V)

Local Revenue collections subjected to sharing with LLGs was Ugx. 161,372,136, page 34 of Final Accounts FY 2019/2020

Amount of local revenue remitted to LLGs was Ugx 43,384,226

Vr. No. ADM-001-10-19 to Namwiwa S/C worth Ugx. 4,727,874

Vr. No. ADM-024-NOV-19 to Bukamba S/C worth Ugx. 2,635,750

Vr. No. ADM-14-10-05 to Budomero S/C worth Ugx. 2,324,177

Vr. No. ADM-24-10-07 to Nansololo S/C worth Ugx. 1,038,375

Vr. No. ADM-027-NOV-19 to Kisinda S/C worth Ugx. 2,184,000

Vr. No. ADM-007-10-19 to Buyinda S/C worth Ugx. 729,625

Vr. No. ADM-026-JAN-2020 to KasokweS/C worth Ugx. 2,998,125

Vr. No. ADM-03-DEC-2019 to GadumireS/C worth Ugx. 2,060,550

Vr. No. ADM-09-09-07 to BumanyaS/C worth Ugx. 529,750

Vr. No. ADM-022-NOV-19 to Nawaikeke S/C worth Ugx. 3,243,875

Vr. No. ADM-046-DEC-2019 to Namugongo S/C worth Ugx. 2,357,375

Vr. No. ADM-03-DEC-19 to Kaliro TC worth Ugx. 15,904,750

Vr. No. ADM-04-feb-20 to Bulumba TC worth Ugx. 2,650,000

Total remittances Ugx. 43,384,226

Total  $(43,384,226/161,372,136) \times 100 = 26.9\%$

The District remitted 26.9% which was contrary to Section 85 of LGA and Fifth Schedule (Part V).

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>The procurement plan and awarded contracts and amounts were published 20th October 2019 on notice board by Mulondo Robert- The CAO.</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>There was evidence that the LG published the LGPA results for 2018/19 as observed from the Kaliro website which was <a href="https://kaliro.go.ug/">https://kaliro.go.ug/</a> that was accessed on December 1st, 2020.</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was evidence on conducting discussions with the public to provide feedback on status of activity implementation as observed from the report on the radio talk show held on NBS Jinja, 89.4FM Kodyeyo on 10th October 2019. The radio talk show was facilitated by the District Health Officer, District Health Educator and Resident District Commissioner.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>There was no evidence on display of tax rates, collection procedures, and procedures for appeal to the public.</p>	0
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared an IGG report which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There was no evidence on preparation of the IGG report.</p> <p>The LG had no cases that required intervention of the IGG.</p>	1

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 4</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>There was evidence that the LG PLE pass rate had not improved and had a negative of 2.8% between the previous school year but one and the previous year, for example, in 2018 the total number of candidates for PLE was 4,627. Those who passed were as follows:</p> <p>Grade I: 177, Grade II: 1,515 and Grade III: 959. The total number of those who passed between Grade 1 and III was 2,651 which gave a % of <math>2651/4,627 \times 100 = 57.2\%</math>.</p> <p>In 2019 the candidates who sat for PLE were 4669 and those who passed in Grade I were 259, grade II were 1702 and in grade III were 579 which gave a total of 2540 pupils who passed between Grade 1 and III. The % was <math>2,540/4,669 \times 100 = 54.4\%</math>.</p> <p>Therefore, the increase was a negative improvement by 2.8%.</p>	4
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 3</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>The UCE pass rate had improved by 4.3%% between the previous school's year but one and the previous year, for example,</p> <p>in 2018, the number of candidates who sat for UCE was 1,404 and the total who passed was 323.</p> <p>Therefore, the pass rate was <math>323/1,404 \times 100 = 23\%</math></p> <p>In 2019 the number of candidates was 1,223 and the total who passed was 335.</p> <p>Therefore, the pass rate was <math>335/1,223 \times 100 = 27.3\%</math></p> <p>Therefore, the performance improvement was <math>27.3\% - 23\% = 4.3\%</math> increase.</p>	3
2	<p>Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 2</li> <li>• Between 1 and 5% score 1</li> <li>• No improvement score 0</li> </ul>	<p>The LLG performance was not assessed last FY.</p>	0

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>There was evidence that the education development grant had been used on eligible activities as defined in the sector guidelines for example, the development grant was used for construction of 5 stance lined pit latrines at Namusolo P/S at a cost of UGX 1,799,444.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>The DEO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors for construction of 5 stance lined pit latrines at Namusolo P/S at a cost of UGX 1,799,444 on 17/6/2020 which was paid on 25/6/2020, Receipt No.445 issued by SSeka Group of Companies Ltd.</p> <p>However, the Environment Officer and CDO did not certify payments on education construction projects implemented for FY 2019/2020.</p>	0
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0</p>	<p>According the contracts, the following projects were sampled:</p> <p>Construction of 5 stance lined pit latrine at Zibondo primary schools (Kasokwe sub-county) Procurement Ref. No KALI561/wrks/2019-2020/00037</p> <p>Construction of 5 stance lined pit latrine at lhangalo (Bumanya Sub county) primary schools Procurement Ref. No KALI561/wrks/2019-2020/00012</p> <p>Construction of two class room block, office and store at Budehe Primary school (Bumanya Sub county)</p> <p>However, the variations in contract price of sampled works/supplier for the previous FY contracts were not within +/-20% of the MoWT's estimates (Reserve Price) because the LG Engineer did not have both the reserve and contract prices at the time of assessment.</p>	0

3	Investment Performance: The LG has managed education projects as per guidelines	d) Evidence that education projects were completed as per the work plan in the previous FY	For the three sampled Education projects, for the previous FY:	0
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 – 99% score 1</li> <li>• Below 80% score 0</li> </ul>	Construction of 5 stance lined pit latrine at Zibondo primary schools (Kasokwe sub-county) Procurement Ref. No KALI561/wrks/2019-2020/00037.	
			Construction of 5 stance lined pit latrine at lhangalo primary schools Procurement Ref. No KALI561/wrks/2019-2020/00012	
			Construction of two class room block, office and store at Budehe Primary school (Bumanya Sub county).Procurement Ref. No KALI561/wrks/2019-2020/00xxx	
			It was difficult to ascertain when they were completed because the following information was not available with the district engineer at the time of assessment.	
			Certificate No. 1.	
			For payment Issued on date: Nil	
			Voucher No. Nil	
			Dated: Nil	
			Certificate of Practical completion dated: Nil	
4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines	The LG had recruited 1,047 primary school teachers with a wage bill of UGX 7,155,112,000 as per the prescribed MoES staffing guidelines.	3
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100%: score 3</li> <li>• If 80 - 99%: score 2</li> <li>• If 70 – 79% score: 1</li> <li>• Below 70% score 0</li> </ul>	Therefore $1,047/1,047 \times 100 = 100\%$	
4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,	The schools in LG that met the basic requirements and minimum standards were 89 out of 89 schools. Therefore $89/89 \times 100 = 100\%$	3
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> <li>• If above 70% score: 3</li> <li>• If between 60 - 69%, score: 2</li> <li>• If between 50 - 59%, score: 1</li> <li>• Below 50 score: 0</li> </ul>	The percent was above 70.	
			For example, the 3 sampled schools had, qualified staff, desks, textbooks, pupils, a Vision, list of SMC members, National Flag and Flag pole, Motto, staff minutes and records.	

## Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"><li>• If the accuracy of information is 100% score 2</li><li>• Else score: 0</li></ul>	<p>There was evidence that the LG had accurately reported on 1,047 teachers and where they were deployed according to deployment Staff list dated 30/6/2020. For example, at Kaliro P/S which was sampled had a head teacher and 21 teachers, St. Gonzaga P/S Bugonza had a head teacher and 17 teachers and Kasokwe P/S had a head teacher and 16 teachers.</p>	2
5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"><li>• If the accuracy of information is 100% score 2</li><li>• Else score: 0</li></ul>	<p>There was evidence that LG had a school asset register accurately reporting on the infrastructure in all registered primary schools dated 24/5/2019.</p>	2
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"><li>• If 100% school submission to LG, score: 4</li><li>• Between 80 – 99% score: 2</li><li>• Below 80% score 0</li></ul>	<p>There were 89 schools out of 89 registered Primary schools that had submitted reports. For example, Kaliro C.O.U P/S report was dated 2/1/2019.</p> <p>St. Gonzaga P/S report was dated 12/11/2019 and Kasokwe P/S was dated 26/1/2020.</p> <p>Therefore <math>3/3 \times 100 = 100\%</math></p>	4

6	School compliance and performance improvement:  Maximum 12 points on this performance measure	b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:  • If 50% score: 4  • Between 30– 49% score: 2  • Below 30% score 0	There was evidence that 89 UPE schools were supported to prepare and implement SIPs, for example, Kasokwe P/S, had a SIP dated 3/3/2020 and the issue addressed was on absenteeism under Min.4/2020. Kaliro C.O.U P/S had a meeting on 4/3/2020 and discussed the issue of learners finding a problem in reading. The SIP was available dated 4/3/2020.St.St.Gonsaga P/S Bugonza had prepared and submitted SIPs dated 18/2/2019.Therefore $3/3 \times 100 = 100\%$	4
6	School compliance and performance improvement:  Maximum 12 points on this performance measure	c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:  • If 100% score: 4:  • Between 90 – 99% score 2  • Below 90% score 0	There was evidence that the LG had collected and compiled return forms for 89 registered schools for year 2019/2020 submitted on 8/1/2020 and acknowledged on 10/1/2020, Ref.CR501/1.  Therefore, $89/89 \times 100 = 100\%$ .  For example, Kaliro COU P/S submitted on 23/11/2019, St.Gonzaga P/S Bugonza submitted on 29/11/2019 and Kasokwe submitted on 26/1/2020.	4

### Human Resource Management and Development

7	Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision  Maximum 8 points on this performance measure	a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:  Score 4 or else, score: 0	There was evidence that the LG had budgeted UGX 7,155,112,000 for 1,047 teachers ( including a head teacher and a minimum of 7 teachers) per school for the current FY 2020/2021.Therefore, $1,047/1,047 \times 100 = 100\%$ .	4
7	Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision  Maximum 8 points on this performance measure	b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,  Score 3 else score: 0	There was evidence that the LG had deployed 1,047 teachers as per sector guidelines in the current FY. For example, at Kaliro P/S which was sampled had a head teacher and 21 teachers, St. Gonzaga P/S Bugonza had a head teacher and 17 teachers and Kasokwe P/S had a head teacher and 16 teachers.	3

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>It was evident that the teacher deployment data was disseminated. However, the three sampled schools namely, Kaliro P/S on 13/3/2020, Kasokwe had displayed on 30/6/2020 and St. Gonzaga P/S had displayed on 18/2/2020 in their offices but not on the school notice boards..</p>	1
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence that 89 Headteachers were appraised out of 89 Headteachers. For example, Head teacher of Kaliro P/S, Mr. Kategere was appraised on 8/01/2020, Head teacher of Kasokwe P/S, Ms Namusaabi Harriet was appraised on 13/1/2020 and Head teacher of St. Gonzaga P/S Bugonza was appraised on 16/1/2020.</p> <p>Therefore <math>3/3 \times 100 = 100\%</math></p>	2
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised with evidence of appraisal reports submitted by D/CAO (or Chair BoG) to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>The LG had 7 Secondary schools and each Head Teacher of the Secondary Schools had been appraised for the year 2019 as follows.</p> <ol style="list-style-type: none"> <li>1. Mr. Mwiwa Joseph the H/T of St. Phillips Nawaioko College was appraised by the D/CAO on 12/2/2020 with overall performance rating of 3.</li> <li>2. Mr. Bakaswirewa Moses Kiiza, the H/T of Namwiwa SSS was appraised by the D/CAO on 10/2/2020 with overall performance rating of 4 and witnessed by the Chairperson BoG – Mr. Mugobolo Moses.</li> <li>3. Mr. Isabirye Christopher, the H/T Kaliro High School was appraised by the D/CAO on 27/1/2020 with overall performance rating of 4</li> <li>4. Mr. Isabirye Mutasa Abas the H/T of Kanambatiko SS was appraised by the D/CAO on 5/2/2020 with overall performance rating of 3.</li> <li>5. Mr. BagulaAgrey the H/T Namugogngo Seed SSS was appraised by the D/CAO on 5/2/2020 with overall performance rating of 3.</li> </ol>	2



6. Ms. Nabwire Harriet the H/T of Gadumire SSS was appraised by the D/CAO on 5/2/2020 with overall performance rating of 4.

7. Mr. Kironde Christopher Dismas, the H/T of Dr. Forer Memorial College-Kaliro was appraised by the D/CAO on 5/2/2020 with overall performance rating of 3.

The LG had appraised the 5 LG Education Department staff as follows.

1. Mr. Kamaga Edward, the DEO was appraised by the CAO on 30/6/2020 with overall performance rating of 4

2. Mr. Muwereza Paul, the Senior Inspector of Schools was appraised by the DEO on 2/7/2020 and endorsed by the CAO on 21/7/2020 with overall performance rating not indicated

3. Mr. Iddi Mubarak Azedi, the Ag. Senior Education Officer was appraised by the DEO on 2/7/2020 and endorsed by the CAO on the same date with comments 'He is a committed and hard working officer.

4. Mr. Waako Christopher, Ag. Inspector of Schools was appraised by the SIS on 2/7/2020 and endorsed by the DEO and D/CAO on 2/7/2020 and 30/7/2020 respectively with overall performance rating of 4.

5. Ms. BASALIRWA Caroline, the Education Officer (Guidance & Counselling ) was appraised by the SIS on 2/7/2020 and endorsed by the DEO and CAO on 2/7/2020 and 30/7/2020 respectively with overall performance rating of 4.

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans score: 2. Else, score: 0</p>	<p>The LG had appraised the 5 LG Education Department staff as follows.</p> <ol style="list-style-type: none"> <li>1. Mr. Kamaga Edward, the DEO was appraised by the CAO on 30/6/2020 with overall performance rating of 4</li> <li>2. Mr. Muwereza Paul, the Senior Inspector of Schools was appraised by the DEO on 2/7/2020 and endorsed by the CAO on 21/7/2020 with overall performance rating not indicated</li> <li>3. Mr. Iddi Mubarack Azedi, the Ag. Senior Education Officer was appraised by the DEO on 2/7/2020 and endorsed by the CAO on the same date with comments 'He is a committed and hard working officer.</li> <li>4. Mr. Waako Christopher, Ag. Inspector of Schools was appraised by the SIS on 2/7/2020 and endorsed by the DEO and D/CAO on 2/7/2020 and 30/7/2020 respectively with overall performance rating of 4.</li> <li>5. Ms. BASALIRWA Caroline, the Education Officer (Guidance &amp; Counselling ) was appraised by the SIS on 2/7/2020 and endorsed by the DEO and CAO on 2/7/2020 and 30/7/2020 respectively with overall performance rating of</li> </ol>	2
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level, score: 2 Else, score: 0</p>	<p>The LG had prepared a training plan to address identified capacity gaps, namely, Improvement of lesson planning, at the school level dated 18/2/2020 as discussed in a meeting held on 4/2/2020 under Min.3/EDUC/2/2020.</p>	2
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**Management, Monitoring and Supervision of Services.**

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>It was noted that the LG had not confirmed in writing the list of schools, their enrolment and budget allocation in the Programme Budgeting System (PBS) by December 15th annually, according to the submission made on 8/1/2020 Ref. CR 501/1 and acknowledged on 10/1/2020.</p> <p>The date was beyond the required date.</p>	0
<p>Maximum 8 points on this performance measure</p>				
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>There was evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines in accordance with the budget allocation of UGX 37,935,999 dated 30/12/2019.</p> <p>The total amount allocated was UGX 37,935,999 and money released was, <math>37,935,999/37,935,999 \times 100 = 100\%</math></p>	2
<p>Maximum 8 points on this performance measure</p>				
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>There was evidence that the LG submitted the PBS time stamp capitation grants for three quarters. This was cited on the PBS, GOU Approved Warrant Report Kaliro District Ref: 01-Jul-2019 to 30-Jun-2020, dated 30-11-2020 and GoU Cash Limits Report as indicated below;</p> <ul style="list-style-type: none"> <li>• Term 1 capitation grant cash limits were received on 24th July 2019 and warranted on 27th July 2019, Ref: Warrant No. 561-AW-2020-5</li> <li>• Term 2 capitation grant cash limits were received on 08th January 2020 and warranted on 19th January 2020, Ref: Warrant No. 561-AW-2020-19</li> <li>• Term 3 capitation grant cash limits were received on 14th April 2020 and warranted on 24th April 2020, Ref: Warrant No. 561-AW-2020-21</li> </ul> <p>From the above, there was a delay in submitting Term 2 and 3 warrants beyond 5 days; from the date of receipt of cash limits thus the LG was non-compliant.</p>	0
<p>Maximum 8 points on this performance measure</p>				

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>There was Evidence that the LG had invoiced and the DEO/ MEO had communicated! Publicized capitation releases on 16/4/2020 to schools. The funds were received on 16/4/2020.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> <li>• If 100% compliance, score: 2, else score: 0</li> </ul>	<p>There was evidence that the LG Education department had prepared inspection plans dated 17/2/2020 in a meeting held under Min.5/2020. The meetings were held on 27/8/2019, 11/11/2019 and 17/2/2020 during the respective terms.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> <li>• If 100% score: 2</li> <li>• Between 80 – 99% score 1</li> <li>• Below 80%: score 0</li> </ul>	<p>All the 89 UPE schools were inspected and monitored as per inspection report dated 14/6/2020 prepared by the DIS. Therefore, <math>89/89 \times 100 = 100\%</math></p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence that the inspection reports were discussed and used to recommend corrective actions and that those actions were subsequently followed-up. For example, in a meeting held on 3/12/2019, the issue discussed was on, Inadequate supervision by the Head teachers, raised under Min.3/EDU/12/2019.</p>	2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>There was evidence that three sampled schools had the inspection report for term 3 left in Kasokwe P/S, Kaliro P/S and St. Gonzaga P/S in 2019. The findings were made in a meeting held on 3/12/2019 where the issue discussed was on, Inadequate supervision by the Head teachers, raised under Min.3/EDU/12/2019.</p> <p>The report was submitted to the DES on 18/12/2019 and acknowledged on 17/1/2020.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>There was evidence on a council responsible for education discussing and reviewing service delivery issues.</p> <p>The LG had a Social Services Committee which had discussed education service delivery issues as follows.</p> <p>The Committee meeting held on 20/12/2019, Min 22/KDLC/DEC/2019/2020, debate on construction of the seed school FY 2019/20 presented by DEO. Min 26/KDLC/DEC/2019/2020, sector committee report on education</p> <p>The council sector committee held on 30th September 2019, Min 7/HEC/SEPT/2019/2020, discussed education inspection reports, Min 06/HEC/SEPT/2019/2020, discussed performance review of the education department</p> <p>The Committee meeting held on 20/12/2019, Min 22/KDLC/DEC/2019/2020, debate on construction of the seed school FY 2019/20 presented by DEO. Min 26/KDLC/DEC/2019/2020, sector committee report on education</p> <p>The Council meeting held on 19/08/2019, Min 07/KDLC/SEPT/2019/2020, performance reports on the education sector</p> <p>The council sector committee held on 31st December 2019, Min 22/HEC/DEC/2019/2020, debate on construction of the seed school FY 2019/20 presented by DEO</p> <p>The council sector committee held on 25th February 2020, Min 32/HEC/FEB/2019/2020, discussed education inspection reports, Min 06/HEC/SEPT/2019/2020, discussed the education budget.</p> <p>Council meeting held on 9th April 2020, Min 38/BC/APRIL/2019/2020 (b) education workplans.</p>	2

