



LGMSD 2021/22

Kaliro District

(Vote Code: 561)

Assessment	Scores
Crosscutting Minimum Conditions	66%
Education Minimum Conditions	100%
Health Minimum Conditions	90%
Water & Environment Minimum Conditions	65%
Micro-scale Irrigation Minimum Conditions	70%
Crosscutting Performance Measures	80%
Educational Performance Measures	70%
Health Performance Measures	75%
Water & Environment Performance Measures	84%
Micro-scale Irrigation Performance Measures	21%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): • If so: Score 4 or else 0 	<p>The LG infrastructure DDEG funded projects in the previous FY were functional and in use as evidenced in the 3 sampled projects below:</p> <ol style="list-style-type: none"> 1. Renovation of Natural Resources Office which was completed on 30/6/2022 at a cost of Shs. 3,500,000 was functional and in use as indicated on page 83 of the ABPR. 2. Construction of a 3-stance latrine at Education Department Office which was completed on 30/6/2022 at a cost of Shs. 15,000,000 was functional and in use as indicated on page 64 of the ABPR. 3. Construction of OPD at Kaliro TC HC III which was completed on 30/6/2022 at a cost of Shs. 95,000,000 was functional and in use indicated on page 59 of the ABPR. 	4

2	Service Delivery Performance	a. If the average score in the overall LLG performance assessment increased from previous assessment :	Not Applicable	0
	Maximum 6 points on this performance measure	o by more than 10%: Score 3		
		o 5-10% increase: Score 2		
		o Below 5 % Score 0		
2	Service Delivery Performance	b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.	The LG implemented 7 (seven) DDEG funded projects which were completed and in use as evidenced below:	3
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> • If 100% the projects were completed : Score 3 • If 80-99%: Score 2 • If below 80%: 0 	<p>1. Renovation of Natural Resources Office at a cost of Shs. 3,500,000 as indicated on page 55 of the budget which was completed on 30/6/2022 as indicated on page 83 of the ABPR.</p> <p>2. Rehabilitation of water points at a cost of Shs.89,215,000 as indicated on page 51 of the budget which was completed on 30/6/2022 as indicated on page 77 of the ABPR.</p> <p>3. Construction of OPD at Kaliro TC HCIII at a cost of Shs. 95,000,000 as indicated on page 33 of the budget which was completed on 30/6/2022 as indicated on page 59 of the ABPR.</p> <p>4. Construction of 3-stance latrine at Education department office at a cost of Shs.15,000,000 as indicated on page 35 of the budget which was completed on 30/6/2022 as indicated on page 64 of the ABPR.</p>	

5. Renovation of DPU Office at a cost of Shs. 12,000,000 as indicated on page 61 of the budget which was completed on 30/6/2022 as indicated on page 93 of the ABPR.

6. Renovation of CAO's Office at a cost of Shs. 24,577,729 as indicated on page 11 of the budget which was completed with offices tiled up as indicated on page 37 of the ABPR.

7. Construction of Namwiwa Sc Headquarters at a cost of Shs. 70,474,000 as indicated on page 10 of the budget which was completed with roofing and external shutters as indicated on page 37 of the ABPR.

Percentage of planned projects that were completed was:
 $7/7 * 100 = 100\%$

3

Investment
Performance

Maximum 4 points on
this performance
measure

a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:

Score 2 or else score 0.

The LG budgeted for Shs. 816,693,102 for DDEG as indicated on page 6 of the approved budget which was all spent on 20 (twenty) projects/activities as indicated in the ABPR and which were all eligible for DDEG funding as per the implementation guidelines:

1. Detailed Physical planning of one of Kisinda Trading Centre at a cost of Shs. 20,000,000 as indicated on page 54 of the budget which was completed on 30/6/2022 at a cost of Shs. 20,000,000 as indicated on page 83 of the ABPR and eligible for DDEG funding Code 098310 on page 8 of the implementation guidelines.

2. Demarcation of roads in the Physically planned urban centers of Kisinda Trading

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Centre. urban Detailed Physical planning of one of Kisinda Trading Centre at a cost of Shs. 10,000,000 as indicated on page 54 of the budget which was completed on 30/6/2022 at a cost of Shs. 10,000,000 as indicated on page 83 of the ABPR and eligible for DDEG funding Code 098310 on page 8 of the implementation guidelines.

3. Titling of Namukooge Water pump land at a cost of Shs. 4,000,000 as indicated on page 54 of the budget which was completed on 30/6/2022 at a cost of Shs. 4,000,000 awaiting Title DEED as indicated on page 82 of the ABPR and eligible for DDEG funding Code 098310 page 8 of the implementation guidelines.

4. Renovation of Natural Resources Office at a cost of Shs. 3,500,000 as indicated on page 55 of the budget which was completed on 30/6/2022 at a cost of Shs. 3,500,000 as indicated on page 83 of the ABPR and eligible for DDEG funding code 148272 on page 7 of the implementation guidelines.

5. Rehabilitation of water points at a cost of Shs. 89,215,000 as indicated on page 51 of the budget which was completed on 30/6/2022 at a cost of Shs. 89,215,000 as indicated on page 77 of the ABPR and eligible for DDEG funding Code 098151 on page 8 of the implementation guidelines.

6. Construction of OPD at Kaliro TC HCIII at a cost of Shs. 95,000,000 as indicated on page 33 of the budget which was completed on 30/6/2022 at a cost of Shs 95,000,000. as

indicated on page 59 of the ABPR and eligible for DDEG funding Code 088283 on page 7 of the implementation guidelines.

7. Construction of 3-stance latrine at Education department office at a cost of Shs.15,000,000 as indicated on page 35 of the budget which was completed on 30/6/2022 at a cost of Shs. 15,000,000 as indicated on page 64 of the ABPR and eligible for DDEG funding Code 078181 on page 7 of the implementation guidelines.

8. Renovation of DPU Office at a cost of Shs. 12,000,000 as indicated on page 61 of the budget which was completed on 30/6/2022 at a cost of Shs.12,000,000 as indicated on page 93 of the ABPR and eligible for DDEG funding Code 148272 on page 7 of the implementation guidelines.

9. Renovation of CAO's Office at a cost of Shs. 24,577,729 as indicated on page 11 of the budget which was completed on 30/6/2022 with offices tiled up at a cost of Shs. 24,577,729 as indicated on page 37 of the ABPR and eligible for DDEG funding Code 148272 on page 7 of the implementation guidelines.

10. Construction of Namwiwa Sc Headquarters at a cost of Shs. 70,474,000 as indicated on page 10 of the budget which was completed on 30/6/2022 with roofing and external shutters at a cost of Shs. 70474,000 as indicated on page 37 of the ABPR and eligible for DDEG funding Code 148272 on page 7 of the implementation guidelines

11. Procurement of laptop for DSC at a cost of Shs. 3,000,000 as indicated on page 11 of the budget which was completed on 30/6/2022 at a cost of Shs. 3,000,000 as indicated on page 37 of the ABPR and eligible for DDEG funding Code 148272 on page 7 of the implementation guidelines

12. Procurement of Executive chairs for the District Chairperson office at a cost of Shs. 7,259,000 as indicated on page 16 of the budget which was completed on 30/6/2022 at a cost of Shs. 7,529,000 as indicated on page 44 of the ABPR and eligible for DDEG funding Code 148272 on page 7 of the implementation guidelines

13. Supply of desks to Primary schools at a cost of Shs. 25,000,000 as indicated on page 41 of the budget which was completed on 30/6/2022 at a cost of Shs. 25,000,000 as indicated on page 64 of the ABPR and eligible for DDEG funding Code m148183 on page 7 of the implementation guidelines.

14. Procurement of desktop for Inventory Office at a cost of Shs. 2,500,000 as indicated on page 12 of the budget which was completed on 31/12/2021 at a cost of Shs. 2,500,000 as indicated on page 38 of the ABPR and eligible for DDEG funding Code 148272 on page 7 of the implementation guidelines.

15. Procurement of laptop for Communication Office at a cost of Shs. 3,000,000 as indicated on page 11 of the budget which was completed on 31/12/2021 at a cost of Shs. 2,500,000 as indicated on page 37 of the

ABPR and eligible for DDEG funding Code 148272 on page 7 of the implementation guidelines.

16. Procurement of Laptop for ICT's office at a cost of Shs. 3,000,000 as indicated on page 11 of the budget which was completed on 31/12/2021 at a cost of Shs. 2,500,000 as indicated on page 37 of the ABPR and eligible for DDEG funding Code 148272 on page 7 of the implementation guidelines.

17. Procurement of laptop for DCAO' Office at a cost of Shs. 3,000,000 as indicated on page 11 of the budget which was completed on 30/9/2021 at a cost of Shs. 3,000,000 as indicated on page 37 of the ABPR and eligible for DDEG funding Code 148272 on page 7 of the implementation guidelines.

18. Procurement of laptop for HR Office form CBG at a cost of Shs. 3,000,000 as indicated on page 11 of the budget which was completed on 30/9/2021 at a cost of Shs. 3,000,000 as indicated on page 37 of the ABPR and eligible for DDEG funding Code 148272 on page 7 of the implementation guidelines.

19. Procurement of laptop for Planning from CBG at a cost of Shs. 4,000,000 as indicated on page 11 of the budget which was completed on 30/9/2021 at a cost of Shs. 4,000,000 as indicated on page 37 of the ABPR and eligible for DDEG funding Code 148272 on page 7 of the implementation guidelines.

20. Procurement of Executive

Chair for DCAO from CBG at a cost of Shs. 3,000,000 as indicated on page 11 of the budget which was procured on 30/9/2021 at a cost of Shs. 3,000,000 as indicated on page 37 of the ABPR and eligible for DDEG funding Code 148272 on page 7 of the implementation guidelines.

Investment
Performance

Maximum 4 points on
this performance
measure

b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/- 20% of the LG Engineers estimates,

score 2 or else score 0

The variations in the contract price and Engineer's estimates of the sampled DDEG projects were as follows:

Variation = 100% ((Contract Price – Engineers Estimate)/Engineer's Estimate))

- KALI1561/wrks/21-22/00005 Construction of Administration block for Namwiwa S/C – budgeted at UGX 83,745,335/= actual contract price was UGX 82,582,860/= with a variation of UGX 1,162,475/= represented by -1.4%

- KALI1561/wrks/21-22/00044 Renovation of District. budgeted at UGX 12,000,000/= actual contract price was UGX 12,312,000/= with a variation of UGX -312,000/= represented by +2.6%

- KALI1561/wrks/21-22/00035 Construction of a 3 stance Pit Latrine at Education office. budgeted at UGX15,000,000, actual was UGX15,000,000, with a variation of 0/= represented by 0.0%.

In conclusion,

The variations were within the range of +/- 20% provided in the manual.

Performance Reporting and Performance Improvement

Accuracy of reported information

Maximum 4 points on this Performance Measure

a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0

From the 3 sampled LLGs it was confirmed that information on the positions filled was accurate as indicated below,

1. Kasokwe S/C

Pande Bernard works as Agriculture Officer which position was provided for on the approved staff structure.

2. Namugongo S/C

Kantono Betty was deployed as CDO which position was provided for in the approved staff structure.

3. Kaliro T/C

Nyago Samuel was employed as Senior Accounts Assistant which was provided for in the approved staff structure.

NB> The number tallies well

Accuracy of reported information

Maximum 4 points on this Performance Measure

b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:

• If 100 % in place: Score 2, else score 0.

Note: if there are no reports produced to review: Score 0

The following 3 (three) sampled infrastructure constructed using DDEG were all in place as evidenced in the quarterly monitoring reports for the previous FY.

1. Construction of OPD at Kaliro TC HC III in place as indicated on page 8 of Q1 monitoring report dated 15/12/2021 that it was at its final stage and Shs. 180,000,000 had so far been paid.

2. Rehabilitation of water points in the entire District in place as indicated on page 9 of Q1 monitoring report dated 15/12/2021 that rehabilitation works had been completed and functional.