11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>There was evidence that the LG Education department conducted activities to mobilize, attract and retain children at school in a workshop conducted by the DEO office on 5/6/2020 at which the DEO advised the parents to monitor attendance of their children in order to minimize absenteeism and provide them with scholastic materials and support school feeding as noted in an inspection report dated 5/6/2020 page 6.</p>	2
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**Investment Management**

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>There was evidence that there was an up to-date LG assets register which set out school facilities and equipment relative to basic standards dated 19/6//2019.</p> <p>For example,</p> <p>the 3 sampled schools had Asset Registers, namely, Kasokwe P/S was dated 26/1/2020, Kaliro P/S was dated 4/3/2020 and St. Gonzaga P/S was dated 2019/2020.</p>	2
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12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>There was evidence indicating that the investments projects for education were derived from the development plan, prioritized in the AWP and discussed by the DTPC.</p> <p>In the Kaliro District Development Plan (DDP) 2015/16 to 2019/20 the LG had planned for;</p> <ol style="list-style-type: none"> <li>1. Classroom 50 construction, (ref: page 162 of the DDP II page 162 which was prioritized on page 79 of the AWP)</li> <li>2. Construction of 11 blocks of 5 stance pit latrines (ref: page 162 of the DDPII, which was reflected on page 81 of the AWP)</li> <li>3. Provision of desks in 7 schools (ref: page 162 of the DDPII, which was reflected on page 81 of the AWP)</li> </ol> <p>The Committee meeting for Health, Education and Community held on 14/ 08/2019 discussed the education departmental workplan, Ref: Min 06/HEL/SEP/2018/2019, page 3.</p> <p>The 4th Council 18th meeting sitting on 23rd May 2019 on 7th November 2019 discussed approved the departmental workplans, Ref: Min.No. 53/KDLC/MAY/2018/19.</p> <p>Thus, the LG was compliant in this area.</p>	1
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12	Planning and budgeting for investments	c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0	There was evidence that the LG has conducted field Appraisal for: (i) technical feasibility; ii) environmental and social acceptability; and (iii) customized designs over the previous FY as observed from a field appraisal report dated 14th August 2019 which was prepared by the Statistician, Kaliro District. The appraisal targeted several projects that were proposed to be constructed/implemented and was conducted by the District Education Officer, Head PDU, the District Engineer and the District Planner.	1
	<i>Maximum 4 points on this performance measure</i>			
13	Procurement, contract management/execution	a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, score: 1, else score: 0	<p>Education infrastructure projects were incorporated in the Kaliro District LG procurement plan in the meeting 01st District Contracts Committee Meeting held on 1st July 2020 and approved under Minute: Min.06a/DCC/2020-2021 and date submitted to CAO: 26th April 2020.</p> <p>The department of Education submitted their 13 sector infrastructure projects to PDU on 26th April 2020</p> <p>a) Construction of Bumanya Seed Secondary school (Bumanya Sub-county). S. No. 6 page 8</p> <p>b) Construction of a 2 classroom block with office and store at Kahango Primary school (Budomero Sub-county). S. No. 7 page 8</p> <p>c) Construction of a 2 classroom block with office and store at Kibembe Primary school (Gadumire Sub-county). S. No. 8 page 8</p> <p>d) Construction of a 5 stance line pit latrine at Bugoodo Primary school (Kasokwe Sub-county). S. No. 6 page 9</p> <p>e) Construction of a 5 stance line pit latrine at Kiwanabuzi Primary school (Namwiwa Sub-county). S. No. 7 page 9</p>	1
	<i>Maximum 9 points on this performance measure</i>			

<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>As per the education infrastructure investments they had the District Contracts Committee minutes.</p> <p>Minutes of the 01st District Contracts Committee Meeting for the FY 2020/21 held on 1st July 2020 in PDU Office Min 06(b)/DCC/2020-2021 Approval of procurement method, bidding documents, invitation to bid advert and bidding fee.</p> <p>Contract Committee Chairperson: Mr. Edhaya David the Civil Engineer Water.</p> <p>Minutes of the 02nd District Contracts Committee Meeting for the FY 2020/21 Awarding contracts held on 10th August 2020 in PDU Office.</p> <p>a) Construction of a 2 classroom block with office and store at Kahango Primary school (Budomero Sub-county). S. No. 7 page 8. Procurement Ref. No. KALI561/Wrks/2020-2021/00015</p> <p>b) Construction of a 2 classroom block with office and store at Kibembe Primary school (Gadumire Sub-county). S. No. 8 page 8 Procurement Ref. No. KALI561/Wrks/2020-2021/00014</p> <p>c) Construction of a 5 stance line pit latrine at Bugoodo Primary school (Kasokwe Sub-county). S. No. 6 page 9. Procurement Ref. No. KALI561/Wrks/2020-2021/00017</p> <p>However, for the current FY, there is a seed school whose procurement process has not started yet by the ministry.</p> <p>Therefore, there were no education infrastructure investments requiring SG approval.</p>
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<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>There was no evidence of PIT</p>
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13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>All the Education/ school infrastructure projects followed the MoES technical designs.</p> <p>Spot measurements at Zibondo and Budini Church of Uganda Primary school had the 5 stance pit latrine meeting the MOES standard technical designs. However, The ramp was limited to the entrance at the door making it inaccessible to pupils with disability, the wooden doors on the stances couldn't close well.</p> <p>At Budini primary school, some good works were done on the external walls. However, It was not usable because the painter had left with the keys for nonpayment.</p> <p>Their use was rather unhygienic claiming that they were never handed over. There were Clerk of work's reports on the education project works as shown below:</p> <ul style="list-style-type: none"> <li>• Progressive weekly reports for month of October for Bukamba Seed secondary school dated, 1st November 2019, 16th March 2020, 22nd June 2020, 20th July 2020 etc. by the site clerk – Waako Erick</li> </ul> <p>Administration block fixing of iron sheets, erecting trusses and fixing face boards. ICT and Library block reinforcement for the beams.</p> <ul style="list-style-type: none"> <li>• Supervision report for Construction of 5 stance pit latrine and Ihagalo primary school (Bumanaya Sub County) dated 23th February 2020. Works completed as per specified BOQ pending painting by Waako Erick.</li> </ul>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY</p> <p><i>score: 1, else score: 0</i></p>	<p>List of sector specific infrastructure projects in AWP for FY 2019/2020:</p> <ul style="list-style-type: none"> <li>a) KALI561/WRKS/2019-2020/00037: Construction of a-5 stance lined pit latrine at Zibondo P/S in Kasokwe S/C</li> <li>b) KALI561/WRKS/2019-2020/00038: Construction of a-5 stance lined pit latrine at Butege P/S in Namugongo S/C</li> <li>c) KALI561/WRKS/2019-2020/00039: Construction of a-5 stance lined pit latrine at Budini C/U P/S in Kaliro T/C</li> <li>d) KALI561/WRKS/2019-2020/00040: Construction of a-5 stance lined pit latrine at : Namulungu P/S in Namwiwa S/CKALI561/WRKS/2019-2020/00037: Construction of a-5 stance lined pit latrine at Zibondo P/S in Kasokwe S/C</li> <li>e) KALI561/WRKS/2019-2020/00038: Construction of a-5 stance lined pit latrine at Butege P/S in Namugongo S/C</li> </ul>	1

f) KALI561/WRKS/2019-2020/00039: Construction of a-5 stance lined pit latrine at Budini C/U P/S in Kaliro T/C

g) KALI561/WRKS/2019-2020/00040: Construction of a-5 stance lined pit latrine at :Namulungu P/S in Namwiwa S/C.

h) KALI561/WRKS/2019-2020/00004: Construction of a-2 classrooms block with office and a Stores and at Budehe P/S in Budehe parish in Bumanya S/C

i) KALI561/WRKS/2019-2020/00006: Construction of a-5 stance lined pit latrine at Nangala P/S in Nangala parish in Bukamba S/C

j) KALI561/WRKS/2019-2020/00007: Construction of a-5 stance lined pit latrine at Butongole P/S in Butongole parish in Kasokwe S/C

Reports and Minutes from site monitoring visits:

a) Progressive weekly reports for month of October for Bukamba Seed secondary school dated, 1st November 2019, 16th March 2020, 22nd June 2020, 20th July 2020, etc.

Administration block fixing of iron sheets, erecting trusses and fixing face boards. ICT and Library block reinforcement for the beams.

b) Supervision report for Construction of 5 stance pit latrine and Ihagalo primary school (Bumanaya Sub County) dated 23rd February 2020. Works completed as per specified BOQ pending painting.

c) Supervision report for Construction of 5 stance pit latrine at Namusolo primary school (Bumanaya Sub County) dated 13th February 2020. Works completed as per specified BOQ pending painting.

d) Minutes of the site meeting at Bukamba Seed secondary school dated 20th August, 2019. Member included District chairperson, Secretary for Health and Education, driver, escort, office attendant, security, site engineer, Teacher, DNRO, etc.

13

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted *score: 1, else score: 0*

During supervision, there was full participation of engineers, environment officers, CDOs, at critical stages of construction

Minutes of the site meeting at Bukamba Seed secondary school dated 20th August, 2019. Member included District chairperson, Secretary for Health and Education, driver, escort, office attendant, security, site engineer, Teacher, DNRO, etc.

1

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, *score: 1, else score: 0*

The LG provided evidence which indicated that all payment requests for sector infrastructure in FY 2019/2020 were initiated and executed as per contract and implementation results.

From the Projects undertaken by Kaliro District payments were made for stages that were satisfactorily completed and approved as per signed contracts, For instance

Viscar Investments Ltd was contracted to construct Bukamba Seed Secondary School at a contract sum of Ugx. 1,919,700,640 on 16th May 2019. Requisition for payment worth Ugx. 577,803,793.74 was submitted on 8th October 2019. Certificate of payment worth 292,534,870 was prepared by the District Engineer on 15th October 2019 which was forwarded for payment by the District Engineer and approved for payment by the CFO, DEO, District Internal Auditor, and CAO on 17th October 2019. Payment worth Ugx. 292,534,870 Invoice No. EDUC-001-NOV-19 was made on 27th November 2019.

Masubo General Enterprises Ltd was contracted at Ugx. 59,990,374 to construct a-2 classroom block with office and store at Budehe P/S on 19th November 2019. A Report on construction was prepared by the Assistant Engineering Officer on 14th February 2020. Requisition for final payment worth Ugx. 15,861,714 was submitted on 15th May 2020. Certificate of payment was prepared by the District Engineer, approved by the Engineering Assistant MoES, DEO, IA and CAO on 26th May 2020. Payment worth Ugx. 15, 861,714, Invoice No. EDUC-003-JUN-20 was made on 18th June 2020. Areceipt No. 013 dated 23/3/2020 was issued.

Mercy Uganda Limited was contracted to construct a 5 stance pitlatrine at Zibondo P/S at a contract sum of Ugx. 17,998,000 on 13 November 2019. A requisition for payment was raised on 23rd January 2020. The payment request was approved by the CAO, CFO, Accounts, Education Officer, District Engineer 19h February 2020. Certification was done by the DEO, CFO, District Internal Auditor and CAO, worth Ugx. 16,198,200 on 14th February 2020. Payment was made on 19th February 2020, Invoice Num. EDUC-028-FEB-20. A receipt confirming the payment was issued on 30th February 2020. Receipt No. 084.

<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>Sector procurement plan submitted and on file dated 24th April 2019</p> <p>Contract implementation progress reports: for instance:</p> <p>Site Handover report for construction of 5 stance Pit Latrine at Namusolo, Zibondo and Ihangalo of projects in FY2019-2020 by Basalirwa Caroline Education Officer/ Contract Manager to CAO- Kaliro DLG dated 10th June 2020.</p> <p>Commissioning report of constructed projects (Ihagalo, Namusolo, Kakosi and Budehe Primary schools) in FY2019-2020 BY Basalirwa Caroline Education Officer/ Contract Manager to CAO- Kaliro DLG dated 10th June 2020.</p> <p>Contract Committee Chairperson: Mr. Edhaya David the Civil Engineer Water.</p> <p>Member present:</p> <p>Isooba Peter Member</p> <p>Mulumba Mathius Member</p> <p>Muwereza Paul-Member</p> <p>Mpanja Lydia Member</p> <p>Hamoone Nimrod- Senior Procurement Officer</p> <p>a) Construction of construction of 5 stance Pit Latrine at Namusolo, Zibondo and Ihangalo primary schools. Min 12 (a)/DCC/2019-2020</p> <p>Namusolo, primary schools Procurement Ref. No KALI561/wrks/2019-2020/00008</p> <p>Zibondo primary schools Procurement Ref. No KALI561/wrks/2019-2020/00037</p> <p>Ihangalo primary schools Procurement Ref. No KALI561/wrks/2019-2020/00012</p>
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<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i></p>	<p>Procurement files for school infrastructure projects evidence on file shows that the LG has a complete procurement file for each school infrastructure contract with all records in the Previous FY.</p> <p>Minutes of the 02nd District Contracts Committee Meeting held on 2nd August 2019 in the PDU office.</p> <p>- Construction of construction of 5 stance Pit Latrine at Namusolo: Min12(a)/DCC/2019-2020</p> <ul style="list-style-type: none"> <li>• Advert: Abridged Bid Notice date Tuesday 18th June 2019 in the Daily Monitor</li> <li>• Requisition forms PPF1: 13th June 2019</li> <li>• The Evaluation report dated: 24th July 2019</li> </ul>
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- Minutes of Min12(a)/DCC/2019-2020 District Contracts Committee Meeting decision date: 2nd August, 2020

- Procurement Ref No. KALI561/WKRS/2020-2021/00008

- BEB Notice: 2nd August 2019- 15th August 2019 for display and removal.

- Letter of Bid Acceptance: 16th August 2019

- Bidder's acceptance: 25th August 2019

- The awardee contractor: Ms. Sseka Group of companies Ltd

- Contract agreement 5th November 2019

- Amount: worth UGX. 17,994, 410.

Construction of construction of 5 stance Pit Latrine at Zibondo primary schools Procurement Ref. No KALI561/wrks/2019-2020/00037

- Advert: Abridged Bid Notice date Tuesday 18th June 2019 in the Daily Monitor

- Requisition forms PPF1: 13th June 2019

- The Evaluation report dated: 24th July 2019

- Minutes of Min12(a)/DCC/2019-2020 District Contracts Committee Meeting decision date: 2nd August, 2020

- Procurement Ref No. KALI561/WKRS/2020-2021/00037

- BEB Notice: 2nd August 2019- 15th August 2019 for display and removal.

- Letter of Bid Acceptance: 16th August 2019

- Bidder's acceptance: 19th August 2019

- The awardee contractor: Ms. Mercy Uganda Ltd

- Contract agreement 13th November 2019

- Amount: worth UGX. 17,998, 000.

Construction of 5 stance Pit Latrine at Kakosi primary school (Namwiwa Subcounty) Procurement Ref. No KALI561/wrks/2019-2020/00045

Minutes of the 2nd District Contracts Committee Meeting held on 2nd August 2019 in the PDU office.

- Advert: Abridged Bid Notice date Tuesday 18th June 2019 in the Daily Monitor

- Requisition forms PPF1: 13th June 2019

- The Evaluation report dated: 24th July 2019

- Minutes of Min12(a)/DCC/2019-2020 District Contracts Committee Meeting decision date: 2nd

August 2019.

• Procurement Ref No. KALI561/WKRS/2020-2021/00045

• BEB Notice: 2nd August -15th August 2019 for display and removal respectively

• Letter of Bid Acceptance: 16th August 2019

• Bidder's acceptance: 21st August 2019

• The awardee contractor: Ms. Waisa Consult Uganda Ltd

• Contract agreement 14th January 2020

• Amount: worth UGX. 17,994, 410.

## Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>At the time of assessment, the LG did not have evidence of grievances / complaints recorded, investigated, responded to and recorded in line with the grievance redress framework under the Education sector.</p>	0
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was evidence that LG had disseminated the Education Guidelines in a meeting held on 15/7/2019 where the DEO, Under Min.KADIPISHA/08/8/19 distributed the Education Guidelines on Budgeting, Planning and Implementation Guidelines for Primary and Secondary Schools to all Headteachers.</p>	3
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, score: 2, else score: 0</p>	<p>At the time of assessment, the LG did not have evidence of costed ESMPS</p> <p>Incorporated within the BoQs and contractual documents of Education projects like Renovation of a 5 classroom block at Buvulunguti primary school contract reference; KALI 561/wrks/19-20/00048. Completion of a 4 classroom block at Lubuulo primary school contract reference; KALI 561/wrks/19-20/00047.</p> <p>Therefore the LG scored zero.</p>	0

Safeguards in the delivery of investments

*Maximum 6 points on this performance measure*

b) If there is proof of land ownership, access of school construction projects, *score: 1, else score:0*

There was evidence of proof of land ownership through letters of consent for example;

i. Letter of Consent for Buvulunguti primary school dated 1st August 2000 where Mr. Mikulosi Moses Naivulunguti of Buvulunguti consents that he gave the Catholic Church of Buvulunguti land on which the church is located which is estimated to cover (actual measurements not given) area from the main road to Mr. Zaaboona Augustin and from Mr. Wansungizi Patrick to Mr. Bosaga Peter then to Mr. Zaaboona Augustin. The church gave him a Tunic (Kanzu) in appreciation. It was established that the church authorized the LG to renovate a 5 classroom Block at Buvulunguti Primary school.

ii. A Letter of Consent for Lubuulo primary school dated 15th May 2019. Where the foundation of Lubuulo primary school on which a Church of Uganda founded school authorized Kaliro LG to renovate the 4 Classroom Block that was damaged by heavy rains and strong winds. The school management and Parent Teachers Association, and other stakeholders had no objection with the renovation because the the land belonged to the school.

iii. A letter of agreement dated 7th November 2019 where FidhaNaigaga of Nabiwali, Bumanya sub-county sold land to Nakaboko primary school in Nakaboko LC1 Kisinda Parish, Kisinda sub-county where the construction of 2 classroom block and a 5 stance pit latrines at Nababoko was established. The said land borders Gatangaire Ricahrd's land in the West, SsaniKakolwa's land in the South, Waaako Philip's in the North. FidhaNaigaga sold their land at UGX 1, 5000,000 and was paid UGX 500,000 balance of UGX 100,000. Seven people sogned as witnesses to this transaction, one of them was Mr. William Kitaita the PTA chairperson.