3. Construction of Namwiwa Sc Headquarters in place as indicated on page 9 of Q1 monitoring report dated 15/12/2021 that works were at window level.

Human Resource Management and Development

Budgeting for and actual recruitment and deployment of staff

Maximum 2 points on this Performance Measure

a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.

Score 2 or else score 0

The LG consolidated and Submitted staffing requirements to MoPS on 14/09/2021 (Submission of Wage, Pension and Gratuity Budget for Kaliro DLG Vote: 561 For FY 2022/2023, under CR 103/1, dated 8/09/2021

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG conducted tracking and analysis on staff attendance on quarterly basis. Considering evidence taken from the 3rd Quarter analysis report FY 2021/22 dated 04/04/202, Namayandha Rebecca (SAA) worked for 54 days in the months of Jan, Feb & March and scored 87% with V.Good remark.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>The LG has 11 HODs but the HRM produced files for only 5 HODs and claimed that others were in CAO's office and couldn't access them. The findings from the reviewed files clearly showed that not all HODs were appraised.</p> <ol style="list-style-type: none"> 1. Kwagala Rebecca (PHRO) neither had a performance agreement nor a performance assessment report FY 2021/2022 on her file. 2. Atiibwa Harriet (Ag. DCDO) had a performance report and her appraisal was done on 08/08/2022 after the time frame 3. Kagaha Stephen (Ag.Principal Internal Auditor) was appraised on 26/07/2022 4. Kamaga Edward (DEO) was appraised on 30/06/2022 5. Diogo Paul (Ag.DNRO) was appraised on 30/06/2022 	0

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG implemented administrative rewards and sanctions. For Instance on 17/12/2020, the Rewards and sanctions Committee held a meeting under MIN NO.R&S/05/DEC/2020 and recommended that Kawarau Akabu (Education Assistant) Bupeenii P/S be reinstated on payroll after giving him a strong warning against absenteeism</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>There was no evidence of an established consultative committee but instead the LG put in place a 9 member Grievance Handling Committee (GHC) which was responsible for staff grievance redress effective 14/09/2021. Members included;</p> <ol style="list-style-type: none"> 1. Akubonabona Yusuf (PACAO) 2. Atiibwa Harriet (DCDO) 3. Mutesi Eunice (labour officer) 4. Kamaga Edward (DEO) 5. Katamba Allan (DHO) 6. Diogo Paul (DNRO) 7. Mbalumya Fred (DPO) 8. Mpwabe Jeremiah (Secretary for health, Education & Sports) 9. Kaitakyo Benard (Secretary Production) 	1

<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>There was evidence that 100% of staff recruited accessed payroll within two months. The LG recruited 94 staff and all were enrolled on payroll within two months from date of appointment. For instance,</p> <ol style="list-style-type: none"> 1. Matenga Ambrose(Health Assistant) joined in August 2021 and accessed payroll in September 2021 2. Emeku Geoffrey (Lab Assistant) was recruited in August 2021 and enrolled on payroll in September 2021 3. Kaudha Diana (AFO) recruited in August 2021 and got onto payroll in September 2021 4. Isamula Martin (Educ. Assistant) joined in July 2021 and accessed payroll in August 2021
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0

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

The LG retired 20 staff but only 4 (20%) accessed payroll within two months of their retirement and these included;

1. Kamaga Francis (Animal husbandry officer) retired 20/04/2022 and got enrolled onto pension payroll in June 2022
2. Lugwere James Samson (SACAO) retired 7/06/2022 and in the same month accessed pension payroll
3. Gume Elidadi Simon (Deputy H/T P/S)
4. Muwaire Henry Nteba (Deputy H/T P/S)

Management, Monitoring and Supervision of Services.

10

2

Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:

Score 2 or else score 0

The DDEG budget for LLGs was Shs.816, 693,102 as indicated on page 6 of the approved budget for the previous FY.

The DDEG to LLGs was directly transferred in full as evidenced below:

Q1 of Shs. 272,231,034 was transferred on 15/7/2021.

1. Namwiwa Sc: Shs. 31,754,780
2. Bukamba Sc: Shs. 31,091,640
3. Budomelo Sc: Shs. 28,881,175
4. Nansololo Sc: Shs. 19,523,541
5. Kisinda Sc: Shs.13,776,332
6. Buyinda Sc: Shs. 14,071,060
7. Kasokwe Sc: 20,260,362

8. Gadumire Sc: 30354,819
9. Bumanya Sc: 30,354,819
10. Nawaikoke Sc: 26,965,439
11. Namugongo Sc: 24,754,974

TOTAL: 272,231,034

Q2 of Shs. 272,231,034 was transferred on 22/10/2021.

1. Namwiwa Sc: Shs. 31,754,780

2. Bukamba Sc: Shs.
31,091,640

3. Budomelo Sc: Shs.
28,881,175

4. Nansololo Sc: Shs.
19,523,541

5. Kisinda Sc: Shs. 13,776,332

6. Buyinda Sc: Shs. 14,071,060

7. Kasokwe Sc: 20,260,362

8. Gadumire Sc: 30354,819

9. Bumanya Sc: 30,354,819

10. Nawaikoke Sc: 26,965,439

11. Namugongo Sc: 24,754,974

TOTAL: 272,231,034

Q3 of Shs. was transferred on 12/1/2022.

1. Namwiwa Sc: Shs. 31,754,780

2. Bukamba Sc: Shs.
31,091,640

3. Budomelo Sc: Shs.
28,881,175

4. Nansololo Sc: Shs.
19,523,541

5. Kisinda Sc: Shs. 13,776,332

6. Buyinda Sc: Shs. 14,071,060

- 7. Kasokwe Sc: 20,260,362
 - 8. Gadumire Sc: 30354,819
 - 9. Bumanya Sc: 30,354,819
 - 10.Nawaikoke Sc: 26,965,439
 - 11. Namugongo Sc: 24,754,974
- TOTAL: 272,231,034

SUMMARY

Q1: 272,231,034

Q2: 272,231,034

Q3: 272,231,034

TOTAL: 816,693,102

10

Effective Planning,
Budgeting and
Transfer of Funds for
Service Delivery

Maximum 6 points on
this Performance
Measure

b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: (within 5 working days from the date of receipt of expenditure limits from MoFPED):

Score: 2 or else score 0

The LG was unable to track the dates of quarterly uploading of the cash limits and warrants for the previous FY at the time of assessment.

The LG attributed this failure to closure of the old IFMIS by MoFPED and that the Head of Finance in office at that time was interdicted pending IGG investigations together with the Super user.

The LG did not have the user rights to enter the old system thus unable to access the required dates for assessment.

0

Effective Planning,
Budgeting and
Transfer of Funds for
Service Delivery

Maximum 6 points on
this Performance
Measure

c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:

Score 2 or else score 0

The LG communicated all the DDEG transfers for the previous FY to LLGs as evidenced below but compliance within 5 working days could not be established

at the time of assessment due to failure to track the dates of uploading the cash limits on IFMS:

Q1 DDEG transfer of Shs. 227,231,034 was communicated to all LLGs on 15/12/2021.

Q2 DDEG transfer of Shs. 227,231,034 was communicated to all LLGs on 22/10/2021.

Q3 DDEG transfer of Shs. 227,231,034 was communicated to all LLGs on 12/1/2022.

Routine oversight and monitoring

Maximum 4 points on this Performance Measure

a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:

Score 2 or else score 0

The LG supported/ mentored the LLGs at least once per quarter as evidenced in the mentoring reports below:

Q1 Mentoring report dated 31/8/2021 captured integration of gender, HIV/AIDs, environment and population issues into the planning process among others.

Q2 Mentoring report dated 15/12/2021 captured development planning and budgeting cycle under the fiscal decentralization strategy and the new participatory harmonized planning guidelines among others.

Q3 Mentoring report dated 31/3/2022 captured mentoring of LLGs data collection and analysis in the development plans to inform budgeting and planning process among others.

Q4 Mentoring report dated 14/6/2022 captured mentoring of LLGs staff on stating the Vision, Mission, Goals and short- and long-term objectives, poverty and livelihood analysis in the development plans.

Routine oversight and monitoring

Maximum 4 points on this Performance Measure

b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:

Score 2 or else score 0

Monitoring reports for the previous FY were discussed in TPC meetings as evidenced below:

Q1 monitoring report dated 13/12/2021 was discussed in TPC meeting held on 28/12/2021 Agenda 5 under Min. No. 5/DTPC/Dec 2021 and recommended that no payment to contractors without attachment of EIA reports.

Q2 undated monitoring report was discussed in TPC meeting held on 28/2/2022 Agenda 7 under Min. No. 04/DTPC/Feb 2022 and recommended for speed up of the procurement process for development projects in Nawaikoke Sc, Nansololo Sc and Nawaikoke TC.

Q3 monitoring report dated 14/4/2022 was discussed in TPC meeting held on 30/5/2022 Agenda 5 under Min. No. 2/DTPC/8/07/2022 and recommended construction of a parking yard to protect the road unit.

Q3 monitoring held on 25/1/2022 as per report dated same day and Q4 monitoring done on 30/5/2022 were both discussed in TPC meeting held on 30/6/2022 Agenda 6 under Min. No. 6/DTPC/May 2022 and recommended that EIAs for projects should always be done before committing resources.

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:

Score 2 or else score 0

Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0

The LG maintained an IFMIS assets register as indicated below:

1.Assets register for Land & buildings:

- Non-Residential (office buildings
- Classrooms/ schools' land
- Health Facilities' land
- Bumanya Sc, Gadumire Sc, Bukomero Parish land

2. Assets Register for M/V & Heavy Plants:

3.Assets General

- ICT Equipment
- Furniture & fittings.

12	0	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The District Annual BoS report for the previous FY dated 30/8/2022 Ref. CR/108/1 was available with recommendations on undated page of the report to board off the following unserviceable assets among others:</p> <ul style="list-style-type: none"> • 3 Desk Top Computers in Health department. • LG 0033-16 Suzuki Motor cycle • UAA 481X Motor vehicle • Old BDR, JIALANG and SACCO Motorcycles <p>However, the LG had not taken any form of action towards implementation of the BoS recommendations at the time of assessment.</p>
12	2	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>The District had a functional Physical Planning Committee which comprised of 13 (thirteen) members with the 4 sets of minutes duly submitted to MoLHUD (Jinja Mzo) as indicated below:</p> <p>Q1 PPC minutes for the meeting held on 28/9/2021 with attendance of 9 out of 13 members were submitted to Jinja Mzo on 10/12/2021.</p> <p>Q2 PPC minutes for the meeting held on 2/3/2022 attended by 11 out of 13 members were submitted to Jinja Mzo on 19/5/2022.</p> <p>Q3 PPC minutes for the meeting held on 17/5/2022 attended by 11 out of 13 members were submitted to Jinja Mzo on 27/7/2022</p>

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Q4 PPC minutes for the meeting held on 19/8/2022 attended by all members were submitted to Jinja Mzo on 8/9/2022.

The PPC comprised of 13 (thirteen) members appointed by CAO as indicated below:

1. Akubonabona Yusuf (PAS) appointed as Chairperson on 15/10/2021 Ref. 156/1

2. Takuwa Janet (PP) appointed as Secretary on 15/10/2021 Ref. 156/1.

3. Eng. Nyonyi Paul (DE) appointed on 15/10/2021 Ref. 151/1.

4. Eng. Edhaya David (DWO) appointed on 15/10/2021 Ref. 15/1

5. Diogo Paul (Ag. DNRO) appointed on 6/10/2014 Ref. 151/1.

6. Alibawa Harriet (DCDO) appointed on 6/10/2014 Ref. 151/1

7. Kyoziira Henry (TC Kaliro Town Council) appointed 6/10/2014 on Ref. 151/1.

8. Kamaga Edward (DEO) appointed on 6/10/2014 Ref. 151/1.

9. Dr. Katamba Allan (DHO) appointed on 6/10/2014 Ref. 151/1.

10. Sajja Samuel (Principal Agriculture Officer) appointed on 6/10/2014 Ref. 151/1.

11. Naita Julius (Senior Land Officer) appointed on 29/11/2019.

12. Naigubya Thomas (Physical

Planner in Private Practice)
appointed on 12/11/2021

13. Wabwire Edward (Envt.
Officer) appointed on
11/11/2021.

Plans Registration Book was in
place with a record of 15 plans
submitted in FY 2021/2022.