Safeguards in the delivery of investments

*Maximum 6 points on this performance measure*

c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, *score: 2, else score:0*

There was evidence that the Environment Officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs through a monitoring report prepared and endorsed by both dated 30th April 2019, Ref: NR 550/2 RE: Monitoring Reports on the Environment Monitoring of Education projects in 2019/2020. For;

i. Construction of 2 classroom block and 5 stance lined pit latrines at Nababoko primary located in Kisindi. Findings: Works on going, no one got at site, waste poorly managed. Recommendations: Clear the waste and all debts from site on completion. Plant some trees at the school.

ii. Completion of a 5 classroom block at Buvulunguti primary school located in Bukama. Findings: Waste littered at site, head teacher not on same page with contractor citing lack of quality work. Nonpayment for food and wages by contractor to workers. Recommendations: Contractor to clear debts. Clear the site of debris, plant some trees.

iii. Completion of 4 Classroom block at Lubuulo primary school located in Kisindi. Findings: Works almost complete, debris still on site, waste poorly managed. Recommendations: Clear the waste and all debts at site on completion. Plant some trees at the school.

General recommendations: Timely payments should be done for the workers, Installation of hand washing facility for all latrine facilities, Ensure that soak pits and rain water harvesting systems are well established on completion and proposed for all the projects.



Safeguards in the delivery of investments

*Maximum 6 points on this performance measure*

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

*Score: 1, else score:0*

There was evidence that the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments for;

i. Completion of a 5 classroom block at Buvulunguti primary school located in Bukama sub county. Ref: KALI 561/wrks/2019-2020/00048 Project phase: completion. Mitigation measures identified: clear construction debris, plant trees. The CDO and Environment Officer certified that the mitigation measures as described in the project documents were satisfactorily done and therefore recommended approval of works on 15th April 2020. Payment Certificate no. 2 dated 15th April 2020 for M/s Namukoma Investments Ltd.

ii. Completion of 4 Classroom block at Lubuulo primary school located in Kisindi sub county. Ref: KALI 561/wrks/2019-2020/00047 Project phase: completion. Mitigation measures identified: clear construction debris, plant trees. The CDO and Environment Officer certified that the mitigation measures as described in the project documents were satisfactorily done and therefore recommended approval of works on 2nd June 2020. Payment done 30th June 2020 for M/s Blossom Enterprises Ltd.

iii. Construction of 2 classroom block and 5 stance lined pit latrines at Nababoko primary located in Kisindi sub county. Ref: KALI 561/wrks/2019-2020/00051 Project phase: One. Mitigation measures identified: Site fencing, proper waste management and debris removal, ground leveling after excavations, tree planting. The CDO and Environment Officer certified that the mitigation measures as described in the project documents were satisfactorily done apart from tree planting which should be done when the weather is favorable. They recommended approval of works on 14th August 2020. Certificate of Payment attached dated 29th September 2020 for M/s Masubo General Enterprises (U) Ltd.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total OPD attendance, and deliveries.</p> <ul style="list-style-type: none"> <li>• By 20% or more, score 2</li> <li>• Less than 20%, score 0</li> </ul>	<p>Sampled 3 health facilities: Bumanaya HC IV, Namugongo HC III and Namwiwa HC III and compared the total OPD for FY 2018/19 with that of 2019/20; and also compared total deliveries for FY 2018/19 to those of 2019/20.</p> <p>OPD</p> <p>A) Total FY 2019/20 = 47,844</p> <p>B) Total FY 2018/19 = 35,731</p> <p>Difference = (A-B) = 12,114</p> <p>% Change = (A-B) x 100% = 33.9%</p> <p>Deliveries</p> <p>A1) Total FY 2019/20 = 1,505</p> <p>B1) Total FY 2018/19 = 1,435</p> <p>Difference = (A1-B1) x 100% = 4.9%</p> <p>The health facilities did very well on OPD indicator (33.9%), but did not do well on deliveries (4.9%). Score 0.</p>	0
2	<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• Above 70%; score 2</li> <li>• 50 – 69% score 1</li> <li>• Below 50%; score 0</li> </ul>	na	0

<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>b. If the average score in the RBF quarterly quality facility assessment for HC IIIs and IVs is:</p> <ul style="list-style-type: none"> <li>• Above 75%; score 2</li> <li>• 65 – 74%; score 1</li> <li>• Below 65% ; score 0</li> </ul>	<p>Kaliro district had 8 Health facilities participating in Result Based financing (RBF). When they were assessed during the last quarter of FY2020/2021 (July-September 2020) they scored as given below:</p> <p>Bumanya HC IV scored 89%</p> <p>Namugongo HC III scored 88%</p> <p>Bumwiwa HC III scored 79.1%,</p> <p>Nawaikoke HC III scored 87.4%</p> <p>Budomero HC III 80.7%</p> <p>Nawampiti HC III 78%</p> <p>St. Francis Budini HC III scored 86%, and</p> <p>Gadumire HC III scored 80.6%</p> <p>Average score = <math>668.8/8 = 83.6\%</math> . Therefore score 2</p>
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<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>There was evidence that the LG budgeted and spent the health development grant on eligible activities.</p> <ol style="list-style-type: none"> <li>1. Procurement of 30 chairs at Ugx.4,500,000, procurement of 3 bookshelves budgeted at Ugx.2,400,000, procurement of a set of sofas at Ugx. 3,000,000, procurement of a boardroom table at Ugx. 2,000,000 (Ref; page 25 of the budget estimates and the expenditure was 120% as per page 60 of the APR)</li> <li>2. Upgrade Kasokwe HC II to HC III budgeted at 3,340,000 (Ref: page 23 of budget estimates and expenditure was 73% as per page 61 of the APR)</li> <li>3. Renovation of OPD blocks at Namwiwa HCIII budgeted at Ugx. 10,000,000, (Ref. page 23 of budget estimates and expenditure were 100% as per page 61 APR)</li> <li>4. Renovation of OPD blocks at Nawaikoke HCIII budgeted at Ugx. 25,469,614 (Ref. page 23 of budget estimates and expenditure was 100% as per page 61 APR).</li> </ol> <p>Thus, in FY 2019/2020 the District did not spend on all budgeted activities as per the Health Grant guidelines.</p>
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Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

From sampled vouchers, certification of works for all Health Projects Contracts were done before payment to suppliers in FY 2019/2020. For instance;

A payment of Ugx. 10,000,000, receipt 020 dated 5/05/2020 to Musubo General Enterprises (U) Ltd for renovation of OPD at Namwima HC III in Namwiwa T/C, payment certificate was prepared by the District Engineer, approved by the District Health Officer and authorized by the Chief Administrative Officer on 26/3/2020 and environmental certification was prepared and signed by the District Environment Officer and District Community Development Officer on 30/3/2020.

A payment worth Ugx. 191,380,743; Invoice No.PHC-044-19 made on 27th November 2019 to Green tea for upgrading Budomero HC II and Nawampiti HCII. The request for payment worth Ugx. 350,000,000 was raised by the Contractor on 5th August 2019. The District Engineer initiated the request for payment on 7th October 2019 which was forwarded by DHO on 8/10/2019 and approved on 15/10/2019 by the CAO. Certification of payment was done by the District Engineer and Chief Administrative Officer, on 15/10/2019.

A Payment worth Ugx. 191,380,743; Invoice No.PHC-044-19 made on 27th November 2019 to Virmar Technology Investment Ltd for constructing a 5-stance pit latrine at Nabikooli H/C II. The request for payment worth Ugx. 350,000,000 was raised by the Contractor on 5th August 2019. The District Engineer initiated the request for payment on 7th October 2019 which was forwarded by DHO on 8/10/2019 and approved on 15/10/2019 by the CAO. Certification of payment was done by the District Engineer and Chief Administrative Officer, on 15/10/2019.

Whereas payment to Musubo General Enterprises was effected after certification of works was done by the DHO, Environment Officer and CDO, the payments to Green Tea and Virmar Technology were made without certification of works by the Environment Officer and CDO for health projects, hence the LG was non-compliant in this area.

3

0

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0

According the sampled contracts, the following was established:

- a) Renovation of OPD and General Ward at Nawaikoke HCIII (Nawaikoke Sub county). Procurement Ref. No KALI561/wrks/2019-2020/00045; Min 26/DCC/2019-2020.
- b) Construction of Placenta Pit at Bumanayi HCIV (Bumanaya Sub county). Procurement Ref. No KALI561/wrks/2019-2020/00042; Min 12(a)/DCC/2019-2020.
- c) Renovation of OPD at Namwiwa HCIII (Namwiwa Sub county). Procurement Ref. No KALI561/wrks/2019-2020/00044; Min 26/DCC/2019-2020

However, the variations in contract price of sampled works/supplier for the previous FY contracts were not within +/-20% of the MoWTs' estimates (Reserve Price) because the District Engineer did not have both the reserve and contract prices at the time of assessment.

3

0

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY

- If 100 % Score 2
- Between 80 and 99% score 1
- less than 80 %: Score 0

For the three sampled Education projects, for the previous FY:

- a) Renovation of OPD and General Ward at Nawaikoke HCIII (Nawaikoke Sub county). Procurement Ref. No KALI561/wrks/2019-2020/00045; Min 26/DCC/2019-2020.
- b) Construction of Placenta Pit at Bumanayi HCIV (Bumanaya Sub county). Procurement Ref. No KALI561/wrks/2019-2020/00042; Min 12(a)/DCC/2019-2020.
- c) Renovation of OPD at Namwiwa HCIII (Namwiwa Sub county). Procurement Ref. No KALI561/wrks/2019-2020/00044; Min 26/DCC/2019-2020

It was difficult to ascertain when they were completed because the following information was not available with the district engineer at the time of assessment.

Certificate No. 1.

For payment Issued on date: Nil

Voucher No. Nil

Dated: Nil

Certificate of Practical completion dated: Nil.

4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards  Maximum 4 points on this performance measure	a. Evidence that the LG has recruited staff for all HCIII and HCIVs as per staffing structure  • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0	Kaliro District LG had approved staffing norm of 237 staff and a wage bill of 2, 415,963,797/=. At the time of assessment there were 203 staff on post. This meant a staffing level of 85.7%	1
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards  Maximum 4 points on this performance measure	b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.  • If 100 % score 2 or else score 0	Evidence that the LG health infrastructure construction projects are not necessarily as per the approved MoH Facility Infrastructure Designs. For instance:  Construction works of upgrading Budomero HCII to HCIII. For instance, the floor was already cracked, No locks on the doors, No Lightening arrestor, One vent installed on a 4 stance pit latrine.  N.B. This is a project I was informed that it was completed however, awaiting the contractor rectify this issues.  Upgrading of Kasokwe HCII to HCIII. A comprehensive site inspection, revealed that roofing was with G-26, Use of square Hole section 60x60x3 tie beam and rafters; Internal Members-50x50x3m2; facial boards 150x150x3m2.  However, pending works included ceiling, shattering, screeding the floor, painting and electrical wiring works.	0

### Performance Reporting and Performance Improvement

5	Accuracy of Reported Information: The LG maintains and reports accurate information  Maximum 4 points on this performance measure	a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0	At the time of assessment the DHO reported 44 filled positions at Bumanya HC IV, 19 filled positions at Namugongo HC III, and 18 filled positions at Namwiwa HC III. Site visit and inspection of staff lists and duty rosters confirmed the staffing as provided on the payroll by the DHO. Number of staff on pay roll matched those on staff list and duty rosters found at health facilities.	2
5	Accuracy of Reported Information: The LG maintains and reports accurate information  Maximum 4 points on this performance measure	b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0	Kaliro district LG had only one (1) health facility upgraded from HC II to HC III during FY 2019/2020. Kasokwe HC II was upgraded from H II status to HC III status and its AWB and Budget was increased to 28,000,000/=	2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>a) Health facilities prepared and submitted Annual Workplans &amp; budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>All the three facilities; Bumanya HC IV, Namugongo HC III, and Namwiwa HC III submitted their AWP&amp; Budgets for FY 2019/20 on 16th March 2019, which was before March 31st 2019.</p>	2
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>At the time of assessment, the three sampled health facilities Bumanya HC IV, Namugongo HC III and Namwiwa HC IV had not submitted their approved annual Budget Performance reports for FY 2019/2020 to the DHO</p>	0
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>The three sampled health facilities; Bumanya HC IV, Namugogongo HC III and Namwiwa HC III had developed and submitted their Performance Improvement Plans (PIPs) to the DHO. Bumanya HC IV and Naamwiwa HC III submitted to DHO on 8th September 2020 while Namugongo submitted on 9th September 2020. These documents were received in the Ministry of Health on 14th September 2020.</p>	2
<p>Maximum 14 points on this performance measure</p>				

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,  • score 2 or else score 0</p>	<p>Kaliro district LG had 25 Health facilities on PHC that had submitted monthly (HMIS 105) and quarterly (HMIS 106a) into the district DHIS2 system. The sampled health facilities Bumanya HC IV, Namugongo HC III and Namwiwa HC III, had submitted their Monthly HMIS 105 for 12 months and quarterly HMIS 106 (a) reports 100% and timely 100% as required during FY 2019/2020.</p>	2
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0  Note: Municipalities submit to districts</p>	<p>The 3 sampled Health Facilities on RBF submitted their claim invoices before the 15th October 2020 as follows:  Namwima HC III, and Namugongo HC III, had submitted on 6th October 2020, while Bumanya HC IV had submitted on 7th October 2020</p>	2
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>Kaliro District LG had submitted the RBF claim invoices to Ministry of Health on 26th October 2020.</p>	1
<p>Maximum 14 points on this performance measure</p>				



6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>There was evidence that the Health Department quarterly performance reports were submitted within one month of the next quarter as observed from acknowledgment of receipt of DHO's quarterly PBS submissions by the Planner as follows;</p> <ul style="list-style-type: none"> <li>• Quarter 1 was submitted on 18th October 2019</li> <li>• Quarter 2 was submitted on 24th January 2020</li> <li>• Quarter 3 was submitted on 11th April 2020</li> <li>• Quarter 4 was submitted on 17th July 2020.</li> </ul> <p>All Health submissions for all quarters were submitted within the first month of the next quarter.</p>	1
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>Kaliro DHO/DHT developed an approved PIP 2020/2021 for the weakest performing health facilities and had submitted to CAO on 9th September 2020 and to the Ministry of Health on 14th September 2020.</p>	1
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6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0

Kaliro district LG had implemented PIP for the weakest health facilities that had performed poorly in immunization during FY 2019/20. Nawampiti HC III, NabigwaliFlep HC II, Kisinda HC II, and Kasokwe HC II had performed poorly in immunization and were placed in RED category 4, which means poor access and poor utilization of services. The district prepared and implemented the PIP starting with the first quarter of FY 2020/21. By the time of assessment these four health facilities had moved out of the RED category 4 to category 2.

1

## Human Resource Management and Development

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines

Maximum 9 points on this performance measure

a) Evidence that the LG has:  
i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0

Kaliro District LG had 237 approved staffing norms, 203 staff on post and a wage bill of 2, 415,963,797/= at the time of assessment. This meant a staffing level of 85.7%

2

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines

Maximum 9 points on this performance measure

a) Evidence that the LG has:  
ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0

The Standard staffing norms for HC III was 19 workers, HC IV was 49 workers.

During time of assessment, Bumanya HC IV had 44/49 (90%), Namugongo HC III had 19/19 (100%) and Namwiwa HC III had 18/19 (95%). All the three sampled health facilities scored above 75% staffing level.

2

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>The staff found working in the sampled Health Facilities; Bumanya HC IV, Namugongo HC III, and Namwiwa HC III matched with deployment lists and payroll list given by DHO for FY 2020/2021. Bumanya HC IV list had 44 staff, Namugongo HC III list had 19 staff, and Namwiwa HC III list had 18 staff</p>	3
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>Kaliro district LG had publicized health worker's deployment on Departmental noticeboard and on health facilities notice boards during FY 2020/2021. Bumanya HC IV list had 44 staff, Namugongo HC III list had 19 staff, and Namwiwa HC III list had 18 staff.</p>	2

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

The LG had 25 health facilities and sampled in-charges were appraised as follows.

1. Mr. Kimeko Robert a SMCO In-charge Budomero HCIII was appraised Mr. Balyejjusa Ronald the SAS on 29/6/2020 and endorsed by PHI and CAO on 2/7/2020 and 30/7/2020 respectively with overall performance rating of 4
2. Ms. BabiryeSepholoza an Enrolled Nurse in-charge Kasokwe HCIII was appraised by Ms. Kagoye Irene on 25/6/2020 and endorsed by the DHO on 2/7/2020 with overall performance rating of 5
3. Mr. Mwenerika James a SMCO in-charge Namwiwa HCIII was last appraised by the SAS Biibi Samuel on 20/6/2019
4. Mr. Magada Moses an Enrolled Comprehensive Nurse in-charge Buyinda HCIII was appraised by Mr. Simon Peter Gabula a SACAO on 25/6/2020 and endorsed by the DHO and CAO on 26/7/2020 and 30/7/2020 respectively with overall performance rating of 4
5. Mr. Waiswa Paul a SMCO in-charge Nawaikoke HCIII was appraised by Biiba Samuel Ag. T/Clerk on 30/9/2020 and endorsed by the PHI and CAO on 3/10/2020 with overall performance rating of 4.
6. Mr. SamanyaDisan a MCO in-charge Nawampiti HCIII was appraised by Mr. Kasajja Franco a SACAO on 7/7/2020 and endorsed by the PHI and PACAO on 15/7/2020 and 20/8/2020 respectively with overall performance rating of 4
7. Mr. Mukolya Stephen an Enrolled Nurse in-charge Kaliro T/Council HCII was appraised on 14/8/2019
8. Ms. Timugibwa Persis an Enrolled midwife in-charge Nabikooli HCII was appraised by Kikomeko Robert Moses on 27/6/2019.
9. Dr. Tumubone Nathan a MCO in-charge Bumanya HCIV was appraised by Dr. Kibirige Paul on 8/7/2020 and endorsed by the Senior Health Educator and D/CAO on 10/7/2020 and 30/7/2020 respectively with overall performance rating of 4.

<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>The LG had Health workers were appraised as indicated below.</p> <ol style="list-style-type: none"> <li>1. Ms. Babirye Iris Josephine an Enrolled Mid wife at Nawaikoke HCIII was appraised by Ms.Nyeko Grace a MCO on 30/6/2020 and endorsed by Mr. Mugerwa James a SCO with overall performance rating of 3.</li> <li>2. Ms. Babirye Caroline, an Enrolled Midwife at Nawampiti HC III was appraised by Baseke Mary Gorretty a Registered midwife was not appraised up to date.</li> <li>3. Ms. MusobyaHarriet a Nursing Assistant at Buyinda HCII was appraised by Mr. Magada Moses an ECN was appraised on 23/6/2020 and endorsed by the Biostatistician and the D/CAO on 24/6/2020 and 30/7/2020 respectively with overall performance rating of 4.</li> <li>4. Ms. Mutenyo Brenda a Health Assistant at Kasokwe HCII was appraised by Ms. Kagoye Irene the SAS on 25/6/2020 and endorsed by the PHI AND D/CAO on 2/7/2020 and 30/7/2020 with overall performance rating of 4.</li> <li>5. Ms. Mirembe Racheal an Enviromental Health Assistant was appraised by Mr. Wambuzi Moses a SMCO on 31/6/2019</li> <li>6. Mr. Muwerezza Edward a Laboratory Assistant at Nawaikoke HC III was appraised by BaliraineNassah a Laboratory Technician on 30/6/2020 and endorsed by SMCO and PACAO on 2/7/2020 and 10/8/2020 respectively with overall performance rating of 4.</li> <li>7. Ms. Nababi Sarah an Enrolled Nurse at Bumanya HC IV was appraised by Ms. Nangobi Janet an AN/O on 13/7/2020 and endorsed by SNO and PACAO on 13/7/2020 and 10/8/2020 respectively with overall performance rating of 5.</li> <li>8. Ms. Babirye Justine an Enrolled midwife at Bumanya HC IV was appraised by Ms. Nangobi Janet a Nursing Officer on 9/7/2020 and endorsed by Ms. MwogezaChristine on the same date.</li> <li>9. Mr. Nyiro Stephen David a Senior Anaesthetic Officer was appraised by Dr. Kibirige Paul a Medical Officer on 6/7/2020 and endorsed by SHE and D/CAO on 1/7/2020 and 30/7/2020 respectively with overall performance rating of 4.</li> <li>10. Ms. Kisuubo Justine, a Nursing Assistant at Namwiwa HCIII was appraised by Mr. Wambuzi Moses a SMCO on 30/6/2019.</li> </ol>
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8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence of corrective actions taken based on the appraisal reports</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>Kaliro District DHT had worked with Health Professional Councils (Medical and Dental Practitioners Council, Allied Health Professionals Council, Nurses and Midwives Council, and Pharmacy Council) and development Partners notably RHITES-EC, Marie Stopes, RHU to implement CPD during FY 2019/2020. A report dated 6th June 2020 indicated the DHT had conducted training of 20 health care workers in Medical waste management Another report dated 30th January indicated the DHT had trained 56 health workers in epidemic management.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The DHT had documented training Activities in hard copy and soft copy training lists /CPD Database. Each record of trained health worker had name, sex, health facility, contact telephone, topics of training, date of training.</p>	1

### Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>In a letter Ref CR350/3 of 24th September 2020 the COA Kaliro district informed the PS Ministry of Health the Health Facilities on PHC NWR grant for FY 2020/21.</p>	2
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9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>There was evidence that the LG made allocation which was within the allowable 15% of the PHC NWR grant to monitoring service delivery.</p> <p>From the LG approved budget estimates, page 19 the total allocated PHC NWR funds to DHOs office was Ugx. 158,717,000, Ref. page 22 of the Budget estimates</p> <p>The total allocation to monitoring service delivery was Ugx. 17,047,000, Ref: page 63, annual budget performance</p> <p>The percentage allocated to monitoring service delivery was <math>(17,047,000 / 158,717,000) \times 100 = 10.7\%</math>.</p>	2
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>There was evidence that the LG delayed warranting the PHC NWR releases for three quarters. This was cited on the PBS, GOU Approved Warrant Report Kaliro District Ref: 01-Jul-2019 to 30-Jun-2020, dated 30-11-2020 and GoU Cash Limits Report as indicated below.</p> <ul style="list-style-type: none"> <li>• Q1 PHC grant cash limits were received on 24th July 2019 and warranted on 27th July 2019, Ref: Warrant No. 561-AW-2020-5</li> <li>• Q2 PHC grant cash limits were received on 07th October 2019 and warranted on 21st October 2019</li> <li>• Q3 PHC grant cash limits were received on 14th January 2020 and warranted on 19th January 2020</li> <li>• Q4 PHC grant cash limits were received on 14th April 2020 and warranted on 24th April 2020</li> </ul> <p>From the above the warrants for Q2 and Q4 were submitted beyond 5 days; from the date of receipt of cash limits thus the LG was non-compliant.</p>	0
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of funds release in each quarter, score 2 or else score 0</p>	<p>There was no evidence that the LG invoiced and made a communication on a breakdown of Primary Health Care Conditional Grant to all health facility in charges in lower Health facilities by the time of the assessment.</p>	0

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>There was no evidence that the LG had publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoPPED.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>Kaliro DHT had conducted quarterly Performance Review meetings for FY 2019/2020 as follows: Quarter 1 meeting was conducted on 26th July 2019, Quarter 2 meeting conducted on 22nd November 2019, Quarter 3 meeting on 17th March 2020, and Quarter 4 review meeting was conducted on 6th June 2020.</p> <p>The above named quarterly performance review reports indicated that the DHT followed up actions recommended by the DHMT review meetings. For instance under Minute No. 7/DHMT/Qtr 4/2019-20 Reactions, Discussions &amp; Way forward, the meeting had tasked the DHO to collect 4 motorcycles delivered accidentally by NMS in Jinja district; had also tasked the DHO to ensure that the an ambulance grounded at Bumanya HC IV is toyed to the district for repair, and to write to all in-charges of health facilities to ensure that all their staff are appraised. By the time of assessment these action points had been implemented</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The attendance lists of all the 4 DHMT Quarterly review meetings minutes indicated that most of the key stakeholders had been involved in the meetings. For instance quarter I review meeting held on 26th July 2019 and Quarter II Performance Review meetings held on 22nd November 2019, the attendance lists included officers and entities such as in-charges of health facilities, DHO, DHI, DCCT, PACAO, SEO, DTLS, HI, Biostat, DHE,DCDO, EPI,-FP, EIM, CHAI, Sec Health,</p>	1



10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p>	<p>By the time of assessment Kaliro district had only one (1) HC IV (Bumanya HC IV), and I HSD and a total of 18 lower health facilities at the level of HC III and HC II); 13 health facilities were government and 6 facilities were faith based PNFP. Available reports indicated that the DHT had supervised all these facilities including some Private for Profit health facilities at least once every quarter during FY 2019/020. Reference are Quarter 1 Supervision report dated 4th October 2019, Quarter 2 supervision report dated 8th January 2020, Quarter 3 supervision report dated 26th March 2020, and Quarter 4 supervision report dated 3rd July 2020.</p>	1
	<p>Maximum 7 points on this performance measure</p>	<p>If not applicable, provide the score</p>	<p>Each quarterly report had a summary of action points to be followed up. For instance, quarter 1 report indicated that Kisinda HC II had failed to access PHC funds yet it was already on the list. The immediate action undertaken was to liaise with the Finance department for acquisition of a supplier number and a Cheque book. The in-charge Kisinda HC li and DHO were mandated to follow up this.</p> <p>In quarter 2 supervision report indicated that Nawampiti HC III newly installed solar panels were not working, and Nabikooli HC II solar panels had been stolen. In-charges and DHO were required to follow up these issues. These issues were followed up but quarter 3 supervision report indicated that action had not been taken up</p>	
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p>	<p>Kaliro district had one HSD based at Bumanya HC IV. The HSDs conducted quarterly support Supervision to lower health units under their jurisdiction during FY 2019/2020 as outlined in their quarterly supervision reports: . Quarter 1 dated 5th August 2019, Quarter 2 report dated 13th November 2019, and , Quarter 3 report dated 12th February 2020</p>	1
	<p>Maximum 7 points on this performance measure</p>	<p>• If not applicable, provide the score</p>		

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>By the time of the assessment, there was evidence that the DHO had actively followed up findings in the supervision reports. For instance quarter 4 supervision report indicates that the DHO collected a GAVI motorcycle from Jinja allocated to support immunization; the ambulance that had been grounded at Bumanya HC IV was toyed to works department for repair.</p>	1						
	<p>Maximum 7 points on this performance measure</p>									
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>Management of medicines and health supplies and Surveillance was handled by AIMO AND DDI. Reports available at the time of assessment indicated that these two officers conducted quarterly supervision of health facilities to guide them on medicines management. Reference include support supervision reports submitted to DHO/CAO dated 17th August 2019, 21st November 2019, 9th March 2020, and 22nd June 2020</p>	1						
	<p>Maximum 7 points on this performance measure</p>									
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>There was evidence on allocation to the District Health Office Budget towards health promotion and prevention activities.</p> <p>The total budget allocated to DHOs Office was Ugx. 158,717,000, Ref. page 22 of the Budget estimates FY 2019/20.</p> <p>The total funds allocated to promotion activities from pages 58 and 63 of the Annual Performance Report were.</p> <table border="0" data-bbox="730 1480 1348 1630"> <tr> <td>Promotion</td> <td>Ugx. 1,000,000</td> </tr> <tr> <td>Immunization</td> <td>Ugx. 3,602,000</td> </tr> <tr> <td>Social mobilization</td> <td>Ugx. 1,480,000</td> </tr> </table> <p>Health services monitoring and inspection Ugx 20,200,000</p> <p>All totaling to Ugx 26,282,000</p> <p>Thus, percentage allocated <math>= (26,282,000 / 158,717,000) \times 100 = 16.5\%</math></p> <p>The LG allocated 16.5 % to promotion and prevention activities which was below the allowable 30% ; thus the LG was non-compliant in this area.</p>	Promotion	Ugx. 1,000,000	Immunization	Ugx. 3,602,000	Social mobilization	Ugx. 1,480,000	0
Promotion	Ugx. 1,000,000									
Immunization	Ugx. 3,602,000									
Social mobilization	Ugx. 1,480,000									
	<p>Maximum 4 points on this performance measure</p>									

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>Kaliro DHO had implemented Health Promotion, Disease prevention and Social mobilization activities during FY 2019/2020. These activities included Health Education, WASH, and EPI activities.</p> <p>Under EPI the DHO had conducted Cold Chain maintenance of Vaccine refrigerators in the district health facilities, had ensured delivery of vaccines to health facilities, maintained EPI vaccine inventory, supervised Child Days implementation during FY 2019/20. The District Health Educator had developed radio messages about STDs, Malaria, TB, HPV, and ASRH; conducted radio talk shows on radio NBS 89.4 FM; the district had participated in World AIDS Day 1/12/2019 among other activities.</p>	1
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11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>From the available reports in support supervision books at the health facilities there was evidence of follow –up Actions taken by the DHT/MHT on Health Promotion and disease prevention issues particularly on immunization program.</p>	1
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**Investment Management**

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>Kaliro District LG had an updated Asset register which included: Infrastructure (Land, Buildings and toilet facilities), Medical equipment, Medical Furniture and others).</p>	1
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Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the LG Development Plan; (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)): score 1 or else score 0

There was evidence indicating that the investments projects for health were derived from the development plan, prioritized in the AWP and discussed by TPC.

In the Kaliro District Development Plan (DDP) 2015/16 to 2019/20 the investments projects for health were indicated on pages 161-162

- Upgrade of Kasokwe HC II to HC III budgeted at Ugx. 617,500,000 (ref: page 162 of the DDP II was reflected on page 78 of AWP)
- Construction a pit latrine and placenta pit budgeted at Ugx. 26,000,000(ref: page 161 of the DDP II was reflected on page 77 of the AWP)
- Renovation of the DHO's office budgeted at 20,600,000 (ref: page 165 of the DDP II, was reflected on page 78 of the AWP)
- Renovation of OPD blocks at Namwiwa HCIII estimated at Ugx. 10,000,000 (ref: page 162 of the DDP II, and page 78 of the AWP)

The Committee meeting for Health, Education and Community held on 14/ 08/2019 discussed the health departmental workplan, Ref: Min 06/HEL/SEP/2018/2019, page 3.

The 4th Council 18th meeting sitting on 23rd May 2019 on 7th November 2019 discussed approved the departmental workplans, Ref: Min.No. 53/KDLC/MAY/2018/19.

Thus, the LG was compliant in this area.

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0

There was no evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized design to site conditions.

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There was evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist. However this was done without the CDO's input yet the CDO and Environment Officer are required to do so jointly. Therefore the LG scored zero. Examples of the screened Health Facilities were;</p> <p>i. Renovation of OPD at Namwiwa Health Centre III. Forms were filled and signed by the Environment Officer on 15th July 2019.</p> <p>ii. Construction of a placenta pit in Bumanya Health Centre IV. Forms were filled and signed by the Environment Officer on 15th July 2019.</p> <p>iii. Construction of 5 stance lined pit latrine at Nabikooli health Centre II. Forms were filled and signed by the Environment Officer on 15th July 2019.</p> <p>Since the CDO had not endorsed on the screening forms yet the indicator required that both CDO and Environment Officer participate in the screening, the LG scored zero.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY ) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>Submission letters to the PDU/memos available and submitted on 26th April 2020.</p> <p>The AWP was available. Example include:</p> <p>a) Construction of OPD Structure at Kaliro TC II S. No. 2 page 7</p> <p>b) Upgrade of Buyinda HCII to III (Buyinda Sub-county). S. No. 1 page 7</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The Health department did submit Form PP1 to PDU by 30th June 2020</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>Minutes of the 04th District Contracts Committee Meeting held on 18th October 2019 in the PDU office</p> <ul style="list-style-type: none"> <li>• Renovation of OPD and General Ward at Nawaikoke HCIII (Nawaikoke Sub county): Procurement Ref. No KALI561/wrks/2019-2020/00045; Min 26/DCC/2019-2020</li> <li>• Construction of Placenta Pit at Bumanyi HCIV (Bumanaya Sub county): Procurement Ref. No KALI561/wrks/2019-2020/00042; Min 12(a)/DCC/2019-2020</li> <li>• Renovation of OPD at Namwiwa HCIII (Namwiwa Sub county): Procurement Ref. No KALI561/wrks/2019-2020/00044; Min 26/DCC/2019-2020</li> <li>• Construction works of upgrading Kasokwe HCII to III under UgIFT- Lot 4. Contract Ref No: MoH-Ugift /Wrks/2019-2020/0001-16</li> </ul> <p>Awarded to Visvar Investment Ltd on 13th January 2020 and 362/DCC/2019 of Tororo DLG</p> <p>Contract Amount UGX.656,546,199</p> <p>The Solicitor General letter Dated 26TH November 2019.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p>	<p>There was no evidence that PIT was constituted.</p>	0
	<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>		

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p>	<p>Evidence that the LG health infrastructure construction projects were not necessarily as per the approved MoH Facility Infrastructure Designs and those designs and BOQs provided by the LG Engineer</p> <p>Sampled project:</p>	0
	<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>	<p>Construction works of upgrading Budomero HCII to HCIII. For instance, the floor was already cracked, No locks on the doors, No Lightening arrestor, One vent installed on a 4 stance pit latrine.</p> <p>N.B. This was a project I was informed that it was completed however, awaiting the contractor rectify this issues.</p> <p>Upgrading of Kasokwe HCII to HCIII. A comprehensive site inspection, revealed that roofing was with G-26, Use of square Hole section 60x60x3 tie beam and rafters; Internal Members-50x50x3m2; facial boards 150x150x3m2.</p> <p>Kasokwe HCII to HCIII some measurements taken and validated using the standard technical designs. The Workmanship was good, windows fitted with glass panes, walking lane refurbished, fixed lightening conductor, painting in good visual assessment for internal and external walls and 3 door were re-fixed. However, pending works included ceiling, shattering, screeding the floor, painting and electrical wiring works.</p>	
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p>	<p>There was no evidence of clerk of works consolidated report. However, there were Minutes of site meeting or Upgrading of Kasokwe HCII to HCIII dated 30th October 2020 and 17th November 2020.</p> <p>Members present included: District Chairperson, PAS, DE, DHO, DHI (Contract Manager), Foreman Visvar, DCDO, Secretary for Health, Senior Environmental Officer.</p>	0
	<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>		

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p>	<p>There were some evidence of site meetings by a committee. For-instance:</p> <p>Minutes of site meeting or Upgrading of Kasokwe HCII to HCIII dated 14th August 2020 and 25th September 2020. Members present included: District Chairperson, PAS, DE, DHO, DHI (Contract Manager), Foreman Visvar, DCDO, Secretary for Health, Senior Environmental Officer, Chairperson HUMC Kasokwe HCIII</p> <p>Minutes of site meeting or Upgrading of Kasokwe HCII to HCIII dated 30th October 2020 and 17th November 2020. Members present included: District Chairperson, PAS, DE, DHO, DHI (Contract Manager), Foreman Visvar, DCDO, Secretary for Health, Senior Environmental Officer.</p>	1
<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p>	<p>Minutes of site meeting or Upgrading of Kasokwe HCII to HCIII dated 14th August 2020 and 25th September 2020. Members present included: District Chairperson, PAS, DE, DHO, DHI (Contract Manager), Foreman Visvar, DCDO, Secretary for Health, Senior Environmental Officer, Chairperson HUMC Kasokwe HCIII</p> <p>Minutes of site meeting or Upgrading of Kasokwe HCII to HCIII dated 30th October 2020 and 17th November 2020. Members present included: District Chairperson, PAS, DE, DHO, DHI (Contract Manager), Foreman Visvar, DCDO, Secretary for Health, Senior Environmental Officer.</p>	1
<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>			