The District Physical
Development Plan was not in
place.

but had an Area Physical
Development Plan for Kisinda
Trading Centre approved by
Council under Min. No.
60/KALC/MAY/2021/2022 (80)

12

Planning and
budgeting for
investments is
conducted effectively

Maximum 12 points on
this Performance
Measure

d.For DDEG financed
projects;

Evidence that the
District/Municipality has
conducted a desk
appraisal for all projects
in the budget - to
establish whether the
prioritized investments
are: (i) derived from the
third LG Development
Plan (LGDP III); (ii)
eligible for expenditure as
per sector guidelines and
funding source (e.g.
DDEG). If desk appraisal
is conducted and if all
projects are derived from
the LGDP:

Score 2 or else score 0

The District conducted a desk
appraisal on all DDEG funded
projects for the previous FY on
21/6/2021 as per report dated
24/6/2021. The appraisal
identified that the DDEG
prioritized projects were derived
from the LGDP III and were all
eligible for funding under DDEG
as indicated below:

1.Renovation of Natural
Resources Office was derived
from LGDP III as on page 260
and was eligible for DDEG
funding as on page 7 Code
148272 of the implementation
guidelines.

2. Rehabilitation of Water points
in the entire District was derived
from LGDP III as on page 256
and was eligible for funding
under DDEG funding as on page
8 Coded 098151 of the
implementation guidelines.

3. Construction of OPD at Kaliro
TC HC III was derived from
LGDP III as on page 254 and

2

was eligible for funding under DDEG funding as on page 7 Coded 088283 of the implementation guidelines.

4. Construction of 3-stance latrine at Education department office was derived from LGDP III as on page 260 and was eligible for funding under DDEG funding as on page 7 Coded 078181 of the implementation guidelines.

5. Renovation of DPU office was derived from LGDP III as on page 260 and was eligible for funding under DDEG funding as on page 7 Coded 148272 of the implementation guidelines.

6. Construction of a water borne toilet at CAO's office was derived from LGDP III as on page 260 and was eligible for funding under DDEG funding as on page 7 Coded 148272 of the implementation guidelines.

7. Construction of Namwiwa Sc Headquarters was derived from LGDP III as on page 260 and was eligible for funding under DDEG funding as on page 7 Coded 148272 of the implementation guidelines.

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

For DDEG financed projects:

e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:

Score 2 or else score 0

The District conducted a field appraisal on all projects on 22/6/2022 as indicated in the appraisal report dated 24/6/2021 for DDEG funded projects for the previous FY. The appraisal identified that technical feasibility, environmental and social safeguards as well as customized designs were complied with as evidenced in the 3 sampled projects below:

1.Construction of Namwiwa Sub county Headquarters which complied with technical feasibility as on page 1, environmental and social acceptability as on page 1 customized designs which complied as on page 1 of the field appraisal report.

2.Construction of OPD at Kaliro Town Council HC III which complied with technical feasibility as on page 2, environmental and social acceptability as on page 2 customized designs which complied as on page 2 of the field appraisal report.

3.Renovation of Natural Resources office which complied with technical feasibility as on page 4, environmental and social acceptability as on page 4 customized designs which complied as on page 4 of the field appraisal report.

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:

Score 1 or else score 0.

The LG developed project profiles with costings for all investments in the FY 2022/2023 and discussed by DTTPC as evidenced in the 3 sampled projects below:

1. Construction of the District Administration block Phase I at a cost of Shs. 134,270,000 as on page 1-4 of the Project profiles.

2. Agro Industrialization at a cost of Shs. 64,861,531 as indicated on pages 14-16 of the Project profiles.

3. Construction of staff houses in Health Centers in the District at a cost of Shs.351,638,360 as indicated on pages 31-35 of the Project profiles.

The project profiles for the FY 2022/2023 were discussed by DTTPC meeting which was held on 30/6/2022 Agenda 8 under Min. No. 8/DTTPC/May2022 Finalization of the Draft Budget and Work Plan with project profiles for FY 2022/2023.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p>	<p>LG had screened for environmental and social risks/impact and put mitigation measures were required before being approved for construction using checklists evidenced by the following screening forms by the DEO & DCDO;</p> <ul style="list-style-type: none"> • E&S Screening form for the proposed road demarcation in Kisindi Trading Centre by the DEO&DCDO on 02/06/2021 • E&S Screening form for the proposed construction of a water borne toilet at the district administration block by the DEO&DCDO on 02/06/2021 • E&S Screening form for the proposed construction of Namwiwa Sub County administration block by the DEO&DCDO on 02/06/2021 • E&S Screening form for the proposed Physical Development Plan for Kisindi Trading Centre by the DEO&DCDO on 02/06/2021 • E&S Screening form for the proposed completion of Kisindi HC II by the DEO&DCDO on 02/06/2021 	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p>	<p>The PDU of the DLG had evidence that all infrastructure projects for FY 2022/2023 to be implemented using the DDEG were incorporated in the LG approved procurement plan signed on 13th Oct 2022 by the DCAO. That is; Construction of Phase I Amin block (Page 4 Sn. 28) of the approved Annual Procurement Plan</p>	1
		<p>Score 2 or else score 0</p>		
		<p>Score 1 or else score 0</p>		

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>The LG had evidence of Contracts Committee approving all DDEG projects for current FY contained in meeting dated 15th Sep 2022. under Minute 23/KDCC/04/2022-23.</p> <ul style="list-style-type: none"> • Construction of Administration block Phase 1 Proc Ref: KALI1561/wrks/21-22/00004 	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG had a project implementation team established as per CAO letter of appointment of team members dated 14th Oct 2021; the team members included;</p> <ul style="list-style-type: none"> • Nyonyi Paul (DE) • Wanya Tom Francis (Planner) • Kagoya Susan (Labour Officer) • Diogo Paul (Senior Environmental officer) • Bob Mulyakubi COW) • Namukose Irene (DCDO) 	1

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:

Score 1 or else score 0

There was evidence that all infrastructure projects implemented using DDEG followed the standard technical design; An example of visited project;

- Construction of Administration block for Namwiwa S/C – construction was according to the designs, windows were 1.2x1.5m, behind door was 1.5x2.4, Corridor was 1.7m wide all conforming to the design
- Renovation of the District planning building, from the works done; support Poles were replaced well, roof paint was done perfectly, leaking iron sheets were replaced, Construction of the access ramp was properly done with no cracks and right mixture, door locks were also replaced well, plastering was done well and external and internal paint was done perfectly.
- Construction of a 3 stance Pit Latrine at Education office followed standard designs by the LG engineer, the toilet corridor was 1m wide, the Urinal are was 1mx1.4m which all conformed to the designs. The paint was good, no foundation cracks, no wall and plaster cracks and no floor cracks.

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0

From the reviewed site supervision reports sampled below provided evidence of joint technical supervision of infrastructure projects;

- Monitoring reports on Construction of Namwiwa subcounty Administration block On 03rd May and 15th Jun 2022

- Monitoring Report for Renovation of Planning Unit Block dated 10th June 2022.

- 100% Status completion reports on Construction of a 3 stance Pit Latrine at Education office dated 31st Jan 2022

Where the DE, environmental officer, CDO got involved.

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):

Score 1 or else score 0

The LG had evidence of Certified works and payments initiated within timeframes in sampled project examples:

- KALI1561/wrks/21-22/00005 Construction of Administration block for Namwiwa S/C – Bandana Engineers Ltd as the contractor; payment request made by the contractor on 11th Jun 2022 and approved by the DE on 17th June 2022 and subsequent payment of 62,993,281/= initiated and timely paid on 24th Jun 2022 under Voucher No. 44530104
- KALI1561/wrks/21-22/00044 Renovation of District. Kayaza Associates Ltd was the contractor; payment request made by the contractor was on 23rd May 2022 and Certified by the DE on 26th May 2022 and subsequent payment of 11,280,000/= initiated and timely paid on 20th Jun 2022 under Voucher No. 44080056
- KALI1561/wrks/21-22/00035 Construction of a 3 stance Pit Latrine at Education office. Mercy Uganda Ltd was the contractor; payment request made by the contractor was on 28th Jan 2022 and Certified by the DE on 02nd Feb 2022 and subsequent payment of 12,690,846/= initiated and timely paid on 25th Feb 2022 under Voucher No. 41883376.

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:

Score 1 or else 0

From a sample of 3 files, there was evidence that the LG had a complete procurement file with all records as per PPDA. Examples of project files reviewed alongside the contract register include;

- KALI1561/wrks/21-22/00005 Construction of Administration block for Namwiwa S/C – Approval of the Evaluation Report was done on 07th Jul 2022 Under MIN: 06/DCC/2021-2022 and Contract agreement with Bandana Engineers Ltd as the contractor; was signed on 3rd Feb 2022.

- KALI1561/wrks/21-22/00044 Renovation of District. Approval of the Evaluation Report was done on 07th Dec 2021 Under MIN: 25/DCC/2021-2022 and Contract agreement with Kayaza Associates Ltd as the contractor; was signed on 21st April 2022

- KALI1561/wrks/21-22/00035 Construction of a 3 stance Pit Latrine at Education office. Mercy Uganda Ltd Approval of the Evaluation Report was done on 25th Aug 2021 Under MIN: 19/DCC/2021-2022 and Contract agreement with Mercy Uganda Ltd as the contractor; was signed on 10th Sep 2021

Environment and Social Safeguards

Grievance redress mechanism operational.

Maximum 5 points on this performance measure

a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.

Score: 2 or else score 0

LG had designated a person to coordinate response to feed-back (grievance / complaints) as of the appointment letter of Ms. Mutesi Eunice the SLO dated 14/09/2021, Ref: CR 156/4 by the CAO

The District established a Central Grievance Redress Committee as per the appointment letter of GRC members by the CAO dated 14/09/2021, Ref: CR 156/1 consisting of the following members;

- Mr. Bigirwa Kaliisa Samuel – Chairperson
- Ms Kwagala Rebecca – Committee Member
- Kamaga Edward - Committee Member
- Katamba Allan - Committee Member
- Mutesi Eunice - Committee Member

Grievance redress mechanism operational.

Maximum 5 points on this performance measure

b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.

If so: Score 2 or else 0

LG had a specified system for Recording, investigating and responding to grievances, which included a centralized Grievance Registration form Log availed as of 28/10/2021

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p> <p>If so: Score 1 or else 0</p>	<p>LG had a list of Grievance handling mechanism publicized on the district notice board by the Grievance Focal Person dated 05/09/2021</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>The LG integrated Environment, Social and Climate change mitigation measures into the LGDP III, AWP and Approved Budget for the current FY as indicated below:</p> <p>1. Environment and climate change interventions.</p> <p>LGDP III:</p> <p>Programme: Water, climate environment/climate change and Natural Resources management.</p> <p>Outcomes: Increased forests, tree and wetland coverage was captured on page 248 of the LGDP III.</p> <p>AWP</p> <p>Provision in the AWP for FY 2022/2023 was Shs. 685,000 as on page 4</p> <p>BUDGET</p> <p>Environment and climate change interventions were provided for in the approved budget with Shs. 685,000 as on page 43.</p> <p>2.Social safeguards</p> <p>LGDP III:</p> <p>Programme: Community mobilization and mindset change.</p>	1

Outcomes: Increased awareness in environmental and social safeguards during project implementation were provided for in the LGDP III as on pages 249.

AWP

Provision in the AWP for FY 2022/2023 was Shs. 139,695 as indicated on page 9.

BUDGET

Provision for Social safeguards interventions in the approved budget was Shs. 1,264,000 was made as indicated on page 45.

15

Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management

score 1 or else 0

The LG disseminated to LLGs the enhanced DDEG implementation guidelines as evidenced on page 2 of the circular dated 16/3/2022.

1

15	3	Safeguards for service delivery of investments effectively handled.	(For investments financed from the DDEG other than health, education, water, and irrigation):	LG had incorporated costed Environment and Social Management Plans (ESMPs) into BoQs for investments financed from DDEG other than health, education, water, and irrigation of FY 2021/2022 evidenced by items 4,5,6 & 9 in the BoQs for the proposed construction of Administration Block for Namwiwa Sub County, procurement ref : KALI561/WRKS/2021-2022/00005 as of 03/02/2022
Maximum 11 points on this performance measure	c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:	score 3 or else score 0		
15	3	Safeguards for service delivery of investments effectively handled.	d. Examples of projects with costing of the additional impact from climate change.	LG had no Examples of projects with costing of the additional impact from climate change
Maximum 11 points on this performance measure	Score 3 or else score 0			
15	1	Safeguards for service delivery of investments effectively handled.	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:	LG had proof that all projects were implemented on land where it had proof of ownership, access and availability by the time of assessment as evidenced below;
Maximum 11 points on this performance measure	Score 1 or else score 0			<ul style="list-style-type: none"> • land title for Kaliro DLG of P.O. Box 56, Kaliro, Plot 25 at Bukumankoola, Block (road) of 135.4460 hectares dated 20/10/2015 • Letter of consent for Panyolo P/S by Namwiwa Archdeaconary through VEN. REV. Patrick Kemba to the CAO through DEO

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	LG DEO and DCDO conducted quarterly not monthly support supervision and monitoring as required, for example; • E&S Monitoring report for quarter 2 education projects by the DEO&DCDO on 29/12/2021	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	The E&S certifications were approved and signed by the environmental officer and DCDO prior to executing the project contractor payments as evidenced below; • E&S Certification of 12,690,846/= for the education offices by the DEO&DCDO on 03/02/2022 • E&S Certification of 25,680,240/= for the proposed construction of a 2 classroom block at Ihagalo P/S by the DEO&DCDO on 07/03/2022 • E&S Certification of 17,998,000/=for the proposed a 5 stance pit latrine at Namwiwa P/S by the DEO&DCDO on 06/05/2022	1

Financial management

LG makes monthly
Bank reconciliations

Maximum 2 points on
this Performance
Measure

a. Evidence that the LG
makes monthly bank
reconciliations and are up
to-date at the point of
time of the assessment:

Score 2 or else score 0

The LG made monthly bank
reconciliations for the previous
FY as indicated on the 3
sampled accounts below:

1.General Fund Account:

General Ledger Cash Balance
as at 30th/6/2022: Shs. 0

Bank Statement Closing balance
as at 30th/6/2022: Shs.
12,845,650

2. YLP Account:

General Ledger Cash Balance
as at 30th/6/2022: Shs. 0

Bank Statement Closing balance
as at 30th/6/2022: Shs.
12,845,650

3.UWEP Recovery Account:

General Ledger Cash Balance
as at 30th/6/2022: Shs.
7,867,563

Bank Statement Closing
Balance as at 30th/6/2022: Shs.
7,867,563

However, the bank
reconciliations for July -October
2022 for the three sampled
accounts were not available at
the time of assessment as the
responsible staff with IFMS user
rights had been interdicted at
the beginning of FY 2022/2023.

The bank reconciliation
statements available for June
2022 were those annexed to the
Draft Annual Financial
Statements for FY 2021/2022.

LG executes the Internal Audit function in accordance with the LGA Section 90

a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.

The LG produced all the quarterly internal audit reports for the previous FY as evidenced below:

Maximum 4 points on this performance measure

Score 2 or else score 0

Q1 internal audit report was produced on 20/12/2021 Ref. AUD216/1.

Q2 internal audit report was produced on 12/1/2022 Ref. AUD216/1.

Q3 internal audit report was produced on 12/7/2022 Ref. AUD216/1.

Q4 internal audit report was produced on 31/8/2022 Ref. AUD216/1.

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.

Score 1 or else score 0

The LG through the Q1- Q4 of the internal audit reports (section of "Review of Previous Audit") of the previous FY provided information to the District Chairperson and LGPAC on the status of implementation of internal audit findings for the previous FY as evidenced below:

Q1 Internal audit report as on page 5 provided a status on WHT deductions from service providers and timely remittance to URA queried in Q4 of the previous FY that it was still a challenge

Q2. Internal audit report as on page 4 provided a status on failure to account for administrative advances that there was tremendous improvement noted.

Q3 Internal audit report as on page 5 provided a status on assets management that it still remained a challenge and needed affirmative redress.

Q4 Internal audit report provided a status on low revenue collection that it was still below the required and budgeted.

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:

Score 1 or else score 0

All the Quarterly Internal Audit Reports for the previous FY were submitted to CAO and LGPAC as indicated below:

Q1 report was submitted to CAO and LGPAC on the same day of 28/10/2021

Q2 report was submitted to CAO on 20/4/2022 and LGPAC on 30/5/2022

Q3 report was submitted to CAO and LGPAC on the same day of 26/7/2022 as per records in the LG.

Q4 report was submitted to CAO and LGPAC on the same day of 23/9/2022

However, the LG did not have an LGPAC in FY 2021/2021 to review and follow-up the quarterly internal audit reports for the previous FY. However, it was formed in the current FY and awaiting to be sworn in on 1/12/2022.

Local Revenues

LG has collected local revenues as per budget (collection ratio)

Maximum 2 points on this performance measure

a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.

The LG original budget for local revenue was Shs. 187,672,253 as on page 11 of the draft AFS for the previous FY.

Actual local revenue collected was Shs. 172,120,402 as on page 11 of the draft AFS for the previous FY.

The budget realization was

-8.3% within the recommended limit of -10%.

Workings:

$172,120,402/187,672,253*100 = 91.7\%$

$91.7\% - 100\% = - 8.3\%$

The good local revenue performance was attributed to realistic budgeting,

19	0	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>The OSR collected in the previous FY but one (excluding sale of assets) Shs.260,966,348 as on page 36 of the audited AFS for FY 2020/2021 whereas actual OSR collected in the previous FY was Shs.172,120,402 as on page 11 of the draft AFS registering a decrease of Shs. 88,845,946 representing -34%</p> <p>Workings: 88,845,946 – 260,966,348 = 23,921,062 (decrease)</p> <p>$23,921,062 / 178,071,200 * 100 = 13.4\%$</p> <p>The decrease was caused by the prolonged lock down during the Covid-19 pandemic in addition to freezing of fishing activities by government in 2021.</p>
20	0	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>Not all LG local revenue collected was eligible for sharing with LLGs e.g. Property Tax and Bidding fees were ineligible. However, LST was eligible and the total collected was Shs. 127,115,989 as indicated on page 20 of the draft AFS for the previous FY which was shared as evidenced below:</p> <p>The LG remitted Shs. 44,851,062 representing only 35.3% to the LLGs as evidenced below:</p> <ol style="list-style-type: none"> 1. Kaliro TC: Shs. 4,094,000 on 24/9/2021 2. Nawaikoke Sc: Shs. 794,625 on 29/11/2021 3. KalwoSc: Shs. 2,047,000 on 29/11/2021

4. Kaliro TC: Shs. 3,132,000 on 11/11/2021.

5. Buyinda Sc: Shs. 302,250 on 19/10/2021

6. Bukamba Sc: Shs. 697,125 on 2/12/2021

7. Kasokwe: Shs. 2,736,500 on 29/11/2021

8. Budomero Sc: Shs. 975,000 on 3/12/2021

9. Buyinda Sc: Shs420,875 on 20/12/2021

10. Nawaikoke Sc: Shs. 1,776,000 on 10/11/2021

11. Bumanya Sc: Shs. 4,615,000 on 29/11/2021

12. Namugongo Sc: Shs. 1,494,187 on 2/12/2021

13. Nansololo Sc: Shs. 775,125 on 22/12/2021

14. Bukamba Sc: Shs. 1,752,562 on 19/10/2021

15. Namwiwa Sc: Shs.636,188 on 19/10/2021

16. Namugongo Sc: Shs. 3,805,750 on 19/10/2021

17. Bumanya Sc: Shs. 390,000on 19/10/2021

18. Namwiwa TCS: Shs. 2,000,000 on 19/10/2021

19. Gadumire Sc: Shs2,305,875 Shs. 19/10/2021

20. Kaliro TC Shs. 10,500,000: on 19/10/2021

TOTAL: 44,851,062

LG shares information with citizens

Maximum 6 points on this Performance Measure

a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0

The procurement Unit awarded contracts and amounts for FY 2021/2022 were available, endorsed by CAO on 15th Oct 2021 and received by the Procurement and disposal unit on 20th Oct 2021, published on the procurement Notice Board. Awarded contracts for sampled projects included:

- Construction of Administration block for Namwiwa S/C – was awarded to Bandana Engineers Ltd as the contractor; at 82,582,860/= and displayed on 23rd July 2022
- Renovation of District Planning Unit Block was awarded to Kayaza Associates Ltd as the contractor; at 12,312,000/= and displayed on 07th Dec 2021
- Construction of a 3 stance Pit Latrine at Education office was awarded to Mercy Uganda Ltd as the contractor; at 15,000,000/= and displayed on 25th Aug 2021

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>The LGPA results for 2020 were posted on the LG Website www.kaliro.go.ug, emailed to technical staff and councilors and also posted on the LG Notice board on 19/7/2022 as per unreferenced circular signed by CAO.</p> <p>Crosscutting MCs: 58%</p> <p>Education MCs: 60%</p> <p>Health MCs: 90%</p> <p>Water & Env't MCs: 55%</p> <p>MSI: 70%</p> <p>Cross cutting PMs: 57%</p> <p>Education MCs: 76%</p> <p>Health MCs: 67%</p> <p>Water & Env't. PMs: 68%</p> <p>MSI PMs: 6%</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>The LG gave a feed back to the community on status of activity implementation through a radio talk show which was held on 17/10/2022 in the District Council Hall and the event broadcast live on 87.7 BABA FM funded by M/S RESTLESS DEVELOPMENT.</p> <p>The HoDs, SASs and TCs presented the previous FY performance and their projections in the current FY.</p>	1

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>No evidence was availed at the time of assessment.</p>	0
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>No cases of alleged fraud and corruption were reported in the previous FY to cause IGG investigations and reporting.</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>There LG PLE pass rate was 7 % between 2020 and 2019;</p> <p>In 2020 a total of 2777 pupils passed in Division 1, 2 and 3 out of 4317 who sat accounting to 64%</p> <p>In 2019 a total of 2661 pupils passed in division 1,2 and 3 out of 4627 who sat accounting to 57%</p> <p>% Pass rate =64% - 57% = 7%</p>	4

1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p>	<p>The LG UCE pass rate increased by 37% between 2020 and 2019;</p>	3
	<p>Maximum 7 points on this performance measure</p>	<ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>In 2020 a total of 2777 students passed in Division 1, 2 and 3 out 4317 accounting to 64%</p>	
			<p>In 2019 a total of 336 students passed in division 1, 2 and 3 out of 1223 students accounting to 27%</p>	
			<p>Percentage increase = 64% - 27%=37%</p>	
2	<p>Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p>	<p>Not Applicable</p>	0
	<p>Maximum 2 points</p>	<ul style="list-style-type: none"> • If improvement by more than 5% score 2 • Between 1 and 5% score 1 • No improvement score 0 		

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The Education development grant of Shs1,263,933,000 in the approved budget 21/22Fy and supplementary of Shs 841832223 was spent on projects which were in line with sector guidelines on page 24 which clearly stated the eligible projects that included; construction of sanitary facilities, classrooms among others.</p> <p>basing on the District Annual Performance Report FY 2021/22 the as undertaken projects involved;</p> <ol style="list-style-type: none"> 1. Construction of a 2-classroom block with office at Ihagalo P/S at Shs 50,922,000 2. Construction of a two-classroom block at Isalo P/S at Shs 59,986,394 3. Construction of 5 stance pit latrine at Bukumankoola P/S at Shs 17,800,000 4. Construction of 5 stance pit latrine at Budini Girls P/S at Shs 17,990,190 	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>The DEO, Environment Officer, and CDO dully certified works on Education construction projects implemented in the previous FY as evidenced in the 3 sampled contracts below:</p>	2

1. Construction of a 2-classroom block at Ihagalo P/s in Bumanya Sc by M/s Kayaza Associates Ltd.

The contractor claimed for payment of Shs. 28,656,000 on 15/2/2022

DEO certified works for payment on 4/3/2022

DE certified certificate No. 2 dated 15/2/2022 for payment of Shs.25,680,240 on 24/2/2022

Environment officer certified the works for payment on 24/2/2022

CDO certified on 7/3/2022

The Contractor was paid Shs. 25,680,240 on 25/3/2022 vide EFT No. 42451203.