13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>From the sampled contracts there was evidence that all payment requests from suppliers were verified and initiated by the DHO: For instance.</p> <p>A payment worth Ugx. 191,380,743; Invoice No.PHC-044-19 made on 27th November 2019 to Green tea for upgrading Budomero HC II and Nawampiti HCII. The request for payment worth Ugx. 350,000,000 was raised by the Contractor on 5th August 2019.The District Engineer Initiated the request for payment on 7th October 2019 which was forwarded by DHO on 8/10/2019 and approved on 15/10/2019 by the CAO. Certification of payment was done by the District Engineer and Chief Administrative Officer, on 15/10/2019.</p> <p>A Payment worth Ugx. 191,380,743; Invoice No.PHC-044-19 made on 27th November 2019 to Virmar Technology Investment Ltd for constructing a 5-stance pit latrine at Nabikooli H/C II. The request for payment worth Ugx. 350,000,000 was raised by the Contractor on 5th August 2019.The District Engineer Initiated the request for payment on 7th October 2019 which was forwarded by DHO on 8/10/2019 and approved on 15/10/2019 by the CAO. Certification of payment was done by the District Engineer and Chief Administrative Officer on 15/10/2019.</p> <p>From all sampled payments made to contractors there was a delay of one month in initiating and verifying payments by the DHO.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>Procurement files for health infrastructure projects for the previous FY was complete. For instance:</p> <p>Renovation of OPD and General Ward at Nawaikoke HCIII (Nawaikoke Sub county)</p> <p>Advert: Selective Bidding.</p> <p>Invitation to bid: dated 26th August 2019 closing on 6th September 2019</p> <p>Date for Opening: 6th September 2019.</p> <p>Date of Closing: 6th September 2019.</p> <p>Display: 20th October 2019</p> <p>Removal of Display: 1st November 2019</p> <p>Requisition forms PPF1: 16th August 20219</p> <p>The Evaluation report : 8th October 2019</p> <p>Minutes 04th District Contracts Committee Meeting decision dated, 18th October 2019; Min 26/DCC/2019-2020.</p> <p>BEB Notice: 20th October 2019</p> <p>Letter of Bid Acceptance: 4th November 2019</p> <p>Bidder's acceptance: 5th November 2019</p> <p>The awardee contractor :Masubo General Enterprises Ltd</p>	1

Contract agreement: 26TH November 2019

Contract sum: 25, 465,580

Construction of Placenta Pit at Bumanya HC IV (Bumanya Sub county)

- Advert: Abridged Bid Notice date Tuesday 18th June 2019 in the Daily Monitor
- Requisition forms PPF1: 17th June 2019
- The Evaluation report dated: 24th July 2019
- Minutes of Min12 (a)/DCC/2019-2020 District Contracts Committee Meeting decision date: 2nd August 2019 during the 02nd Of the DCCM held in PDU office.
- Procurement Ref No. KALI561/WKRS/2020-2021/00042
- BEB Notice: 2nd AUGUST TO 15THE August 2019 for display and removal respectively
- Letter of Bid Acceptance: 16th August 2019
- Bidder's acceptance: 19th August 2019
- The awardee contractor: Ms. Mercy Uganda Ltd
- Contract agreement 19th August 2019

Amount: worth UGX. 8, 000,000.

Renovation of OPD at Namwiwa HCIII (Namwiwa Sub county)

Advert: Selective Bidding.

Invitation to bid: dated 26th August 2019 closing on 6th September 2019

Date for Opening: 6th September 2019.

Date of Closing: 6th September 2019.

Display: 20th October 2019

Removal of Display: 1st November 2019

Requisition forms PPF1: 16th August 20219

The Evaluation report : 8th October 2019

Minutes 04th District Contracts Committee Meeting decision dated, 18th October 2019; Min 26/DCC/2019-2020.

BEB Notice: 20th October to 1st November 2019 for display and removal

Letter of Bid Acceptance: 4th November 2019

Bidder's acceptance: 5th November 2019

The awardee contractor :Masubo General Enterprises Ltd

Contract agreement: 19th November 2019

Contract sum: 10,000,000

## Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>At the time of assessment, the LG did not have evidence of grievances / complaints recorded, investigated, responded to and recorded in line with the grievance redress framework under the Health sector.</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>At the time of assessment there was evidence that the LG had</p> <p>Disseminated guidelines on health care / medical waste management to health facilities. For example from the DHO's dispatch book, the assessment team obtained evidence indicating the guidelines were signed for on 13th February 2020 by Fatuma Namuleemo signed on behalf of Bumanya Health Center IV, Nyenda Ibra signed on behalf of Namwiwa Health Center III and Mutaka Patrick signed on behalf of Kyani Helath Centre II respectively.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>At the time of assessment, there was evidence of a copy of a MoU between University Research Company, LLC (URC) and Kaliro LG for USAID's Regional Health Integration to enhance Services in East and Central Uganda (RHITES-EC). The MoU was effective 17th July 2017 to 29th September 2021. RHITES-EC sub contracted Green label services on behalf of Kaliro LG to manage the District medical waste which was to be collected from Nawaikoke Health Centre III and Bumanya Health Centre IV. Furthermore there was evidence of District waste collection forms of Green label service providers for example one was dated 29th June to 17th July 2020.</p>	2

15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>There was evidence that the LG had conducted a training and created awareness in healthcare waste management from the minutes dated 6th June 2020. RE: Training on medical waste management. The training was held at the District Headquarters' health boardroom with twenty (20) participants out of the twenty five expected. According to the minutes, the target audience were, the in charge or health workers who were the focal contact persons in waste management at their respective health facilities both in public and private. Some of the members from the attendance sheet were: LegesiSosi, AEHO, Mirembe Racheal AEHO, Seeba Abdul AIMO, MatenyoBreanda, H/A. The training was deemed necessary for the health workers after seeing the deteriorating status of how the facilities were managing the medical waste and this was done in collaboration with RHITES-EC. The trainers were the district health inspector Johnson Ssabagabo and the district drug inspector NabonghoRicahrd.</p>	1
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>At the time of assessment, there was no evidence of costed ESMPs incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY. Therefore, the LG scored zero. For example:</p> <p>Contract document Renovation of OPD at Namwiwa Health Centre III. Ref: KALI 561/wrks/2019-2020/00044.</p> <p>ii.Construction of a placenta pit in Bumanya Health Centre IV. . Ref: KALI 561/wrks/2019-2020/00042. iii.Construction of 5 stance lined pit latrine at Nabikooli health Centre II. Ref: KALI 561/wrks/2019-2020/00045. Therefore the LG scored zero.</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>There was evidence of a land title on which Nabikooli Health Center II is located with details: certificate of title, freehold, volume JJA450, Folio 2, Area 1.3320 hectares, county, Bulamagi, District. Plot 120, Block 15 at Namankanda. Ownership M/s Kaliro DLG of P.o Box 56, Kaliro dated 5th March 2019.</p> <p>However there was documentation to prove that Namwiwa Health Centre III and Bumanya Health Centre IV were on land where the LG had proof of ownership in terms of letter of request of inspection to survey, land title, agreement; Formal Consent, MoUs, etc yet the indicator required evidence for all health sector projects. Therefore the LG scored zero.</p>	2

<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>There was evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs for example;</p> <p>i. Monitoring report dated 30th September 2019 Ref: NR 550/2 on Environment monitoring of health projects in quarter 1 FY 2019/2020. Renovation of OPD at Namwiwa Health Center III located in Namwiwa sub county. The findings were that the project had begun. Recommendation: The procurement department and DHO to expedite the process as soon as possible. Renovation of DHO's Office at the district headquarters, findings: The project had not begun. Recommendation: The procurement department and DHO to expedite the process as soon as possible. Renovation of OPD at Nawaikoke health centre III. Finding: The project had not begun. Recommendation: The procurement department and DHO to expedite the process as soon as possible.</p> <p>ii. Monitoring report dated 20th December 2019 Ref: NR 550/2 on Environment monitoring of health projects in quarter 2 FY 2019/2020. Renovation of OPD at Namwiwa Health Center III located in Namwiwa sub county. The findings were that the project had begun. Recommendation: The procurement department and DHO to expedite the process as soon as possible. Renovation of DHO's Office at the district headquarters, findings: The project had not begun. Recommendation: The procurement department and DHO to expedite the process as soon as possible. Renovation of OPD at Nawaikoke health centre III. Finding: The project had not begun. Recommendation: The procurement department and DHO to expedite the process as soon as possible.</p>
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<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects</p> <p>score 2 or else score 0</p>	<p>There was evidence of Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO. Prior to payments of contractor. For example;</p> <p>i. Certificate form For renovation of OPD at Namawiwa Health Centre III by M/s Masubo General Enterprises Ltd. Certificate completed and signed by the Environment Officer and CDO who Certificated that the mitigation measures as described in the project document had been fairly done and therefore recommended approval of works dated 30th March 2020. Ref: KALI 561/wrks/2019-2020/00044. Payment certificated dated 2nd April 2020.</p> <p>ii. Certificate form For construction of a 5 stance lined pit latrine at Nabikooli Health Centre II by M/s Virmar Technical Investment Ltd. Certificate completed and signed by the Environment Officer and CDO who Certificated that the mitigation measures as described in the project document had been satisfactorily done, project was completed, construction debris was removed but trees were not yet planted and therefore recommended approval of works dated 16th December 2019. Ref: KALI 561/wrks/2019-2020/00041. Payment certificated dated 19th December 2019.</p> <p>iii. Certificate form For construction of placenta pit at Bumanya Health Centre IV by M/s Mercy Uganda Ltd. Certificate completed and signed by the Environment Officer and CDO who Certificated that the mitigation measures as described in the project document had been satisfactorily done, site was cleared of the construction debris and the ground was levelled to clear all the stock piles after excavation. Project phase completion and therefore recommended approval of works dated 1st November 2019. Ref: KALI 561/wrks/2019-2020/00042. Payment certificated dated 7th November 2019.</p>
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No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees  <i>Maximum 4 points on this performance measure</i>	a. % of rural water sources that are functional.  If the district rural water source functionality as per the sector MIS is:  o 90 - 100%: score 2  o 80-89%: score 1  o Below 80%: 0	From the Ministry of Water and Environment MIS sector data report, it was observed that Kaliro DLG had rural water source functionality percentage of 95% ( Water summary 2019/2020	2
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees  <i>Maximum 4 points on this performance measure</i>	b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:  o 90 - 100%: score 2  o 80-89%: score 1  o Below 80%: 0	From the Ministry of Water and Environment MIS sector data report, it was observed that Kaliro DLG had rural water source functionality percentage of 95% ( Water summary 2019/2020	2
2	Service Delivery Performance: Average score in the water and environment LLGs performance assessment  <i>Maximum 8 points on this performance measure</i>	a. The LG average score in the water and environment LLGs performance assessment for the current. FY.  If LG average scores is  a. Above 80% score 2  b. 60 -80%: 1  c. Below 60: 0  (Only applicable when LLG assessment starts)	Not applicable	0

Service Delivery  
Performance: Average  
score in the water and  
environment LLGs  
performance  
assessment

*Maximum 8 points on  
this performance  
measure*

b. % of budgeted water  
projects implemented in the  
sub-counties with safe water  
coverage below the district  
average in the previous FY.

o If 100 % of water projects are  
implemented in the targeted  
S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

There was evidence that Kaliro DLG had budgeted for water projects during FY 2019/20- Approved budget 2019/20 page 9 at estimated cost of Ugx.449, 758,957. The district average safe water access by the end of the FY 2018/19 was at 51% and the following sub counties were planned and implemented according to the annual work plan FY 2019/2020 dated 12th July, 2019, reference; CR752/1 Page 9

Bumanya sub county 36%

Namwiwa sub county 47% The following were not included, though they were below the district average safe water access by end of the same financial year.

Budomero sub county 45%

Bukamba sub county 14%

In the fourth quarter progress report FY 2019/20 dated 6th July, 2020 in the budget expenditure indicated Ugx.450,518,725 of the development budget spent page 3 of 3

Targeted sub county were 4 in number with percentage safe water access less than 51% , implemented and completed leading to  $(2/4)*100=50\%$

Therefore, LG had 50% implementation rate to the above water sources projects in Sub counties less than 51% safe water access.



Service Delivery  
Performance: Average  
score in the water and  
environment LLGs  
performance  
assessment

*Maximum 8 points on  
this performance  
measure*

c. If variations in the contract  
price of sampled WSS  
infrastructure investments for  
the previous FY are within +/-  
20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

For the sampled projects the following observations  
were recorded concerning;

The engineers' estimate and the contract price'

- Drilling, pump testing, Installation and casting of  
09 Boreholes Lot 1; Engineers' estimate was  
Ugx.178,200,000 the contract price was  
UGX.180,284,000, variation was Ugx. 2,084,000  
equivalent to  $(2,084,000/178,200,000)*100$

= 1.2%

- Drilling, pump testing, Installation and casting of  
08 Boreholes Lot 2; Engineers' estimate was  
Ugx.158,400,000 the contract price was  
UGX.160,172,138 variation was Ugx.1,772,138  
equivalent to  $(1,772,138/158,400,000)*100$

= 1.12%

- Siting and drilling supervision of 17 boreholes;

the engineers' estimate was Ugx.54,400,000, the  
contract price was Ugx.54,400,000 with a variation  
of Ugx.00 equivalent to 0.00%

- Construction of a 4-stance pit latrine at the district  
headquarters;

the engineers' estimate was Ugx.16,800,000, the  
contract price was Ugx.16,800,000 with a variation  
of Ugx.00 equivalent to 0.00%

Therefore, the LG scores the 2 points since all the  
variations in the contracts were within +/-20%

The positive meant that the Engineers' estimate was  
lower than the cost quoted by the contractors.

2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <p>o If 100% projects completed: score 2</p> <p>o If 80-99% projects completed: score 1</p> <p>o If projects completed are below 80%: 0</p>	<p>There was evidence that all of WSS infrastructure projects were completed as per the annual work plan by the end of the FY as observed from;</p> <ul style="list-style-type: none"> <li>The annual budget performance report FY 2019/20 In the fourth quarter progress report FY 2019/20 dated 6th July, 2020 in the budget expenditure indicated <math>(450,518,725/449,758,957)*100</math> giving 100.16% of the development budget spent, that is,</li> <li>Item 2.4 page 3 of 3, indicates that 17 boreholes were planned at a cost of Ugx. 449,758,957 and achieved 17 boreholes at a cost of Ugx. 450,518,725</li> <li>item 1.1 page 3 of 3, indicates that 01 latrine was planned at Ugx.16,800,000 and achieved 01 latrine at the same cost.</li> </ul>	2
3	<p>Achievement of Standards: The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>From the MWE MIS data on functionality of water sources, it was observed that during FY 2018/19, Kaliro DLG registered water source functionality of 95% and in FY 2019/20, the functionality was 95% leading to;</p> <p><math>(95-95)/95*100 = 0.00\%</math> increase in the water source functionality.</p>	0
3	<p>Achievement of Standards: The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water &amp; sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 5%: score 2</p> <p>o If increase is between 0-5%: score 1</p> <p>o If there is no increase: score 0.</p>	<p>According to MWE MIS data for FY 2018/19, Kaliro DLG had functional WSCs equivalent to 488 and in FY 2019/20 functional WSCs had increased to 496 in number, this gave an increase of 8 functional WSCs leading to <math>(8/488)*100 = 1.64\%</math> increase.</p>	1

**Performance Reporting and Performance Improvement**

4

3

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

There was evidence that DWO had accurately reported on WSS facilities constructed in the previous FY and performance of the facilities was as reported according to;

- On field visits for the sampled completed projects for FY 2019/20 revealed the following;
- The 4-Stance latrine at district headquarters was constructed with splash apron all-round the latrine(element no.1, U) and G28 pre painted Iron sheets(element no.2, D) as mentioned in the B.O.Q
- Fourth quarter annual report dated 6thJuly, 2020
- Borehole completion report for drilling, pump testing, casting and installation of 8 boreholes Lot-2 as at 22nd February, 2020 by Multec consult (U) Ltd.
- Supervision report for construction of 4- stance pit latrine with a urinal at the district headquarters for FY 2019/2020 dated 21stJanuary, 2020 by Mulyakubi Bob, AEO- water.
- Supervision report of casting and installation of nine (9) boreholes construction in FY 2019/2020 of Lot1 by Sharda driller (U) Ltd dated 4thMarch, 2020 by KiribakiLaston, the BMT.
- Supervision report for drilling, pump testing, casting and installation of eight (8) boreholes by Multec (U) Ltd FY 2019/2020 dated 4thFebruary, 2020 by Mulyakubi Bob, AEO- Water.

*Maximum 3 points on this performance measure*

5

2

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

There was evidence that Kaliro LG DWO collected and compiled quarterly information on sanitation facilities;

The following were quarterly reports availed for verification;

Quarter one (1) report dated 14thOctober, 2019 and submitted on 18th October,2019

Quarter two (2) report dated 14thJanuary, 2020 and submitted on 28th January,2020

Quarter three (3) report dated 14thApril, 2020 and submitted on 6th July,2020

Quarter four (4) report dated 2ndJuly, 2020 and submitted on 6th July,2020

*Maximum 7 points on this performance measure*

5	Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance  <i>Maximum 7 points on this performance measure</i>	b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0	MIS data report on new Boreholes on form 1 and 4 was submitted on 18th August, 2020 to PS MWE and a copy of receipt was seen. Some of the sources submitted included; <ul style="list-style-type: none"> <li>On 2nd August, 2020 a report on Namankanda.DWD.80210 source in Namugongo sub county was seen</li> <li>On 4th August, 2020, a report of Naibinga.DWD.59391 source in Bumanya sub county</li> <li>On 30th June, 2020 a report of Buyigangaine.DWD.59390 source in Kasokwe sub county was seen</li> </ul>	3
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5	Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance  <i>Maximum 7 points on this performance measure</i>	c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0  <i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i>	Not applicable	0
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### Human Resource Management and Development

6	Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff  <i>Maximum 4 points on this performance measure</i>	a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2	There was evidence that the DWO had budgeted for its departmental staff.  In the approved budget of FY 2019/20 approved by the district council in a meeting held on 31st May, 2019 under minute number 65/KDLC/May/2018/2019; Approval of district budget of the Water supply development budget, amount equivalent to Ugx.45,333,000 of PBS was allocated to the DWO staff.	2
6	Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff  <i>Maximum 4 points on this performance measure</i>	b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2	There was no evidence availed that DWO budgeted for the staff	0

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

*Maximum 6 points on this performance measure*

a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3

The DWO had three staffs out of three appraised. The details of appraisal were as follows;

- Edhaya David, Position Civil Engineer- water, file no. CR/D/10433, appraised for the period from,

1st July, 2019 to 1st July, 2020 by Nyonyi Paul, position Ag District Engineer on 13th June, 2020 and counter signed by PAC- CAO on 29th June, 2020.