2. Construction of a 3-stnce pit latrine at Education Department Office by M/s Mercy Uganda Ltd.

The contractor claimed for payment of Shs. `15,001,000 on 28/1/2022

DEO certified works for payment on 4/2/2022

DE certified certificate No. 1 dated 31/1/2022 for payment of Shs.12,690,846 on 2/2/2022

Environment officer and CDO certified the works for payment on the same day of 3/2/2022

The Contractor was paid Shs. 12,690,846 on 25/2/2022 vide EFT No.

41883376.

3. Completion of a 3-classroom block at Nantamali P/s in Nansololo Sc by M/s Altecom Services Ltd.

The contractor claimed for payment of Shs. `58,500,000 on 23/3/2022

DEO certified works for payment on 23/3/2022

DE certified certificate No. 1 dated 23/3/2022 for payment of Shs.55,879,857 on 24/3/2023

Environment officer and CDO certified the works for payment on the same day of 3/2/2022

The Contractor was paid Shs. 55,879,857 on 7/4/2022 vide EFT No. 42657178.

3

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0

2

The variations in the contract price and Engineer's estimates of the sampled projects were as follows:

Variation = 100% ((Contract Price – Engineers Estimate)/Engineer's Estimate))

• KALI1561/wrks/21-22/00051 Construction of a 2-Classroom Block with office and store at Isalo Primary School was budgeted at UGX 60,000,000, actual was UGX 60,030,000/= with a variation of UGX -300,000/=

represented by + 0.05%,

- KALI1561/wrks/21-22/00009 Construction of a 2-Classroom Block with office and store at Kibanda Primary School in Kaliro was budgeted at UGX 60,000, 000/=, Contract Price was UGX 59,986,394/= with a variation of UGX 13,606/= represented by -0.002%,

- KALI1561/wrks/21-22/00008 Construction of a 2-Classroom Block with office and store at Ihagalo Primary School in Kaliro District was budgeted at UGX 60,000, 000, Contract Price was UGX 60,040,000/= with a variation of UGX 40,000/= represented by - 0.067%,

In conclusion,

The variations were within the range of +/- 20% provided in the manual.

3

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY

- If 100% score 2
- Between 80 – 99% score 1
- Below 80% score 0

There was a SEED secondary approved in the FY 2021-2022 but as of the time of assessment, no construction had yet taken Place.

0

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 – 79% score: 1 • Below 70% score 0 	<p>The LG had recruited 1036 teachers against the required 1060 as per the staff ceiling for the 89 primary schools which represented 97%</p> <p>$1036 / 1060 * 100 = 97\%$ staffing</p>	2
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4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>33% of the schools in the LG met the basic minimum requirements and minimum standards set by DES.</p> <p>Of The 89 primary schools and 9 secondary school in the consolidated district assets Register. Only 30 schools had a staff accommodation in place as required by the Basic requirements and minimum standards of DES.</p> <p>$30/89 * 100 = 33\%$</p> <p>Some of the schools that lacked a single staff accommodation included;</p> <p>Bujjeje, Bwite, Kahango, Nabitende, Budehe, Bulyakubi, Ihagalo among others.</p>	0
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported</p>	<p>a) Evidence that the LG has</p>	<p>There was accurate</p>	2
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information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2

- Else score: 0

reporting on teachers observed on the deployment list 2022 and the corresponding staff lists at the three sampled schools as indicated below;

Budini Boys P/S had 20 teachers on its staff list as witnessed on the deployment list, the teachers were found present at the school as observed in the arrival book on the page dated 24th November 2022 and they included;

Menta Fred, Adonoh Agnes, Kaghala Joy and others.

Bukumankoola P/S teachers on the school staff list were 16 as on the deployment list, these had all signed in the school teacher attendance book dated 24th November 2022

and they included;

Kunya Buruhan, Kagoya Juliet, Namutiibwa Florence and Others.

Kasokwe P/S had 17 teachers on the school staff list as on the deployment list. All teachers had signed in the attendance book on 24th November 29, 2022 as indicated below;

Namusaabi Harriet, Mutwala Joshua, Kawala Susan and others.

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was accuracy in reporting on infrastructure in the LG assets register as evidenced in the 3 sampled schools below;</p> <p>Budini Boys P/S had on ground 13 classrooms, 16 latrine stances, 190 desks and 3 teacher houses</p> <p>Bukumankoola P/S had 10 classrooms, 26 latrine stances, 243 desks and 3 teacher accommodation</p> <p>Kasokwe P/S had on ground 11 classrooms, 10 latrine stances, 196 desks and 2 teacher accommodations</p> <p>All these infrastructures aligned well with the assets register report.</p>	2
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others,</p>	<p>100% of the three sampled Primary Schools complied with MOES Annual Budgeting and Reporting Guidelines representing 100% as evidenced below;</p> <p>$3/3 * 100 = 100\%$</p>	4

i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 – 99% score: 2
- Below 80% score 0

Lugonyola Primary school submitted its annual report on 28th January 2022 signed by the Head teacher Kalyebi Gideon and SMC chairperson Gulumaire Magidu. The report contained expenditure of Shs 6,815,000 UPE capitation. Assets register of 7 classrooms, 4 latrine stances, 105 desks and PLE performance 2020

St Thereza Kanambatiko Primary school submitted its annual report on 4th January 2022 signed by the head teacher Kisige Jonathan the HM and Kibedi SMC chairperson. The report had details of Shs 6,972,808 UPE capitation grant expenditure.

Kanankamba P/S submitted its report on 2nd January 2022 signed by Wambuzi Moses Kamaga the HM and Kagere Aggrey the SMC. The report contained the asset register of 12 classrooms, 12 latrine stances, 150 desks and the expenditure of Shs 21956805 received for Covid.

6	School compliance and performance improvement:	b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:	All the 3 sampled primary schools in the LG that included Bukumankoola, Budini boys and Kasokwe P/S had School Improvement plans for 2022 in place signed by the Head teachers of the respective schools, School management Committee Chair persons and approved by the DEO.	4
	Maximum 12 points on this performance measure	<ul style="list-style-type: none"> • If 50% score: 4 • Between 30– 49% score: 2 • Below 30% score 0 	$3/3 * 100 = 100\%$	
			In line with implementing the Sips especially in the area inadequate latrines for learners, Bukumankoola primary school had a 5-stance pit latrine constructed at Shs17,800,000	
6	School compliance and performance improvement:	c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:	The list of schools on PBS and OTIMS corresponded well with each having 89 primary and 9 USE schools which was 100%	4
	Maximum 12 points on this performance measure	<ul style="list-style-type: none"> • If 100% score: 4: • Between 90 – 99% score 2 • Below 90% score 0 		

Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>4</p> <p>The district budgeted Shs 7,635,632,000 for 89 head teachers and 1036 teachers in 89 primary schools of the District approved budget 2022/23 FY</p>
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>3</p> <p>Teachers had been deployed as required by the sector guidelines with a head teacher and a minimum of 7 teachers per class for schools with classes reaching P7 as evidenced from the sampled 3 schools below;</p> <p>Budini Boys P/S had a headteacher Menta Fred and 19 teachers deployed.</p> <p>Bukumankoola P/S had a head teacher Kunya Buruhan and 15 teachers</p> <p>Kasoka P/S had a head teacher Namusabi Harriet and 16 teachers deployed as required.</p>
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Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0

Teacher deployment data for the year 2022 had been publicized in all the sampled 3 Primary schools Budini P/S had 20 staff with 11 males and 9 female, Bukumankoola P/S had 16 staff with 10 female and 6 male while Kasokwe Primary School had 17 staff with 11 female and 6 male.

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

The LG had 89 Primary School Head teachers and 10 files were sampled for review in which findings indicated that all were not appraised.

1. Bangi Christine (St.Luliana P/S)
2. Girigwa Robertson Paul (Buluga P/S)
3. Kagezi Jane Josephine (Madebira P/S)
4. Ibika Kasadha David (Kyani P/S)
5. Kunya Buruhani (Bukumankola P/S)
6. Kabitayo Paul (Bujjeje P/S)
7. Balidawa Wilson (Nsamale P/S)
8. Menta Fred (Budini Boys P/S)
9. Musekwa Napeera (Budini COU P/S)
10. Kategere Robert (Kaliro COU P/S)

8

0

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM

Score: 2 or else, score: 0

There was no evidence that all secondary school Head Teachers were appraised and report submitted to HRM as required. Although appraisals were done, some were appraised outside of the time frame. For stance,

1. Waiswa Hassan (Kanambatiko SS) was appraised on 5/07/2022

2. Mwiwa Joseph (St. Philip Nawakoke College) was appraised on 7/07/2022

Maximum 8 points on this performance measure

8

0

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

c) If all staff in the LG Education department have been appraised against their performance plans

score: 2. Else, score: 0

There was no evidence that all Education Department staff were appraised. Reviewed files for Muwereza Paul (Senior Education Officer), Barugahale Agnes (Asst. Records Officer, Namuhange Marriam (Secretary) and found out that all files lacked appraisal forms

Maximum 8 points on this performance measure

8

2

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,
score: 2 Else, score: 0

There was a training plan for the Education department 2021/2022 for the identified staff capacity gaps dated 9th August 2021. Some of the key training involved;

Training head teachers and teachers in ICT skills

Capacity building for SMC on their roles and responsibilities. During March 2022.

Maximum 8 points on this performance measure

Management, Monitoring and Supervision of Services.

9

2

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.
If 100% compliance, score:2 or else, score: 0

The LG confirmed in writing a total of 89 Primary schools, 9 USE schools with their total enrollment of 61220 for primary and 10935 USE in a letter dated 29TH October 2021 written by the District CAO

Maximum 8 points on this performance measure

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.

If 100% compliance, score:2
else, score: 0

The district budgeted Shs 51,664,000 and spent Shs 51,664,000 on monitoring and inspection of 89 primary

schools Q4 report 2021/22 FY which was in line with the sector guidelines page 14 that stipulate that at a minimum, inspection must be allocated a fixed rate of Uganda shillings 4 million per LG, plus Shs 112,000 (3 inspections at Shs 37,333) per school for the 3 terms.

100% compliance

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters

If 100% compliance, score: 2
else score: 0

The LG was unable to trace the dates of quarterly uploading of the cash limits and warrants for the previous FY at the time of assessment.

The LG attributed this failure to closure of the old IFMIS by MoFPED and that the CFO together with Super user at that time who had the user rights were both interdicted pending IGG investigations thus not possible to access the required dates.

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.

If 100% compliance, score: 2
else, score: 0

The LG communicated all the Capitation grant transfers for the previous FY to all schools but the assessment could not confirm whether the communication was done within the recommended 5 working days due to the LG failure to access the dates of uploading the cash limits on IFMS.

Q3 Capitation funds of Shs. 372,937,767 for 89 Primary schools, Shs. 583,985,000 for 8 USE schools and Shs. 118,540,969 for 2 Tertiary Institutions. were communicated on 12/1/2022

Q4 Capitation funds of Shs. 372,937,767 for 89 Primary schools, Shs. 583,985,000 for 8 USE schools and Shs. 118,540,969 for 2 Tertiary Institutions. were communicated on 3/5/2022

Routine oversight and monitoring

Maximum 10 points on this performance measure

a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.

• If 100% compliance, score: 2, else score: 0

The LG had an inspection plan for 2021 /2022 FY drawn to cover a total of 89 primary schools and 9 secondary school in the LG.

In the plan, conducting school visits for support supervision had been allocated Shs 1,000,000

Meetings to plan for school inspections had been organized

On 25th February 2022 under Min 3/inspection /2022 and Minute 5/inspection/2022 on 11th April 2022 under Minute 5/ inspection/2022 and on 10th June 2022 under minute 6/ Educ/2022

Routine oversight and monitoring

Maximum 10 points on this performance measure

b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:

- If 100% score: 2
- Between 80 – 99% score 1
- Below 80%: score 0

All the 89 primary schools had been inspected in each of the three required school terms accounting to 100% total inspection as indicated below;

In the inspection reports of term 1, 2 of 2021/22 FY a total of 89 schools had been inspected out of the required 89 inspections for the 89 primary schools in each term

$89/89 * 100 = 100\%$
inspection rate

In term 3 2021, 89 schools had been inspected.

In term 1 2022, 89 schools had been inspected

In term 2 2022, 89 schools had been inspected.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>Inspection reports had been discussed by the Education department on 11th April 2022 in minute 3/EDUC/4/2022. The issues arising from inspection involved inadequate teacher preparation, inadequate support supervision and inadequate teacher accommodation etc.</p>	2
			<p>To these, recommendations to provide more classrooms to schools that only 4 classrooms, supplying of furniture in schools and training head teachers on support supervision where reached.</p>	
			<p>The resolutions on training head teachers on support supervision was implemented during the head teachers training at Musana Primary school on 29th April 2022</p>	
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>Inspection findings had been presented to the three sampled and visited primary schools as indicated below;</p> <p>On 9th February 2022 inspection findings had been shared with Kasokwe P/S these included the need to renovate old pit latrines</p>	2
			<p>On 2nd March 2022 and on 15th November 2021</p>	

inspection findings had been shared with Budini Boys P/S

On 10th February 2022 inspection findings that included the issue of need for scheming and lesson planning had been shared with Bukumankoola Primary school.

Term 3 inspection report had been submitted to DES on 21st December 2022.

Term1 inspection report 2022 had been submitted and received by DES on 29th April 2022

Term 2 inspection report was submitted and received by DES on 29th August 2022 as observed from the DES receipt acknowledgment forms signed by Kirenda Winnie secretary for commissioner basic education standards.

10

Routine oversight and monitoring

Maximum 10 points on this performance measure

e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0

The Council committee responsible for Education (Council Standing on Health Education & Community Committee) met and discussed service delivery issues in the previous FY as evidenced below:

Health, Education & Community Committee met on 20/5/2022 as per committee report to Council signed by the committee

2

Chairperson (Mr.Eric Lyavaala) observed that there was need for the Education department to organize a monitoring activity for the politicians to look at the projects implemented in the previous FY..

Health, Education & Community Committee met on 18/5/2022 Agenda 7 under Min. No. 04/HED/MAY/2021/2022 discussed and resolved to have 17 schools coded which included Jalaja, Kanantale, Kanansega, Nalenya, Budhi, Bulima, Kibuye among others.

Council meeting held on 22/12/2021 Agenda 7 under Min. No. 35/KALC/DEC/2021/2022 which approved coding of 16 schools by MoES.

Council meeting held on 20/5/2022 under Min. No. 59/KDLC/MAY/2021 approved monitoring budget line to include the committee in charge of Education .

11

Mobilization of parents to attract learners

Maximum 2 points on this performance measure

Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,

score: 2 or else score: 0

Activities of Mobilization to attract and retain children at school for the year 2022 had been conducted on 24th March 2022 During the Annual General meeting at Kaliro High School when parents were reminded of their roles in parenting and educating their children.

2

Planning and budgeting for investments

Maximum 4 points on this performance measure

a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, *score: 2, else score: 0*

An up to-date assets register was available for the year 2022 listing all assets within all the sampled 3 schools in line with format 1 of the Education Sector guidelines.

However from the sampled three schools basing on the Desk pupil ratio national minimum standard of 1:3, all schools fell short of the basic standards as indicated below;

Kasakwe P/S had a desk pupil ratio of 1:5

Budini P/S had a desk pupil ratio of 1:6

Bukumankoola P/S had a desk pupil ratio of 1:5

Planning and budgeting for investments

Maximum 4 points on this performance measure

b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, *score: 1 or else, score: 0*

The District conducted a desk appraisal on all Education sector funded projects for the previous FY on 21 /6/2021 as per report dated 24/6/2021. The appraisal identified that the Education Sector prioritized projects were derived from the LGDP III and some were eligible for funding under DDEG as indicated below:

1. Construction of Bumanya SEED School was derived from LGDP III as on page

255 and was eligible for DDEG funding as on page 7 Code 078183 of the implementation guidelines.

2. Construction of 3-stance latrine at Education department office was derived from LGDP III as on page 260 and was eligible for funding under DDEG funding as on page 8 Coded 078181 of the implementation guidelines.

3. Supply of desks to primary schools was derived from LGDP III as on page 255 and was eligible for funding under DDEG funding as on page 7 Coded 078183 of the implementation guidelines.

4. Construction of 2-classroom block at Kibanda P/s as derived from LGDP III as on page 255 and was eligible for funding under SFG funding.

5. Construction of a classroom block at Bukumankoola P/s was derived from LGDP III as on page 255 and was eligible for funding under SFG funding.

Planning and budgeting for investments

Maximum 4 points on this performance measure

c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0

The District conducted a field appraisal on all projects on 22/6/2022 as indicated in the appraisal report dated 24/6/2021 for DDEG funded projects for the previous FY. The appraisal identified that technical feasibility, environmental and social safeguards as well as customized designs were complied with as evidenced in the 3 sampled projects

1. Construction of Bumanya SEED school which complied with technical feasibility as on page 3, environmental and social acceptability as on page 3 customized designs which complied as on page 3 of the field appraisal report.

2. Construction of a 3-stance latrine at Education department office which complied with technical feasibility as on page 3, environmental and social acceptability as on page 3 customized designs which complied as on page 3 of the field appraisal report.

3. Construction of a 2-classroom block at Kibanda P/s which complied with technical feasibility as on page 3, environmental and social acceptability as on page 3 customized designs which complied as on page 3 of the field appraisal report.

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>There was no SEED Secondary School incorporated in the procurement plan of the current FY 2022/23</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There was evidence of the SEED school infrastructure that was approved by the Contracts Committee and cleared by the Solicitor General;</p> <ul style="list-style-type: none"> • Bumanya SEED secondary school, the committee sat on 3rd Mar 2022 Under MIN: 45 NDCC/03/03/21-22 and the solicitor General cleared on 16th Sept 2022 <p>This clearance was done in FY 2022/23, meaning the construction did not start in the year 21/22</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>The LG had a project implementation team established as per CAO's letter of appointment on 20th Jul 2021; for the period from 1st July 2021 to 31st June 2022, the team members included;</p> <ul style="list-style-type: none"> • Nyonyi Paul (DE) • Muwereza Paul (DEO) • Kagoya Susan (Labour Officer) • Diogo Paul (Senior Environmental officer) • Bob Mulyakubi COW) • Namukose Irene (DCDO) 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>There was a SEED secondary approved in the procurement Plan for the FY 2021-2022 but, no Construction took place in the FY 2021/22</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>There was a SEED secondary approved in the FY 2021-2022 but no Construction took place in the FY 2021/22 and therefore no meetings and reports.</p>	0

13	1	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was evidence of Reports on the planned sector infrastructure projects in the previous FY by the joint technical teams involving engineers, environment officers, CDOs. Sampled projects include;</p> <ul style="list-style-type: none"> • Supervision and Monitoring reports on the Construction of a 2-Classroom Block with office and store at Isalo Primary School in Kaliro District dated 12th May 2022 and 25th Jun 2022. • Supervision and Monitoring reports on the Construction of a 2-Classroom Block with office and store at Kibanda Primary School in Kaliro District dated 04th May 2022 and 04th Jun 2022. • Supervision and Monitoring reports on the Construction of a 2-Classroom Block with office and store at Ihagalo Primary School in Kaliro District dated 20th March 2022 and 20th May 2022.
13	1	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The payment requests for infrastructure projects were initiated and executed as per specified time frames as evidenced in the 3 sampled contracts below:</p> <ol style="list-style-type: none"> 1. Construction of a 2-classroom block at Ihagalo P/s in Bumanya Sc by M/s Kayaza Associates Ltd. <p>The contractor claimed for</p>

payment of Shs. 28,656,000
on 15/2/2022

DEO initiated the payment
on 4/3/2022.

DE certified certificate No. 2
dated 15/2/2022 for
payment of Shs.25,680,240
on 24/2/2022

Environment officer
certified the works for
payment on 24/2/2022

CDO certified on 7/3/2022

The Contractor was paid
Shs. 25,680,240 on
25/3/2022 vide EFT No.
42451203 after 21 days.

2. Construction of a 3-stnce
pit latrine at Education
Department Office by M/s
Mercy Uganda Ltd.

The contractor claimed for
payment of Shs.
`15,001,000 on 28/1/2022

DEO initiated the payment
on 4/2/2022

DE certified certificate No. 1
dated 31/1/2022 for
payment of Shs.12,690,846
on 2/2/2022

Environment officer and
CDO certified the works for
payment on the same day
of 3/2/2022

The Contractor was paid
Shs. 12,690,846 on
25/2/2022 vide EFT No.
41883376 after 21 days.

3. Completion of a 3-
classroom block at
Nantamali P/s in Nansololo
Sc by M/s Altecom Services
Ltd.

The contractor claimed for payment of Shs. `58,500,000 on 23/3/2022

DEO initiated the payment on 23/3/2022

DE certified certificate No. 1 dated 23/3/2022 for payment of Shs.55,879,857 on 24/3/2023

Environment officer and CDO certified the works for payment on the same day of 3/2/2022

The Contractor was paid Shs. 55,879,857 on 7/4/2022 vide EFT No. 42657178 after 15 days.

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i>	The LG Education department submitted its procurement plan on 21st April 2021 in accordance with the PPDA requirements to the Procurement Unit	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i>	There was no complete procurement file for the SEED School Infrastructure because the contract was not signed in FY 2021/22	0

Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>LG had no grievance recorded under education sector for FY 2021/2022 by the time of assessment however the Central Grievance Log was available as of 28/10/2021</p>	3
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>Environmental guidelines had not been issued to all the three sampled schools Kasokwe, Budini Boys and Bukumankola Primary school</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, score: 2, else score: 0</p>	<p>LG did not have costed ESMP incorporated within the BoQs and contractual documents for education projects as required</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, score: 1, else score:0</p>	<p>LG had proof of land ownership, access of school construction projects by the time of assessment, for example;</p> <ul style="list-style-type: none"> • Letter of consent for Panyolo P/S by Namwiwa Archdeaconary through VEN. REV. Patrick Kemba to the CAO through DEO 	1

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>DEO and DCDO conducted quarterly not monthly support supervision and monitoring as required, for example,</p> <p>E&S Monitoring report for quarter 2 education projects by the DEO&DCDO on 29/12/2021</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments</p> <p><i>Score: 1, else score:0</i></p>	<p>The E&S certifications were approved and signed by the environmental officer and DCDO prior to executing the project contractor payments as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form of 12,690,846/= for the education offices by the DEO&DCDO on 03/02/2022 • E&S Certification form of 25,680,240/= for the proposed construction of a 2 classroom block at Ihagalo P/S by the DEO&DCDO on 07/03/2022 • E&S Certification form of 17,998,000/=for the proposed a 5 stance pit latrine at Namwiwa P/S by the DEO&DCDO on 06/05/2022 	1

**Health Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The sampled health facilities had the following number of deliveries:</p> <p>FY2020/21</p> <p>Bumanya HC IV 1514</p> <p>Namugongo HC III 302</p> <p>Namwima HC III 865</p> <p>Total 2681</p> <p>FY2021/22</p> <p>Butamanya HC III 1818</p> <p>Namugongo HC III 417</p> <p>Namwiwa HC III 1146</p> <p>Total 3381</p> <p>Percentage change $(3381 - 2681) / 2681 * 100 = 26\%$</p>	2

3

2

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.