- Mulyakubi Bob, Position AEO, file no. CR/D/10601 appraised for the period 1st July, 2019 to 30th June, 2020 by Edhaya David, Position Civil Engineer- water on 26th June, 2020 and counter signed by Nyonyi Paul, position Ag District Engineer on 26th June, 2020

- Kiribaki Laston, Position BMT, file no. CR/D/10426 appraised for the period 1st July, 2019 to 30th June, 2020 by Edhaya David, Position Civil Engineer- water on 26th June, 2020 and counter signed by Nyonyi Paul, position Ag District Engineer on 26th June, 2020.

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

*Maximum 6 points on this performance measure*

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

There was evidence that District Water Office had identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database.

- The Kaliro DLG training capacity needs assessment gaps FY 2019/20 submitted to the Human Resource department dated 4th July, 2019 on procurement and supply money chain, financial and value for money audit, record keeping and management, plant operator management, computer training, and driving training.

- Water sector capacity building work plan FY 2019/2020 dated 5th July, 2019

- Capacity building training report for the water FY 2019/2020 dated 4th June, 2020 and approved by CAO on 4th July, 2020. Indicates that the following training were under taken

Plant operator management by the borehole maintenance technician (BMT) conducted by the ministry works at Kaliro PTC

Computer training by the borehole maintenance technician at the district headquarters

Financial and value for money audit by the DWO conducted by UIPE facilitators at Eureka hotel Ntinda

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
- 
- If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- If 80-99%: Score 2
- If 60-79: Score 1
- If below 60 %: Score 0

According to the MWE Kaliro DLG had average safe water coverage during FY 2019/20 at 50% and in the AWP FY 2020/2021 prepared on 3rd July, 2020, approved on 6th July, 2020 reference no. CR752/1 page 28 the following sub counties were planned and budgeted with a total annual development budget of Ugx.841,180,837 (Annual budget page 28) and the allocation was as below;

Budomero sub county at 45% with Ugx.344,182,837,

Bumanya sub county at 36% with Ugx.108,800,000,

Namwiwa sub county at 47% with Ugx.163,200,000,

Bukamba sub county at 14% with Ugx.81,600,000, giving a total of Ugx.697,782,837, Ugx.106,400,000 for Rehabilitation giving a total development allocation of Ugx.804,182,837 out of which Ugx.697,782,837 was allocated to sub counties below the district average access coverage

Leading to  $(697,782,837/697,782,837) * 100 = 100\%$  budget allocation to sub counties below the district average.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

- b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

There was evidence that the DWO had communicated to the LLGs their respective allocations per source to be constructed in the current FY. This was done using the following means;

- On the DWO notice board allocation notice to all sub counties was seen dated, 7th July, 2020 for FY 2020/21 including, Bumanya, Namwiwa, Budomero, and Bukamba sub counties.

- Minutes for social mobilisers' meeting dated 11th September, 2019 indicates a list showing allocation of new water sources as read to member page 3 under minute number; Min5/SMM/Aug2019.

- Report on the communications made to all sub counties on WSS in FY 2019/2020 dated 20th May, 2020, a list of water sources in FY 2019/2020 and list of boreholes to be drilled in FY 2020/2021, under minute extract for sampled sub counties, for instance,

17th July, 2019, sub county council minute extract under minute; 09/NSSL SC/council minutes/08/10/2019 in Nansololo sub county.

12th July, 2020, sub county council minute extract under minute; 08/NMW SC/council/08/07/2019 in Namwiwa sub county.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If more than 95% of the WSS facilities monitored quarterly: score 4
- If 80-99% of the WSS facilities monitored quarterly: score 2
- If less than 80% of the WSS facilities monitored quarterly: Score 0

There was evidence that the district Water Office had monitored the WSS facilities at least quarterly as the assessment team observed below;

In the monitoring plan for existing water sources FY 2019/20 prepared by Mr. Edhaya David, DWO, to monitor 516 number of sources during the FY2019/20 and by the end of FY 2019/20, 491 number sources had been monitored giving  $(491/516)*100 = 95.2\%$  as verified from ;

- Monitoring report for quarter four (4) FY 2019/2020 dated 30th June, 2020 in the eleven (11) sub counties on functionality by Mr. Mulyakubi Bob, AEO- Water
- Monitoring report for quarter three (3) dated 29th March, 2020 for both new and old WSS in all Sub Counties by Mr. Mulyakubi Bob, AEO- Water
- Monitoring report for quarter two (2) dated 30th December, 2020 by Mr. Mulyakubi Bob, AEO-Water
- Monitoring report for quarter one (1) dated 1st October, 2019 by Mr. Mulyakubi Bob, AEO- Water .

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

There was evidence that the DWO conducted quarterly DWSCC meetings which were planned and were conducted. This was verified in the following reports;

- Under Minute Min4/DWSCC/JUN2020 for Kaliro DWSCC meeting held on 25th June, 2020, water officer reported to members the planned FY 2019/2020 WSS facilities by Mutesi Eunice, ADWO-Mobilization.
- Under Minute Min4DWSCC/Aug 2019 for Kaliro DWSCC meeting held on 26th August, 2019, water officer reported the successful construction of boreholes done by Mutesi Eunice, ADWO-Mobilization.
- Under minute Min5/DWSCC/Dec 2019 for Kaliro DWSCC meeting held on 4th December, 2019 water officer gave remarks on the budget and borehole allocation by Mutesi Eunice, ADWO- Mobilization.
- Under minute Min4/DWSCC/MAR 2020 for Kaliro DWSCC meeting held on 25th March, 2020, water officer commended the non-government organizations (NGOs) for continuous support like Life water organization by Mutesi Eunice, ADWO-Mobilization.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

There was evidence that the District Water Office had publicized budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub- counties.

The DWO notice board dated 7th July, 2020 shown the following allocation FY 2020/2021;

Budomero sub county had Ugx.344,182,837

Bumanya Sub county had Ugx.81,600,000

Namwiwa Sub county had Ugx.163,200,000 and

Bukamba sub county had Ugx.81,600,000



10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> <li>• If funds were allocated score 3</li> <li>• If not score 0</li> </ul>	<p>There was evidence that the DWO allocated budget to the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities as follows;</p> <p>In approved Annual work plan and budget FY 2019/20 page 8 the following was observed,</p> <p>NWR was equivalent to Ugx.31,242,462,</p> <p>Software mobilization activities allocated Ugx.7,250,305 page 8,</p> <p>Monitoring and supervision allocated Ugx.1,847,200, page 7</p> <p>stake holder coordination allocated Ugx.4,543,000 page 7</p> <p>Therefore, the total budget allocated towards mobilization activities Ugx.13,640,505 was equivalent to Ugx.17,601,957 giving <math>(13,640,505/31,242,462)*100</math></p> <p>=43.7%.</p>	3
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&amp;M of WSS facilities: Score 3.</p>	<p>There was evidence that the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on OEM of WSS facilities during FY2019/20 as was observed from the following training minutes reports;</p> <p>Report following the sensitization of communities on critical requirement and information of WSCs FY 2019/2020 dated 10thSeptember, 2019 in Bumanya, Kasokwe, Gadumire, Namwiwa, Kisinda, Nawaikoke, Namugongo and Buyinda Sub counties page 5 by Miss Mutesi Eunice, ADWO- SAN.</p> <p>Report by ADWO- San, following the training of the new WSCs FY 2019/2020 dated 20thApril, 2020 in Bumanya, Gadumire, Namwiwa, Kisinda, Kasokwe, Namugongo, Nawaikoke, and Buyinda sub counties page 4.</p> <p>All the sessions were about;</p> <p>Ensure community contributions plus O&amp;M,</p> <p>Opening up borehole bank accounts in banks,</p> <p>To encourage cleanliness and fencing of boreholes, and were carried out in attendance of the CDO and DWO.</p> <p>.</p>	3

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>No information was availed to the assessment team for verification</p>	0
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>b. Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>There was evidence that all water projects were derived from the LGDP and were eligible for expenditure.</p> <p>In the Kaliro district Development Plan (DDP) 2015/16 to 2019/20 on page 163 the DWO planned drilling of 17 deep wells and Rehabilitation of 38 boreholes. The funding was done by DWSCG. Also desk appraisal was conducted under minutes for the DEC meeting held on 20th April, 2019 minute;MIN6/DRC/20/04/2019, Presentation and discussion of work plan FY 2019/2020</p> <p>Minutes of the committee for works, production and Natural resources meeting held on the 11th December, 2018 under MIN20/WPNR/APRIL/2018/2019 work plan presentations for water recommended by council.</p> <p>Field project Appraisal report FY 2019/2020 as at 14th August, 2019, borehole drilling of 17 number deep wells, and rehabilitation of 38 wells, plus supply of assorted borehole spare parts for 38 deep wells to be rehabilitated on page 2.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>There was evidence that all budgeted investments for current FY had completed applications from beneficiary communities as observed below;</p> <p>Application dated 15th February, 2020 for Kolondo LC.1 source in Namwiwa sub county requesting for Boreholes,</p> <p>Application dated 08thMarch, 2020 for Kanambutiko LC.1 source in Bumanya sub county requesting for boreholes,</p> <p>Application dated 03rdMarch, 2020 for Kiyunga LC.1 source in Bumanya sub county requesting for boreholes.</p>	2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>There was evidential prove that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY as was evidenced by the hydrogeological investigation report for 17 boreholes locations carried out in October 2019, containing proposed borehole designs, aquaifer potential, yield and water quality, sitting method, calibration survey, geology and hydrology this was conducted by MS Shadda drillers (U) Ltd.</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There was evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPS prepared before being approved for construction for example;</p> <p>Construction of piped water scheme and construction of (15) fifteen boreholes at Kanambatiko, Kiyunga, Nkalu B, Nakyato, Bulago A, Kalondo, Luhunga and Butambala which were hosted on each a 15m X 15m piece land filled and endorsed by the Environment Officer on 23rd July 2020, ESIA were prepared by the Environment Officer and CDO on 23rd July 2020 and the ESMPS were prepared by the Environment Officer and CDO on 23rd July 2020. Some of the descriptions from the ESMPS were; Planning phase: Environment and social screening, indicators: report prepared, implementing agency: Water environment department costed at UGX 500,000. Land acquisition, negative Environment and Social impacts: land use conflicts. Mitigation measures: Community consultation acquisition of land documents. Indicators: Minutes of the meeting, implementing agency: community.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence that the water infrastructure Investments for FY 2019/20 were incorporated in the LG approved procurement plan approved by PPDA on, 21stOctober, 2019 page 1, and the following procurements were observed;</p> <p>Siting and drilling supervision of 17boreholes issue 2 on page 1 of 12,</p> <p>Construction of four stance lined pit latrine at district headquarters item number 3 on page 1 of 12, and</p> <p>Casting, drilling and installation of boreholes item number 1 page 1 of 12 in the procurement plan</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence that the water supply and public sanitation infrastructure for FY2019/20 were approved by the Contracts Committee before commencement of construction as verified from the following Contract Committee minutes;</p> <ul style="list-style-type: none"> <li>• Contract committee minute number, Min.12(a)/DCC/2019-2020 approving the evaluation report for category A :item 1 for Lot 1 (drilling, casting, and installation of 9 number boreholes), item 2 for Lot 2 (drilling, casting and installation of 8 number boreholes), item 3 for siting and supervision of 17 number boreholes on page 2 and item 12 for construction of latrine at the district headquarters page 3. and supervision of Boreholes) held on 2ndJuly, 2019.</li> </ul>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>There was evidence that the District Water Officer established the Project Implementation team as outlined below;</p> <ol style="list-style-type: none"> <li>1. The Contract manager Mr.Mulyakubi Bob the Assistant Engineering Officer-Water was appointed by the CAO in a letter dated 20th August, 2019 and contract implementation plan dated 5th September, 2019 was seen, this was for the drilling of 8 number boreholes under Lot- 2 and construction of 4 stance pit latrine at district headquarters.</li> <li>2. The contract manager Mr. Kiribaki Laston, BMT, was appointed by CAO in a letter dated 20thAugust, 2019 and contract implementation plan dated 5th September, 2019 was seen. This was for the drilling of 9 number boreholes under Lot- 1 plus siting and drilling supervision of 17 number boreholes.</li> </ol> <p>Reports by the consultant firm were seen ( supervision report for drilling, pump testing, casting and installation of 8 boreholes by Multec (U) Ltd for FY 2019/2020 dated 27th February, 2020).</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>There was evidence that water and public sanitation infrastructure sampled at;</p> <ul style="list-style-type: none"> <li>• Naibinga source (ID No;DWD 59391), in Bumanya Sub County had well-constructed hand pump platform constructed as specified in the B.O.Q (Bill no. 3, item 3.1) and was done</li> <li>• The 5 stance lined pit latrine at the district headquarters required steel solid casement doors constructed from standard m-s sections (Element no.4 Doors) plus soil and vent pipes of 100mm diameter uPVC (Element no.5,F) installed as specified in the B.O.Q and was done.</li> <li>• Buyigangaine borehole (ID No.; DWD- 59390) in Kasokwe Sub county pedestal installation as per drawing (Bill no.3, item 3) plus engagement (or signage) on all the sources with an acceptable format by the DWO) as specified in the B.O.Q (Bill no.3, item 4) and was done.</li> </ul>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was evidence that the relevant technical officers in Kaliro DLG carried out monthly technical supervision of WSS infrastructure projects as observed from the following site reports;</p> <ul style="list-style-type: none"> <li>• Supervision report of drilling 10 number deep boreholes dated 10thOctober, 2019 in six (6) sub counties by Mr. KiribakiLaston, the BMT, Kaliro district.</li> <li>• A report on drilling, pump testing, casting and installation of 9 number boreholes under Lot- 1 dated 28thFebruary, 2020 in five (5) sub counties by Mr. EdhayaLaston, the Civil Engineer water/DWO.</li> <li>• Supervision report for drilling, pump testing, casting and installation of 8 number boreholes in five (5) sub counties by Multec (U) Ltd for FY 2019/2020, dated 27thFebruary, 2020.</li> <li>• Supervision report for pump testing and installation of eight (8) boreholes under Lot2 FY 2019/2020 by Edhaya David, Civil Engineer- Water dated 28thMay, 2020.</li> </ul>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

*Maximum 14 points on this performance measure*

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

There was evidence that

the DWO has verified works and initiated 100% payments of contractors as follows ;

- For Lot2 contract constructed by Multec consults (U) Ltd, requisition was raised on 27th March, 2020 by the contractor and certified by the DWO on 30th March, 2020, CFO signed on 30th March, 2020, IPC1 raised on 27th March, 2020 taking three days, Voucher number 356, on 3rd April, 2020 amounting Ugx.160,172,138 and receipt no.521 was seen.

- For Lot1 contract constructed by Sharda Drilling (U) Ltd, requisition was raised on 5th February, 2020 by the contractor and certified by the DWO on 3rd March, 2020, CFO signed on 4th March, 2020, IPC1 raised on 2nd March, 2020 taking two days, Voucher number 334, on 11th March, 2020 amounting Ugx.186,800,000 and receipt no.025 was seen

- For the construction of 4 stance pit latrine at district headquarters, contract

by Wairanda consult (U) Ltd, requisition was raised on 25th March, 2020 by the contractor and certified by the DWO on 30th March, 2020, CFO signed on 30th March, 2020, IPC1 raised on 28th March, 2020 taking three days, Voucher number 376, on 30th April, 2020 and receipt issued on 18th May, 2020 was seen.

All payment were executed within the required timeframe of thirty (14) days.

<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p>	<p>The assessment team was able to see the complete procurement file for water infrastructure investments in place for each contract with all records as required by the PPDA Law as given below;</p> <ul style="list-style-type: none"> <li>• For lot 1 contract; Drilling, pump testing, casting and installation of 09 Boreholes, date of advert was 18th June, 2019 in the Daily Monitor newspaper, bid issue on 26th June, 2019 bid receipt date was 8th July, 2019, bid close /Opening date was 8th July, 2019</li> </ul> <p>Evaluation report on the bid was 30th July, 2019, Contract committee minutes was on 2nd July, 2019 minute number Min.06(a)/DCC/2019-2020 and best evaluated bidder notice placed on, 2nd August, 2019 awarded to Sharda Drilling (U) Ltd.</p> <ul style="list-style-type: none"> <li>• For lot 2 contract; Drilling, pump testing, casting and installation of 08 Boreholes, date of advert was 18th June, 2019 in the Daily Monitor newspaper, bid issue on 26th June, 2019 bid receipt date was 8th July, 2019, bid close /Opening date was 8th July, 2019, Evaluation report on the bid was 30th July, 2019, Contract committee minutes was on 2nd July, 2019 minute number Min.06(a)/DCC/2019-2020 and best evaluated bidder notice placed on, 2nd August, 2019 awarded to Multec Consults (U) Ltd</li> <li>• For Construction of 4-stance lined pit latrine at the District Headquarters, date of advert was 18th June, 2019 in the Daily Monitor newspaper, bid issue on 26th June, 2019 bid receipt date was 8th July, 2019, bid close /Opening date was 8th July, 2019, Evaluation report on the bid was 30th July, 2019, Contract committee minutes was on 2nd July, 2019 minute number Min.06(a)/DCC/2019-2020 and best evaluated bidder notice placed on, 2nd August, 2019 awarded to Wairanda Consult (U) Ltd.</li> </ul>
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### Environment and Social Requirements

<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>There was one grievance recorded under the water sector. Mr. Waako John of Namwiwa trading center. He complained about delayed access to water. Action taken: Forwarded to Water Officer to consideration who at the time of assessment was waiting for feedback from the LLG for onward action because the matter was further handed to them.</p>
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14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that the DWO and Environment Officer had disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs from meeting minutes dated 11th September 2019 for social mobilizers meeting that sat on 27th August 2019. Under Min. 3/SMM/Aug2019: Remarks from Civil Engineer water. He disseminated guidelines on water source and catchment protection and resource management to members. He added that they need to obtain copies of the same from his office for reference and should also get to know more but the guidelines. A copy of attendance list was attached with twenty three (23) participants of whom seven (7) were CDOs for example Kantono Betty CDO Bukamba, Bukyala Mirin CDO Namugongo, Mirembe Esther CDO Buyinda. A copy of the guidelines from MoW&amp;E for water source protections Vol. 1 Published May 2013 was seen.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans &amp; natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that that water source protection plans and natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented for example screening forms, ESMPs, Environment and Social Certification forms were completed and monitoring reports prepared for; Naibinga, Takira, Nsulumbi at Mugejera's place. Kamutaka, mahomo, Kiranga B, Busiro Central, Bugada, Buyingangaine at Kaisuka's place. At Butongole Primary school, Busanda, Namejje, Kirama B, Butaata, Buwangala, Beeda and Ndooli. ESIA and ESMPs were endorsed by the CDO and Environment Officer on 29th July 2019.</p>	3



15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that all WSS projects were implemented on land where the LG had proof of consent for example;</p> <p>i. A land agreement dated 13th March 2020 where MR. Wafula Eliot the land owner agreed to donate part of his land for construction of a borehole located in Nsulumbi, Kisanda Sub county. The agreement was endorsed by Mr. Nsulumbi Lastston the LC1 Chairperson..</p> <p>ii. A land agreement dated 10th March 2020 where MR. Okuni John Peter the land owner agreed to donate part of his land for construction of a borehole located in Takira central, Bumanya I Sub county. The agreement was endorsed by Mwota Joseph the LC1 chairperson.</p> <p>iii. A land agreement dated 11th March 2020 where Mr. Wakaisuka Henry the land owner agreed to donate part of his land for construction of a borehole located in Buyingangaine at Waisuka's place. The agreement was endorsed by Wakaisuka Henry the LC1 chairperson.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&amp;S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>There was evidence of Environment and social certification forms dated 10th February 2020 for drilling nine (9) deep wells LOT 1 Ref: KALI 561/wrks/2019-2020/00001 Contracted to Sharda Drilling (U) Ltd. Form was completed and endorsed by the CDO and Environment Officer on 10th February 2020 indicating that mitigation measures were satisfactorily done. Payment done on 10th March 2020 UGX 177,443,850.</p> <p>Lot 2 Ref: KALI 561/wrks/2019-2020/00002, drilling eight (8) deep wells dated 10th February 2020. Contracted to Multec (U) Consults Ltd. Form was completed and endorsed by the CDO and Environment Officer on 10th February 2020 indicating that mitigation measures were satisfactorily done. Payment done on 6th April 2020 UGX 54,959,625.</p>	2

Safeguards in the  
Delivery of Investments

*Maximum 10 points on  
this performance  
measure*

d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:

Score 2, If not score 0

There was evidence of a monitoring report on water sources sited and drilled in FY 2019/2020. For example;

i. Report on Monitoring progress of water sources for the month of May dated 28th May 2020. Ref: NR 550/2. Project name: Takira, located in Bumanya sub-county. Findings: some trees were planted but the management was poor, the fencing was not done yet, not good drainage. Recommendations: Improve the tree management, plan to fence the source.