The LG budgeted Shs. 2,393,708,000 for Health development grant including UGIFT as indicated on page 13 of the ABPR for the previous FY which was spent on prioritized investments as evidenced below:

1. Completion of OPD structure at Kaliro TC HC II & Budomero HCIII at a cost of Shs. 219,689,000 as on page 59 of the ABPR.

2. Construction of a staff house at Nawampiti & Budomero HC IIIs at a cost of Shs. 470,000,000 as on page 58 of the ABPR

3. Procurement of Lab & Research equipment for Bumanya HC IV at a cost of Shs. 118,767,000 as on page 58 of the ABPR

4. Construction of a 5-stance pit latrine for patients and a 2-stance with a bathroom for medical staff at Kaliro TC HC II at a cost of Shs. 34,919,000 as on page 57 of the ABPR

5. Supply of medical equipment to Kasokwe HC III at a cost of Shs. 180,000,000 as on page 59 of the ABPR

3

2

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

The Health sector construction projects were properly executed and certification of works duly done by DHO, District Engineer, Environment Officer and CDO prior to payment to the contractors as evidenced below:

1. Completion of OPD at Budomero HC II by M/s Mercy Uganda Ltd.

The contractor claimed for payment of Shs. 33,600,000 on 14/12/2021

DHO recommended for payment on

16/12/2021.

DE certified certificate No. 2 dated 15/12/2021 for payment of Shs.25,792,740

Certification by Environment officer was done on 16/12/2021 and CDO on 17/12/2021.

The contractor was paid Shs. 25,200,920 on 22/12/2022 vide EFT No. 40873850.

2.Construction of a staff house at Budomero HC II by M/s Eltex Logistics Ltd.

The contractor claimed for payment of Shs.76,100,000 on 8/5/2022

DHO recommended for payment on 10/5/2022.

DE certified on 10/5/2022
Certification by both Environment officer and CDO was done concurrently on 6/5/2022.

The Contractor was paid Shs. 62,476,665 vide EFT No. 43275996.

3.Construction of a staff house at Nawampiti HC II by M/s Waiswa Consult Ltd.

The contractor claimed for payment of Shs.75,000,000 on 10/5/2022

DHO recommended for payment on 16/6/2022.

DE certified certificate No. 1 dated 9/5/2022 for payment of Shs.61,455,701

Certification by both Environment officer and CDO was done on the same day of 6/5/2022.

The Contractor was paid Shs. 61,455,701 on 12/5/2022 vide EFT No. 43276009.

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0

The variations in the contract price and Engineer's estimates of the sampled projects were as follows:

Variation = 100% ((Contract Price – Engineers Estimate)/Engineer's Estimate))

- KALI1561/wrks/21-22/00051
Construction of a 2-Classroom Block with office and store at Isalo Primary School was budgeted at UGX 60,000,000, actual was UGX 60,030,000/= with a variation of UGX -300,000/= represented by + 0.05%.

- KALI1561/wrks/21-22/00009
Construction of a 2-Classroom Block with office and store at Kibanda Primary School in Kaliro was budgeted at UGX 60,000,000/=, Contract Price was UGX 59,986,394/= with a variation of UGX 13,606/= represented by - 0.002%.

- KALI1561/wrks/21-22/00008
Construction of a 2-Classroom Block with office and store at Ihagalo Primary School in Kaliro District was budgeted at UGX 60,000,000, Contract Price was UGX 60,040,000/= with a variation of UGX 40,000/= represented by - 0.067%.

In conclusion,

The variations were within the range of +/- 20% provided in the manual.

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>The was no HC II upgraded to HC III</p>	2
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The LG recruited staff for all HC IIIs and HC IV as follows</p> <p>Bumanya HC IV 45 out of 48</p> <p>Budomero HC III 17 out of 19</p> <p>Gadumire HC III 18 out of 19</p> <p>Kasokwe HC III 17 out of 19</p> <p>Namugongo HC III 20 out of 19</p> <p>Namwiwa HC III 23 out of 19</p> <p>The total staff recruited were 140 out of 143 required.</p> <p>The percentage was $140/143 \times 100 = 98\%$</p>	2

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <p>• If 100 % score 2 or else score 0</p>	<p>The was no HC II upgraded to HC III</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The information on positions of health workers filled was accurate. Bumanya HC IV had 45 H/Ws on list dated 26/11/2022 so was the District. Namugongo HC III had 20 H/Ws on the list dated 1/07/2022 so was the District. Namwiwa HC III had 23 H/Ws on the list dated 30/09/2022 so was the District</p>	2
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>There was no health facility upgrade in the FY 2021/2022</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities submitted the Annual Workplans FY2022/23 timely as follows:</p> <p>Namugongo HC III 15/03/22</p> <p>Namwiwa HC III 15/03/22</p> <p>Bumanya HC IV 14/03/22</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was no evidence provided.</p>	0
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities did not develop the performance improvement plans</p>	0
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> • score 2 or else score 0 	<p>The sampled health facilities submitted up to date monthly and quarterly HMIS reports timely as follows:</p> <p>Monthly</p> <p>July 2021</p> <p>Bumanya HC IV 4/08/21</p> <p>Namugongo HC III 5/08/21</p> <p>Namwiwa HC III 4/08/21</p> <p>August 2021</p> <p>Bumanya HC IV 4/09/21</p> <p>Namugongo HC III 5/09/21</p> <p>Namwiwa HC III 6/09/21</p> <p>September 2021</p> <p>Bumanya HC IV 6/10/21</p> <p>Namugongo HC III 6/10/21</p> <p>Namwiwa HC III 7/10/21</p> <p>October 2021</p>	2
<p>Maximum 14 points on this performance measure</p>				

Bumanya HC IV 5/11/21

Namugongo HC III 6/11/21

Namwiwa HC III 6/11/21

November 2021

Bumanya HC IV 6/12/21

Namugongo HC III 6/12/21

Namwiwa HC III 5/12/21

December 2021

Bumanya HC IV 6/01/22

Namugongo HC III 6/01/22

Namwiwa HC III 7/01/22

January 2022

Bumanya HC IV 5/02/22

Namugongo HC III 5/02/22

Namwiwa HC III 5/02/21

February 2022

Bumanya HC IV 5/03/22

Namugongo HC III 6/03/22

Namwiwa HC III 7/03/22

March 2022

Bumanya HC IV 5/04/22

Namugongo HC III 4/04/22

Namwiwa HC III 4/04/22

April 2022

Bumanya HC IV 6/05/22

Namugongo HC III 7/05/22

Namwiwa HC III 4/05/22

May 2022

Bumanya HC IV 5/06/22

Namugongo HC III 6/06/22

Namwiwa HC III 7/06/22

June 2022

Bumanya HC IV 5/07/22

Namugongo HC III 6/07/22

Namwiwa HC III 6/07/22

Quarterly 2021/22

1st Quarter 2021/22

Bumanya HC IV 6/10/21

Namugongo HC III 6/10/21

Namwiwa HC III 7/10/21

2nd Quarter 2021/22

Bumanya HC IV 6/01/22

Namugongo HC III 6/01/22

Namwiwa HC III 7/01/22

3rd Quarter 2021/22

Bumanya HC IV 5/04/22

Namugongo HC III 4/04/22

Namwiwa HC III 4/04/22

4th Quarter 2021/22

Bumanya HC IV 5/07/22

Namugongo HC III 6/07/22

Namwiwa HC III 6/07/22.

6

2

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

The health facilities submitted their invoices timely as follows:

Bumanya HC IV 14/07/22

Namugongo HC III 14/07/22

Namwiwa HC III 14/07/22.

Note: Municipalities submit to districts

Maximum 14 points on this performance measure

6

1

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0

The LG verified, compiled and submitted invoices for the 8 RBF facilities on 14/07/2022.

Maximum 14 points on this performance measure

6

0

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0

No evidence was availed at the time of assessment

Maximum 14 points on this performance measure

6

1

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

h) Evidence that the LG has:
i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0

The LG developed performance improvement plan for all facilities on 29/07/22. This emphasized increase in immunization coverage, quality improvement and support supervision.

Maximum 14 points on this performance measure

6

1

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0

The Performance Improvement Plan was implemented on 11-13/10/2022.

Maximum 14 points on this performance measure

Human Resource Management and Development

7

2

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

a) Evidence that the LG has:
i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0

The LG budgeted for 223 H/Ws at 4,004,133,744=. This catered for 94% staffing level.

Maximum 9 points on this performance measure

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>All the 11 health facilities in the LG had at least 75% of staff required. The sampled health facilities had the following staffing level:</p> <ol style="list-style-type: none"> 1. Bumanya HC IV had 45 out of 48 staff required which was 94% 2. Namugongo HC III had 20 out of 19 staff required which was 105% 3. Namwiwa HC III had 23 out of 19 staff required which was 121%. 	2
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>The health workers were working in the health facilities where they were deployed. Bumanya HC III had 45 H/Ws on the list dated 26/11/22 and were found in the attendance book. Namwiwa HC III had 23 H/Ws on the list dated 1/07/22 and were found in the attendance book. Namugongo HC III had 20 H/Ws on the list dated 30/09/22. and were found in the attendance book.</p>	3
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>Bumanya HC IV displayed 45 H/Ws dated 26/11/22. Namugongo HC III displayed 20 H/Ws dated 30/09/22. Namwiwa H/C displayed 20 H/Ws dated 1/07/22,</p>	2

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>Appraisal was done but for majority it was after the due date. for example;</p> <p>Mwenakira James (SCO) Namwiwa HC III and Makoloya Stephen (Enrolled Nurse) Kaliro TC HC III were appraised on 4/07/2022 after the appraisal time frame</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>Appraisal for Health Facility workers was done but after the time frame.</p> <p>Isiko Daniel (Askari) Namwiwa HC III, Mugumaza Zaina (ANO) Nawampita HC III and Nababi Sarah (ANO) Bumanya HC IV were all appraised in August 2</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>No evidence of corrective action taken</p>	0

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>The LG trained 14 H/Ws on Malaria in Pregnancy on 20/05/2022 at Kaliro Country Resort according to the training plan FY2021/22. This was attended by ADHO/MCH, 5 SMCO, 2 ANO, SNO and 4 EN.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The training activity of 14 H/Ws on Malaria in Pregnancy that took place on 20/05/2022 at Kaliro Country Resort was entered into the computerized Data base.</p>	1

Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>There was no evidence provided.</p>	0
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<p>9</p> <p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>The LG made allocations towards monitoring service delivery and management of District health services as evidenced below:</p> <p>Total PHC NWR received in FY 2021/2022 was Shs. 460,464,000 as on page 15 of ABPR.</p> <p>15% of Shs. 464,464,000 was Shs; 69,696,000 but provided for Shs. 70,000,000 which was utilized as indicated on page 27 of the ABPR:</p> <ol style="list-style-type: none"> 1. Quarterly support supervision. 2. Quarterly monitoring of HSD activities. 3. Quarterly monitoring of Health sector capital projects.
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<p>9</p> <p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The LG was unable to trace the dates of quarterly uploading of the cash limits and warrants for the previous FY at the time of assessment.</p> <p>The LG attributed this failure to closure of the old IFMIS by MoFPED and that the CFO together with Super user at that time who had the user rights were both interdicted pending IGG investigations thus not possible to access the required dates.</p>
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Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

The LG communicated all the quarterly PHC NWR grant transfers for the previous FY to all health facilities in charges but the assessment could not confirm whether the communication was done within the recommended 5 working days due to the LG failure to access the dates of uploading the cash limits on IFMS.