Project name: Nabigwali, located in Bumanya sub-county. Findings: some trees were planted but the management was poor, the fencing was not done yet, not good drainage. Recommendations: Improve the tree management, water user committee to expedite the fencing, clear for the soak pit. Prepared and endorsed by the Environment Officer and CDO.

ii. Report on Monitoring progress of water sources for the month of May dated 30th January 2020. Ref: NR 550/2. Project name: Nsulumbi at Mugejera's place Kisindasub-county. The exercise was undertaken by the Environment Officer and CDO who also endorsed on the report. Findings: The waste was well managed, the site was not secured from the public, the site was free from any stagnant water, no protective gear given to workers.

Recommendations: Provide protective gear for workers, the contractor should put in place a security monitor since the site is not secured and fenced, the contractor should sprinkle water in case of air pollution by dust.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	Outcome: The LG has increased acreage of newly irrigated land  Maximum score 4  Maximum 20 points for this performance area	a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0	N/A	0
1	Outcome: The LG has increased acreage of newly irrigated land  Maximum score 4  Maximum 20 points for this performance area	b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:  • By more than 5% score 2  • Between 1% and 4% score 1  • If no increase score 0	N/A	0
2	Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4	a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:  • Above 70%; score 4  • 60 – 69%; score 2  • Below 60%; score 0  Maximum score 4	N/A	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines  Maximum score 6	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	N/A	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines  Maximum score 6	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	N/A	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines  Maximum score 6	Evidence that the variations in the contract price are within +/- 20% of the Agriculture Engineers estimates: Score 1 or else score 0	N/A	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines  Maximum score 6	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY  • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0	N/A	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards  Maximum score 6	a) Evidence that the LG has recruited LLG extension workers as per staffing structure  • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0	The LG had not recruited LLG extension workers as per the staffing structure. The LLG had recruited 30 out of 48 extension workers at LLGs thus making 63%	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards  Maximum score 6	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF  • If 100% score 2 or else score 0	N/A	0

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards  Maximum score 6	b) Evidence that the installed micro-scale irrigation systems during last FY are functional  • If 100% are functional score 2 or else score 0	N/A	0
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**Performance Reporting and Performance Improvement**

5	Accuracy of reported information: The LG has reported accurate information  Maximum score 4	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	N/A	0
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5	Accuracy of reported information: The LG has reported accurate information  Maximum score 4	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	N/A	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0	N/A	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0	N/A	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	c.Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	N/A	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	d) Evidence that the LG has:  i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	N/A	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	N/A	0

### Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines  Maximum score 6	a) Evidence that the LG has:  i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	N/A	0
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7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines  Maximum score 6	ii Deployed extension workers as per guidelines score 1 or else 0	N/A	0
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines  Maximum score 6	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	N/A	0
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines  Maximum score 6	c) Evidence that extension workers deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	N/A	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers  Maximum score 4	a) Evidence that the District Production Coordinator has:  i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	N/A	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers  Maximum score 4	a) Evidence that the District Production Coordinator has;  Taken corrective actions: Score 1 or else 0	N/A	0

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	N/A	0
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8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	N/A	0
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**Management, Monitoring and Supervision of Services.**

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0</p>	N/A	0
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9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	N/A	0
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9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	N/A	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	N/A	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	N/A	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> <li>• If more than 90% of the micro-irrigation equipment monitored: Score 2</li> <li>• 70-89% monitored score 1</li> <li>Less than 70% score 0</li> </ul>	N/A	0

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines  Maximum score 8	b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0	N/A	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines  Maximum score 8	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	N/A	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines  Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	N/A	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.  Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	N/A	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.  Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	N/A	0

## Investment Management

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines  Maximum score 8	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	N/A	0
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines  Maximum score 8	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	N/A	0
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines  Maximum score 8	c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0	N/A	0
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines  Maximum score 8	d) For DDEG financed projects:  Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0	N/A	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.	N/A	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0	N/A	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	N/A	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	d) Evidence that the micro-scale irrigation systems was approved by the Contracts Committee: Score 1 or else 0	N/A	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	N/A	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	N/A	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Agricultural Engineer or Contracted staff): Score 2 or else 0	N/A	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during:  i. Testing the functionality of the installed equipment: Score 1 or else 0	N/A	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	N/A	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	N/A	0
13	Procurement, contract management/execution: The LG procure and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	N/A	0

**Environment and Social Safeguards**

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	N/A	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	N/A	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	N/A	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	N/A	0

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been:  iv. Reported on in line with LG grievance redress framework score 1 or else 0	N/A	0
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### Environment and Social Requirements

15	Safeguards in the delivery of investments  Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.  score 2 or else 0	N/A	0
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15	Safeguards in the delivery of investments  Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.  i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	N/A	0
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15	Safeguards in the delivery of investments  Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	N/A	0
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15	Safeguards in the delivery of investments  Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	N/A	0
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15	Safeguards in the delivery of investments  Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	N/A	0
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No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	Evidence that the LG has recruited or requested for secondment of staff for all critical positions in the District Production Office responsible for micro-scale irrigation  Maximum score is 70	If the LG has recruited the Senior Agriculture Engineer score 70 or else 0.	Mr. Wantimba Latif was appointed Senior Agriculture Engineer on probation on 14/2/2017 under Min. KLR/DSC/113/2016 and confirmed on 18/4/2018 under Min. KLR/DSC-Apr (ii)/2018.	70
<b>Environment and Social Requirements</b>				
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.  Maximum score is 30	If the LG:  a. Carried out Environmental, Social and Climate Change screening, score 15 or else 0.	N/A	0
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.  Maximum score is 30	b. Carried out Social Impact Assessments (ESIAs) where required, score 15 or else 0.	N/A	0



No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	If the LG has recruited: a. 1 Civil Engineer (Water), score 15 or else 0.	The LG appointed Mr. Edhaya David the Civil Engineer (Water) on 28/6/2017 under Min. 7KLR/DSC-June (iv)/2017	15
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	Mr. Mulyakubi Bob was appointed on probation Assistant Engineering Officer (Water) on 16/1/2017 under Min. KLR/DSC/104/2016 and confirmed on 11/12/2018 under Min. KLR/DSC/11/2018 (i)	10
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	Mr. Kiribaki Lastone was appointed the Borehole Maintenance Officer on probation on 13/4/2011 under KLR/DSC/05/2011 (vi) and confirmed on 8/6/2012 under Min. KLR/DSC/044/2012 (i)	10
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	d. 1 Natural Resources Officer, score 15 or else 0.	Mr. Diogo Paul the Senior Environment Officer was appointed the Ag. District Natural Resources Officer on 8/12/2016 under Min. KLR/DSC/99(i)/2016	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	e. 1 Environment Officer, score 10 or else 0.	This position was not filled by the time of assessment.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	f. Forestry Officer, score 10 or else 0.	This position was not filled by the time of assessment.	0

**Environment and Social Requirements**

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

There was evidence that the LG Carried out Environmental, Social and Climate Change screening for all water infrastructure projects for FY 2019/2020 filled and endorsed by the environment officer. There were

17 Borehole sites screened, some of the proposed locations were; At Naibinga, Takira, Nsulumbi at Mugejera's place. Kamutaka, mahomo, Kiranga B, Busiro Central, Bugada, Buyingangaine at Kaisuka's place. At Butongole Primary school, Busanda, Namejje, Kirama B, Butaata, Buwangala, Beeda and Ndooli. Screening forms were filled and signed by the Environment Officer on 17th July 2019.

However the forms were not endorsed by the CDO. Therefore the LG scored zero because all Screening forms were to be filled and signed by both the Environment Officer and CDO.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

The LG had evidence of ESMPs of siting, drilling and installation of deep wells for the listed borehole site locations for example;

At Naibinga, Takira, Nsulumbi at Mugejera's place. Kamutaka, mahomo, Kiranga B, Busiro Central, Bugada, Buyingangaine at Kaisuka's place. At Butongole Primary school, Busanda, Namejje, Kirama B, Butaata, Buwangala, Beeda and Ndooli. Part of the ESIA was endorsed by the CDO and Environment Officer on 29th July 2019. Description was; Element. Is the nature of wetland, forest protected area, sloping or flat. Environment and Social Impact: Soil compaction, soil erosion, pollution of soil. Mitigation measures: Minimize soil stripping area only .Stock pile top soil separately in small mounds to maintain micro-biology viability. Install erosion protection works to prevent siltation, leveling of soil, rehabilitation all disturbed areas.

ESMPS prepared and filled by the Environment Officer and CDO on 29th July 2019. Description: Construction phase. Clearing and excavation. Negative Environment and Social impacts: Clearing of vegetation. Mitigation measures: Restrict area cleared for source. Indicators: Plan Field report. Implementing Agency: Contractor. Costed at UGX 2,000,000.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that contractors got abstraction permits issued by DWRM, score 10 or else 0.

At the time of assessment, there was no evidence of Abstraction permits, however the LG had evidence of a drilling permit requested from the Contractor ( Sharda Drilling (U) Ltd, Po. Box 27927, Wakiso.) No. DP03602DW 2019 for procurement purposes to drill boreholes in accordance with the terms and conditions of the permit granted for a period not exceeding one year which came into force on 1st July 2019 until 30th June 2020. The permit was issued on 16th May 2019 by the Director of Water Development, Eng. Kavutse Dominic. Therefore the LG scored zero.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.  Applicable to Districts only.  Maximum score is 70	If the LG has substantively recruited or formally requested for secondment of:  a. District Health Officer, score 10 or else 0.	The LG appointed Dr. Katamba Allan Semakula on promotion to District Health Officer on 11/6/2020 under Min. KLR/DSC/06/2020	10
1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.  Applicable to Districts only.  Maximum score is 70	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	Mr. Nabagereka Hellen was appointed the ADHO-MCH on promotion from Nursing Officer on 19/7/2017 under Min. 7KLR/DSC-June (i)/2017	10
1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.  Applicable to Districts only.  Maximum score is 70	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	Mr. Sabagabo Johnson the PHI was submitted by the CAO to Kaliro DSC for appointment as Ag. ADHO-Enviroment on 26/3/2020 in a letter ref: CR 156/2	0

1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p>Mr. Sabagabo Johnson was appointed Principal Health Inspector on promotion on 9/11/2010 under Min. KLR/DSC/1000 (i) 2010</p>	<b>10</b>
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>Mr. Tidhomu Lawrence was appointed SHE on 30/5/2008 under Min. KLR/DSC/328 (vi)/2008</p>	<b>10</b>
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>Mr. Jafali Mohammed was appointed Biostatistician on probation on 13/4/2011 under Min. KLR/DSC/05/2011 (vii) and confirmed on 6/9/2012 under Min. KLR/DSC/047/2012 (iii)</p>	<b>10</b>
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>Mr. Kisaame Andrew was appointed the DCCT on 13/7/2006 under Min. BDSC94/2006</p>	<b>10</b>

1 Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.

*Applicable to MCs only.*

*Maximum score is 70*

h. If the MC has in place or formally requested for secondment of Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.

1 Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.

*Applicable to MCs only.*

*Maximum score is 70*

i. If the MC has in place or formally requested for secondment of Principal Health Inspector, score 20 or else 0.

1 Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.

*Applicable to MCs only.*

*Maximum score is 70*

j. If the MC has in place or formally requested for secondment of Health Educator, score 20 or else 0.

**Environment and Social Requirements**

2 Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence of screening forms for;

i. Renovation of OPD at Namwiwa Health Centre III. Forms were filled and signed by the Environment Officer on 15th July 2019.

ii. Construction of a placenta pit in Bumanya Health Centre IV. Forms were filled and signed by the Environment Officer on 15th July 2019.

iii. Construction of 5 stance lined pit latrine at Nabikooli health Centre II. Forms were filled and signed by the Environment Officer on 15th July 2019.

However the CDO had not endorsed on the screening forms yet the indicator required that both CDO and Environment Officer participate in the screening. Therefore the LG scored zero.

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs), score 15 or else 0.

There was evidence of ESIAs prepared and signed by the Environment officer and CDO for example;

i. ESIA for Renovation of OPD at Namwiwa Health Centre III in Namwiwa sub county. Some of the environment elements were: Any resources used in construction and operation (water, energy, other materials, marrum). Environment and social impact: Reduced air quality through increased dust levels, noise pollution from the works for the patients, foul smell from the paints. Mitigation measures: Sprinkle water to reduce dust during works Use of safety garments, beautification of the area by planting some trees and ornamentals. Signed by the CDO and Environment Officer on 15th July 2019.

ii. ESIA for Construction of a placenta pit in Bumanya Health Centre IV, in Bumanya sub county. Some of the environment elements were: Any resources used in construction and operation (water, energy, other materials, marrum). Environment and social impact: reduced beauty due to works. Mitigation measures: Ground Leveling. ESMP project phase: Planning, Land acquisition. Negative Environmental and social impacts: change of land use, improper site planning. Indicators: Reports of the meeting. Implementing Agency: LG. Frequency: Once a year. Costed at UGX 500,000. Signed by the CDO and Environment Officer on 15th July 2019.

iii. ESIA for Construction of 5 stance lined pit latrine at Nabikooli health Centre II in Namugongo sub county. Some of the environment elements were: Any resources used in construction and operation (water, energy, other materials, marrum). Environment and social impact: reduced beauty due to works. Mitigation measures: Ground Leveling. ESMP project phase: Planning, Land acquisition. Negative Environmental and social impacts: change of land use. Indicators: Reports of the meeting. Implementing Agency: LG. Frequency: Once a year. Costed at UGX 500,000. Signed by the CDO and Environment Officer on 15th July 2019.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely:  <i>The maximum score is 70</i>	If the LG has substantively recruited or formally requested for secondment of:  a) District Education Officer/ Principal Education Officer, score 30 or else 0.	Mr. Kamaga Edward was appointed on accelerated promotion from the Senior Inspector of Schools to District Education officer on 14/5/2020 under Min. KLR/DSC/04/2019 (i)	30
1	Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely:  <i>The maximum score is 70</i>	If the LG has substantively recruited or formally requested for secondment of:  b) All District/Municipal Inspector of Schools, score 40 or else 0.	1. Mr. Muwereza Paul was appointed on promotion from Inspector of Schools to Senior Inspector of Schools on 4/7/2019 under Min. KLR/DSC06/2019 (v)  2. Mr. Waako Christopher was assigned the duties of Inspector of Schools on 24/9/2019 by the CAO in a letter ref: 156/4.	0
<b>Environment and Social Requirements</b>				
2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)  <i>The Maximum score is 30</i>	If the LG carried out:  a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.	There was evidence that the LG carried out screening, for example;  i. Construction a 2 classroom block and 5 stance lined pit latrines at Nababoko primary school in Kaliro District Local Government. Form endorsed by the Environment Officer on 17th July 2019.  ii. Completion of 4 Classroom Block at Lubuulo primary school in Kaliro District Local Government. Form endorsed by the Environment Officer on 17th July 2019.  iii. Completion of a 5 classroom block at Buvulunguti primary school in Kaliro District Local Government. Form endorsed by the Environment Officer on 17th July 2019.  However the CDO had not signed on any of the screening forms yet the indicator required both The CDO and Environment Officer to sign therefore the LG scored zero.	0



Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

b. Social Impact Assessments (ESIAs), score 15 or else 0.

The LG had evidence of ESIA/ESMP reports for example

for;

i. Construction of a 2 Classroom block and 5 stance lined pit latrines at Nababoko primary school in Kaliro District Local Government. Some of the ESIA Elements: Is the nature of site wetland, forest or protected area, sloping or flat. Environment or social impact: change of land use. Mitigation measures: Consult and involve community. Some of the ESMPs Project activities: Planning phase. Negative Environment and social impacts: Inappropriate location. Mitigation measures: Proper siting for project. Indicators: Reports. Implementing Agency: LG. Frequency: Once a year. Costed at UGX 200,000. ESMP report was endorsed by the CDO and Environment Officer On 17th July 2019.

ii. Completion of 4 Classroom block at Lubuulo primary school in Kisinda sub county, Kaliro District Local Government. Some of the ESMPs Project activities: Planning phase: Land acquisition. Some of the Negative Environment and social impacts: Change of land use. Mitigation measures Consult and involve community Consult engineer and physical planning committee. Indicators: Reports of the meeting. Implementing Agency: LG. Frequency: Once a year. Costed at UGX 500,000. ESMP was endorsed by the CDO and Environment Officer On 17th July 2019.

iii. ESMP for Renovation of a 5 Classroom Block at Buvulunguti primary school, Bukamba Sub county. Project activity: Construction phase. Some of the negative Environment and social impacts: Noise from workers. Mitigation measures: Regulate noise levels and hours of working. Implementing Agency: Contractor. Not costed. ESMP was endorsed by the CDO and Environment Officer on 17th July 2019.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	Mr. Mutome Godfrey was appointed the Ag. CFO on 8/12/2016 under Min. KLR/DSC/99(i)/2016	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The LG appointed Mr. Wankya Tom Francis the District Planner on 28/June 2017 under Min. 7/KLR/DSC-June (iv)/2017 on re-designation of appointment	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	Mr. Nyonyi Paul was appointed Ag. District Engineer on 11/8/2016 under Min. KLR/DSC/95/ (ix) 2016	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	Mr. Diogo Paul the Senior Environment Officer was appointed the Ag. District Natural Resources Officer on 8/12/2016 under Min. KLR/DSC/99(i)/2016	0

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer,  score 3 or else 0	Mr. Mbalumya Fred Max was appointed on accelerated promotion as District Production and Marketing Officer on 7/5/2019 under Min. KLR/DSC/04/2019 (i)	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	f. District Community Development Officer/ Principal CDO,  score 3 or else 0	Ms. Namukose Irene a Senior CDO was Assigned the duties of DCDO on 20/2/2020 by the CAO Mr. Kizito Mukasa Fred in a letter ref: CR 156/4	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer,  score 3 or else 0	Mr. Muwanika Christopher was appointed on Probation as Commercial Officer under Min. KLR/DCS/087/2016 (v) and confirmed on 24/4/2017 under Min. 7 KLR/DSC-APR (iv)/2017. He steps in as the District Commercial Officer without a letter of assignment of Duties.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	other critical staff  h (i). A Senior Procurement Officer (Municipal: Procurement Officer)  score 2 or else 0.	Mr. HamoomeNimurod was appointed the Senior Procurement Officer on 24/3/2016 under Min. KLR/DSC/90/2016 (i)	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	h(ii). Procurement Officer (Municipal Assistant Procurement Officer),  score 2 or else 0	Ms. AtalibaShabra was appointed the procurement officer on 20/7/2016 under Min. KLR/DSC/94/2016 (v)	2

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	i. Principal Human Resource Officer,  score 2 or else 0	Ms. Kwagala Rebecca was appointed Senior Human Resource Officer on 28/6/2017 and works as the PHRO.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	j. A Senior Environment Officer,  score 2 or else 0	Mr. Diogo Paul was appointed the Senior Environment Officer on 8/6/2016 under Min. KLR/DSC/92/2016 (x)	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	k. Senior Land Management Officer, score 2 or else 0	Mr. Naita Julius was appointed the Senior Land Management Officer on 19/7/2017 under Min. KLR/DSC-June (i) 2017	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	l. A Senior Accountant,  score 2 or else 0	Mr. Nkyadi Simon was appointed on promotion as the Senior Accountant on 5/8/2016 under Min. KLR/DSC/95/(iv) 2016	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	m. Principal Internal Auditor for Districts and Senior Internal Auditor for MCs,  score 2 or else 0	Mr. Mutome Godfrey was appointed on promotion to the position of Principal Internal Auditor on 15/9/2016 under Min. KLR/DSC/97/2016 (v)	2

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	Mr. Kategere Edward was Assigned the duties of the PRHO (Sec- DSC) by the CAO – Mr. Kizito Mukasa Fred on 8/7/2020 in a letter Ref: CR 156/4	0
Maximum score is 37.				
2	Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG	If LG has recruited or requested for secondment of: a. Senior Assistant Secretaries in all LLGS,  score 5 or else 0	<p>The LG had 11 S/Cs and 1 T/Council and appointed SAS in LLGs as follows.</p> <ol style="list-style-type: none"> <li>1. Mr. Kasajja Franco was appointed SAS on promotion on 16/1/2017 under Min/ KLR/DSC/116/2016 and deployed to Bukamba S/C</li> <li>2. Mr. Ngira Jude Christopher was appointed SAS on probation on 16/1/2017 under Min. KLR/DSC/116/2016 and confirmed on 11/12/2017 under Min. 6/KLR/DSC/- Nov (i)/2017 and deployed at Budomero S/C</li> <li>3. Ms. Kagoye Irene was appointed SAS on promotion on 16/1/2017 under Min. KLR/DSC/115/2016 and Deployed at Kasokwe S/C</li> <li>4. Mr. Gabula Simon Peter was appointed SAS on probation on 29/11/2007 under Min. KLR/DSC/190 (xiv)/2007 and confirmed on 2/9/2009 under Min. KLR/DSC/79 (i)/2009 and deployed at Buyinda S/C</li> <li>5. Mr. Kigenyi Abdul was appointed SAS on probation on 16/1/2017 under Min. KLR/DSC/116/2016 and no confirmation letter and deployed at Kisinda S/C</li> <li>6. Mr. Timuntu Joseph was appointed SAS on probation on 16/1/2017 Min. KLR/DSC/116/2016 and confirmed on 4/7/2018 under Min. 6/KLR/DSC-May (i)/2018 and deployed at Nansololo S/C</li> <li>7. Mr. Wambuzi Joshua a CDO was assigned the duties of SAS by CAO on 15/5/2018 in a letter Ref: CR/156/4 and deployed to Nawaikeke S/C</li> <li>8. Mr. Balyejjusa Ronald was assigned the duties of SAS on 15/5/2018 by the CAO- Mr. Kizito Mukasa Fred in a letter Ref: 156/4 and deployed at Namugongo S/C</li> <li>9. Ms. Mpanja Lydia was appointed on Probation on 29/11/2007 under Min. KLR/DSC/190 (xiv)/2007 and confirmed on 27/6/2011 under Min. KLR/DSC/09/2011 (iii) and deployed at Bumanya S/C</li> <li>10. Ms. Namwebya Sylvia was appointed on promotion to SAS on 16/1/2017 under Min. KLR/DSC/115/2016 and deployed at Gadumire S/C.</li> </ol>	0
Maximum score is 15				

<p>Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG</p> <p>Maximum score is 15</p>	<p>If LG has recruited or requested for secondment of:</p> <p>b. A Community Development Officer or Senior CDO in case of Town Councils, in all LLGS</p> <p>score 5 or else 0.</p>	<p>The LG had 12 LLGs but substantively appointed 8 CDOs at LLGs.</p> <ol style="list-style-type: none"> <li>1. Mr. GuguluNdyaba Francis was appointed CDO on probation on 16/1/2017 under Min. KLR/DSC/109/2016 and confirmed on 4/7/2018 under Min. 6/KLR/DSC/-May (i)/2018 and deployed at Nansololo S/C</li> <li>2. Ms. Mudondo Oliver was appointed CDO on probation on 16/1/2017 under Min. KLR/DSC/109/2016 and confirmed on 18/4/2018 under Min. KLR/DSC-Apr (ii)/2018 and deployed at Gadumire S/C</li> <li>3. Ms. Mirembe Esther was appointed CDO on 16/1/2017 on probation under Min. KLR/DSC/109/2016 and confirmed on 14/11/2018 under Min. KLR/DSC/9(v)/2018 and deployed at Buyinda S/C</li> <li>4. Ms. Mutesi Eunice was appointed on promotion on 8/6/2016 under Min. KLR/DSC/92/2016 (v) and deployed at Kaliro T/Council</li> <li>5. Ms. BukyalaMiria was appointed CDO on probation on 10/9/2008 under Min. KLR/DSC/12/2007 and deployed at Namugongo S/C</li> <li>6. Mr. Alim Denis Nyakito was appointed CDO on probation on 16/1/2017 under Min. KLR/DSC/109/2016 and confirmed on 14/11/2018 under Min. KLR/DSC/9 (v)/2018 and deployed at Budomero S/C</li> <li>7. Ms. NamuganzaNaimah was appointed CDO on promotion on 6/6/2016 under Min. KLR/DSC/92/2016 (ix) and deployed at Kasokwe S/C</li> <li>8. Ms. Kantono Betty was appointed CDO on 16/1/2017 under Min. KLR/DSC/109/2016 and confirmed on 18/4/2018 under Min. KLR/DSC-Apr (ii)/2018 and deployed at Bukamba S/C</li> </ol>
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<p>Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG</p> <p>Maximum score is 15</p>	<p>If LG has recruited or requested for secondment of:</p> <p>c. A Senior Accounts Assistant or an Accounts Assistant in all LLGS,</p> <p>score 5 or else 0.</p>	<p>The LG had 12 LLGs and appointed Senior Accounts Assistant (SAA) as follows.</p> <ol style="list-style-type: none"> <li>1. Mr. Mukunya David Charles was appointed SAA on promotion on 5/8/2016 under Min. KLR/DSC/95/(ii)2016 and now deployed at Buyinda S/C</li> <li>2. Mr. Manti Martin was appointed SAA on probation on 20/7/2016 under Min. KLR/DSC/94/2016 (xiv) and confirmed on 24/4/2017 under Min. 7KLR/DSC-Apr (iv)/2017 and deployed at Nawaikoke S/C</li> <li>3. Ms. Tumwebaze Getrude was appointed SAA on KLR/DSC/97/2016 (i) on promotion and deployed at Kasokwe S/C</li> <li>4. Mr. Tulibatonsimaili was appointed SAA on 2/4/2019 under Min. KLR/DSC/2 (iii)/2019 and deployed at Bukamba S/C</li> <li>5. Mr. Kemeigeria Jude Tadeo was appointed SAA on probation on 20/1/2016 under Min. KLR/DSC/94/2016 (xii) and confirmed on 24/4/2017 under Min. 7KLR/DSC-Apr (iv)/2017 and deployed at Budomero S/C</li> <li>6. Mr. Kanga Constantine was appointed SAA on probation on 15/9/2016 under Min. KLR/DSC/97/2016 9(ii) and not confirmed and deployed at Buyinda S/C</li> <li>7. Mr. Muwoza Zakayo was appointed SAA on 20/7/2019 under Min. KLR/DSC/94/2016 (x) and confirmed on 24/4/2017 under Min. 7KLR/DSC-Apr (iv)/2017 and deployed at Bumanya S/C</li> <li>8. Mr. Eiru Benard was appointed SAS on probation on 25/7/2017 under Min. 7/KLR/DSC-June (iii)/2017 and confirmed on 18/4/2018 under Min. KLR/DSC-Apr (ii)/2018</li> <li>9. Mr. Mulyansawo Bagenyi was appointed SAS on promotion on 15/12/2016 under Min. KLR/DSC/99(iv)/2016 and deployed at Namugongo S/C</li> <li>10. Mr. Basembera Fredrick was appointed on promotion to Senior Town Treasurer on 6/7/2017 under Min. 7/KLR/DSC-June (viii)/2017 and deployed at Kaliro Town Council.</li> <li>11. Ms. Ajiambo Beatrice was appointed SAA on probation on 16/1/2017 under Min. KLR/DSC/101/2016 and not yet confirmed. She is currently deployed at Buyinda S/C</li> <li>12. Mr. Muyodi John was appointed SAS on promotion on 22/7/2010 under Min. KLR/DSC/944/2 and deployed at Nansololo S/C</li> </ol>
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3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	From the Final Accounts for FY 2019/20, ref. page 16, the budgeted funds for Natural Resources were Ugx.118, 198, 139.	0
	Maximum score is 4	a. Natural Resources department,  score 2 or else 0	The funds allocated to Natural Resources from page 16, of Final Accounts for FY 2019/20 financial statement as of 30th June 2020 were Ugx. 103,285,953	
			The percentage allocated to Natural Resources was $(103,285,953/118, 198, 139) * 100 = 87.4\%$	
			Thus, the LG was non-compliant with the minimum condition as it allocated less funds than what was budgeted.	
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	From the Final Account FY 2019/20, ref. page 16, the budgeted funds for Community Services were Ugx. 240,660,656	0
	Maximum score is 4	b. Community Based Services department.  score 2 or else 0.	The funds allocated to Community Services funds, ref page 16, of Final Accounts for FY 2019/20 financial statement as of 30th June 2020, were Ugx. 53,609,000	
			The percentage allocated to community services was $(53,609,000 / 240,660,656) * 100 = 22.3\%$	
			Thus, the LG was non-compliant to the minimum condition.	
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening,  score 4 or else 0	There was evidence that the LG had carried out Environmental, Social and Climate Change screening for;	0
	Maximum score is 12		i. Screening forms for Road demarcation in Kyani Trading Centre Kaliro District local Government. Form filled out by the District Natural Resources Officer Mr. Diogo Paul on 16th July 2019.	
			ii. Screening forms for Area action plan for Kyani Trading Centre Kaliro District local Government. Form filled out by the District Natural Resources Officer Mr. Diogo Paul on 16th July 2019.	
			iii. Screening forms for Construction of Energy saving stoves at Bukumankoola primary school and Buyonjo primary school in Kaliro District local Government. Form filled out by the District Natural Resources Officer Mr. Diogo Paul on 16th July 2019.	
			However the CDO had not endorsed on the screening forms yet the indicator required that both CDO and Environment Officer endorse therefore the LG scored zero.	



Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),  
score 4 or 0

There was evidence of Environment and social impact assessments done prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG) for example;

i. ESIA for construction of Energy saving stoves at Bukumankoola primary school and Buyonjo primary school in Kaliro District local Government. Prepared by the Environment Officer and CDO on 16th July 2019. Some of the description in the ESIA Element: Any environment impact related to construction, operation, decommissioning as well as site restoration that is does the project cause pollution of air, water, noise, change in traffic, flooding. Environment and social impact: poor workers welfare. Mitigation measures: Ensure that workers welfare is catered for install chimney on stove.

ii. ESIA for Area action plan for Kyani Trading Centre Kaliro District local Government. Prepared by the Environment Officer and CDO on 16th July 2019. Some of the description in the ESIA Element: Any environment impact related to construction, operation, decommissioning as well as site restoration that is does the project cause pollution of air, water, noise, change in traffic, flooding. Environment and social impact: Poor project conception by community, conflicting land uses, complaints from community persons in the plan. Mitigation measures: sensitize the masses ahead of the project, Engage all stakeholders in the area, adhere to all procedures for the process, the process should be closely supervised by the Environment staff.

iii. ESIA for Road demarcation in Kyani Trading Centre Kaliro District local Government. Prepared by the Environment Officer and CDO on 16th July 2019. Some of the description in the ESIA Element: Any environment impact related to construction, operation, decommissioning as well as site restoration that is does the project cause pollution of air, water, noise, change in traffic, flooding. Environment and social impact: Poor project conception by community, conflicting land uses, complaints from community persons in the demarcation exercise. Mitigation measures: sensitize the masses ahead of the project, Engage all stakeholders in the area, adhere to all procedures for the process, the process should be closely supervised by the Environment staff.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;

score 4 or 0

The LG had a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG) for example;

i. Construction of Energy saving stoves at Bukumankoola primary school and Buyonjo primary school in Kaliro District local Government. Project phase: Construction. Some of the Negative environmental and social impacts descriptions were: Debris at site. Mitigation measures: Remove all remaining construction debris. Costed at UGX 100,000 in the ESMPs. Negative environmental and social impacts: Good quality work. Mitigation measures: monitoring and supervision works, implementing agency: District Environment Officer costed at UGX 200,000.

ii. Area action plan for Kyani Trading Centre Kaliro District local Government. Project phase: Planning process. Some of the Negative environmental and social impacts descriptions were: Inappropriate land use change. Mitigation measures: Consult and involve community for participation. Indicators: Sensitization report. Implementing agency: Contractor, District Environment Officer, costed at UGX 500,000.

iii. Road demarcation in Kyani Trading Centre Kaliro District local Government. Prepared by the Environment Officer and CDO on 16th July 2019.

## Financial management and reporting

5

Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.

Maximum score is 10

If a LG has a clean audit opinion, score 10;

If a LG has a qualified audit opinion, score 5

If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0

Awaiting Auditor's Opinion to be assessed in January 2021.

0

Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).

maximum score is 10

If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g), score 10 or else 0.

- Kaliro District submitted the responses on the Auditor General's report for FY 2018/19 on 18th February 2020, Ref: CR/115/1. The responses were received by MOFPED, Accountant General, IGG, MOLG and Auditor General on 24th February 2020.

- Eight queries were raised, and all were responded to and their status clarified as detailed below:

1. Shortfall in releases of budgeted revenue.
2. Partial implementation of district outputs.
3. Outstanding payables.
4. Staffs not posted.
5. Delayed upgrading of Ugift supported HCs.
6. Deteriorating roads.
7. Non recovery of YLP funds.
8. Failure to recover UWEP funds.

- The LG submitted responses on the Internal Auditor General report for 2018/19 on 12th December 2019, which was received by MOFPED, Auditor General and Parliamentary LGAC on 20th December 2019.

- The number of queries raised were seven and they were cleared, as detailed below:

1. Unaccounted for funds.
2. Non deduction of PAYE
3. Non maintenance of books of accounts
4. Non maintenance of assets registers
5. Missing vouchers for administration, production and health
6. Failure to stamp vouchers paid
7. Unspent funds

From the above, all submissions were within the eligible deadline of February 2020, hence the LG was compliant.

7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The LG had submitted the Annual performance contract for FY 2020/2021 on 19th June 2020. The report was acknowledged by the Permanent Secretary/Secretary to the Treasury, Permanent Secretary, Office of the Prime Minister, LG Finance Commission, Ref: PBS submission form dated 19/06/2020 signed by the Chief Administrative Officer, Kaliro District.</p> <p>This was within the acceptable time frame of 31st August 2020 hence the LG was compliant.</p>	4
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The annual performance report for FY 2019/20 was submitted on 10th September 2020 and received on 10th September 2020. Ref: PBS submission form dated 10/9/2020 signed by the Chief Administrative Office, Kaliro District.</p> <p>This was outside the submission deadline of 31st August 2020.</p>	0
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>There was evidence on submission of the four quarterly budget performance reports after the deadline of 31st August 2020 as per PFMA Act 2015 as indicated below;</p> <ul style="list-style-type: none"> <li>• Quarter 1 was submitted on 30/12/2019</li> <li>• Quarter 2 was submitted on 13/02/2020</li> <li>• Quarter 3 was submitted on 14/05/2020</li> <li>• Quarter 4 was submitted on 10/09/2020</li> </ul> <p>Ref: PBS Kaliro quarterly performance report FY 2019/2020 dated 10/09/2020 .</p> <p>All reports were submitted via PBS .</p>	0