Q1 PHC NWR funds of Shs. 100,172,946 were communicated to all the 17 health facilities in charges on 15/8/2021

Q2 PHC NWR funds of Shs. 100,172,946 were communicated to all the 17 health facilities in charges on 22/10/2021

Q3 PHC NWR funds of Shs.100,306,279 were communicated to all the 17 health facilities in charges on 12/1/2022

Q4 PHC NWR funds of Shs. 100,039,614 were communicated to all the 17 health facilities in charges on 3/5/2022.

Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

The LG publicized all the quarterly PHC NWR releases to HFs as evidenced on the LG Notice board but the assessment could not confirm whether it was done within the recommended 5 working days due to the LG failure to trace the dates of receipt of cash limits on IFMS.

Q1 PHC NWR grant release of Shs.100,172,946 to 17 HFs was publicized on 15/8/2021

Q2 PHC NWR grant release of Shs. 100,172,946 to 17 HFs was publicized on 22/10/2021

Q3 PHC NWR grant release of Shs. 100,306,279 to 17 HFs was publicized on 12/1/2022

Q4 PHC NWR grant release of Shs. 100,039,614 to 17 HFs was publicized on 3/5/2022

Quarterly publication of PHC NWR grant releases for the previous FY was also evidenced on the notice boards of the 3 sampled HFs visited which were:

1. Bumanya HCIV

2. Namugongo HCIII

3. Namwiwa HCIII

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>During the 1st Quarter 2021/22 review meeting that sat on 30/09/2021 at the Health Board room; it was reported that the health workers were not signing in the attendance registers, the meeting that followed on 21/12/2021 it was reported that they were now signing in the attendance registers. The 2nd Quarter 2021/22 meeting that sat on 21/12/2021 recommended purchase of a BP machine for Gadumire HC III, the meeting that sat on 28/03/2022 reported that it had been purchased. In the 3rd Quarter 2021/22 meeting that sat on 28/03/2022 also recommended purchase of BP machine for Nawaikoke HC III which was reported done in meeting that sat on 30/06/2022.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The meetings that sat on (30/09/21, 21/12/21, 28/03/22) were attended by a CDO. The one that sat on 30/06/2022 was attended by DCDO, DIS, CAO, Officials from USAID and Water Engineer.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>The LG had no hospital but Bumanya HC IV was supervised on (20/08/21, 10/09/21, 21/03/22 & 7/06/22)</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>Bulamogi HSD supervised Namugongo HC III, Ambrosoli Memorial HC III on (20/07/21, 15/10/21, 15/01/22 & 9/06/22).</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>During support supervision of Kasokwe HC III (15/10/21) it was observed that the health facility lacked an IPC committee; this was formed on 8/06/2022.</p>	1

10	1	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>According to the report written to CAO by the Assistant Inventory Management Officer on 29/06/22; Bumanya HC IV, Namugongo HC III and Namwiwa HC III were among the 14 health facilities that were supported with ordering, dispensing and storing of medicines and health supplies.</p>
11	2	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>The DHO's budget monitoring and supervision was Shs. 70,000,000 as on page 15 of the ABPR.</p> <p>30% OF Shs. 70,000,000 was 21,000,000 which was allocated to health promotion and prevention activities as indicated below:</p> <ol style="list-style-type: none"> 1. Monitoring VHT activities 2. Support to community dialogue 3. Support to VHT on home to home campaigns 4. Community sensitization through public address systems. 5. Radio talk shows.
11	1	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>The DHE reported to CAO on 16/06/22 that community sensitization on Polio Vaccination was done in the villages of Gadumire, Kisinda, Bukamba, Nawaikoke S/Cs and Kaliro TC in the 4th Quarter 2021/22.</p>

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>The DHE conducted support supervision of 38 VHT members on 15/12/2021 from the villages of Namugongo, Nawaikoke, Bukamba, Namwiwa and Kasokwe S/Cs.</p>	1
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Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The LG had an updated register that set out health facilities and equipment relative to basic standards. Namugongo HC III had BP machine, Glucometer and Manual Centrifuge. Bumanya HC IV had PIMA machine, Theatre bed and BP machine. Namwiwa HC III had waste bins, weighing scales and delivery beds.</p>	1
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Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDP III);

(ii) desk appraisal by the LG; and

(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)):

score 1 or else score 0

The District conducted a desk appraisal on all Education sector funded projects for the previous FY on 21 /6/2021 as per report dated 24/6/2021. The appraisal identified that the Education Sector prioritized projects were derived from the LGDP III and some were eligible for funding under DDEG as indicated below:

1. Construction of Kaliro TC HC III was derived from LGDP III as on page 254 and was eligible for DDEG funding as on page 7 Code 0788283 of the implementation guidelines.

2. Completion of staff house at Budomero HC II was derived from LGDP III as on page 254 and was eligible for sector grant funding.

3. Construction of staff house at Nawampiti HC II was derived from LGDP III as on page 254 and was eligible for sector grant funding.

4. Completion of OPD at Budomero HC II was derived from LGDP III as on page 254 and was eligible for sector grant funding.

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0

The District conducted a field appraisal on all projects on 22/6/2022 as indicated in the appraisal report dated 24/6/2021 for DDEG funded projects for the previous FY. The appraisal identified that technical feasibility, environmental and social safeguards as well as customized designs were complied with as evidenced in the 3 sampled projects

1. Construction of OPD at Kaliro TC HC III which complied with technical feasibility as on page 3, environmental and social acceptability as on page 3 customized designs which complied as on page 3 of the field appraisal report.

2. Completion of staff house at Budomero HC II which complied with technical feasibility as on page 3, environmental and social acceptability as on page 3 customized designs which complied as on page 3 of the field appraisal report.

3. Construction of staff house at Nawampiti HC II which complied with technical feasibility as on page 3, environmental and social acceptability as on page 3 customized designs which complied as on page 3 of the field appraisal report.

12	1	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>LG health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist evidenced by the following screening forms by DEO & DCDO, for example;</p> <ul style="list-style-type: none"> • E&S Screening form for the proposed construction of a staff house at Budomero HC III by the DEO&DCDO on 01/06/2021 • E&S Screening form for the proposed construction of a staff house at Nawampiti HC III by the DEO&DCDO on 01/06/2021 • E&S Screening form for the proposed completion of Kaliro Town Council HC II by the DEO&DCDO on 01/06/2021
13	1	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence that the LG Health department timely submitted all its infrastructure requests to PDU for incorporation into the approved LG annual work plan,</p> <p>As per a copy of the health sector procurement plan for current FY prepared by the DHO, date of submission to PDU 14th April 2022 which was before 30th April as required by PPDA laws.</p>

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There was evidence that LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter FY 2022-2023 on 21st Jun 2022. The sampled projects included;</p> <ul style="list-style-type: none"> • Construction of Male ward at Bumanya HC IV • Construction of a staff House at Kisinda HCII • Construction of a staff House at Buyindi HC II 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>There was no health infrastructure that was approved by the contracts committee and cleared by the Solicitor General.</p> <p>Construction of a staff house at Budomero HC II at 149,976,814/=.</p> <p>Construction of a staff house at Nawampiti HC II at 148,180,000/=</p> <p>Construction of a staff house at Kaliro Town council HC II at 85,000,000/=</p> <p>The cost of each project was below the threshold of 200m/= and therefore did not require clearance by the Solicitor General.</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was Evidence that the LG properly established a Project Implementation team for all health projects; appointed by the CAO;</p> <p>Appointment letters for the contract manager DHO dated 20th Jul 2021 for the period from 1st July 2021 to 31st June 2022 members include;</p> <ul style="list-style-type: none"> • Nyonyi Paul (DE) • Sabagabo Johnson (DHO) • Kagoya Susan (Labour Officer) • Diogo Paul (Senior Environmental officer) • Bob Mulyakubi COW) • Namukose Irene (DCDO) 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The was no HC II upgraded to HC III.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The was no HC II upgraded to HC III</p>	1

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0

If there is no project, provide the score

There was evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction. Sampled projects Included;

Monitoring Reports on Construction of a 2 in one Staff house at Kaliro TC HC II dated; 17th Nov 2021, 16th Dec 2021 and 28th Jan 2022

Monitoring reports on 2 in one staff House at Budomero HC III dated 18th April 2022, 24th May 2022 and 24th Jun 2022.

Monitoring reports on Construction of a 2 in one staff house at Nawampiti HC III dated 20th April 2022, 20th May 2022 and 15th Jun 2022

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

The DHO verified works and initiated payments of contractors within the specified time frames as evidenced below:

1. Completion of OPD at Budomero HC II by M/s Mercy Uganda Ltd.

The contractor claimed for payment of Shs. 33,600,000 on 14/12/2021

DHO verified works and initiated payment on 16/12/2021 after 2 days on receipt of payment request.

2. Construction of a staff house at Budomero HC II by M/s Eltex Logistics Ltd.

The contractor claimed for payment of Shs.76,100,000 on 8/5/2022

DHO verified the works and initiated payment on 10/5/2022 after 2 days on receipt of payment request.

3. Construction of a staff house at Nawampiti HC II by M/s Waiswa Consult Ltd.

The contractor claimed for payment of Shs.75,000,000 on 10/5/2022

DHO verified the works and initiated payment on 10/5/2022 on the same day of receipt of payment request.

<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There was evidence that the LG had a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law. Examples include;</p> <p>KALI 556/wrks/21-22/00007.</p> <p>Construction of a 2 in one Staff house at Kaliro TC HC II. Approval of the evaluation report by the contracts committee was on 07th Jul 2021 under MIN:06/DCC/2021-22 awarded to Waiswa Consults (U) Ltd and contract signed on 10th Aug 2021</p> <p>KALI 556/wrks/21-22/00050.</p> <p>Construction of a 2 in one staff House at Budomero HC III. Approval of the evaluation report by the contracts committee was on 01st Mar 2022 under MIN:40(b)/KDCC/2021-22 awarded to Malta Joint Ventures and contract signed on 24th Mar 2021.</p> <p>KALI 556/wrks/21-22/00049.</p> <p>Construction of a staff house at Nawampiti HC II Bukamba S/C. Approval of the evaluation report by the contracts committee was on 01st Mar 2022 under MIN:40(a)/KDCC/2021-22 awarded to Waiswa Consults (U) Ltd and contract signed on 24th Mar 2021.</p>
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Environment and Social Safeguards

14	2	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>LG had not recorded any grievance under health in the previous FY by the time of assessment however the Central Grievance Log was available as of 28/10/2021</p>
15	2	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>The LG distributed guidelines on health care waste management. Namugongo HC III had waste segregation charts and Approaches to Health Care Waste Management 2013.</p> <p>Bumanya HC IV had Approaches to Health Care Waste Management, waste segregation charts and WASH in Health Care Facilities 2022.</p> <p>Namwiwa HC III had Approaches to Health Care Waste Management 2013.</p>
15	2	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>The LG had a functional system for medical waste management. Bumanya HC IV had bin liners for waste segregation, Placenta pit and incinerator. Namugongo HC III had bin liners and placenta pit. Namwiwa HC III had bin liners and placenta pit. Green Label Services LTD a Registered waste management service provider signed District Waste Transfer Forms with the DHI on 8/11/21.</p>

15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>The LG created awareness on health care waste management during the training of 8 health workers on Infection Prevention and Control on 20/05/2022 by the ANO Bumanya HC IV. The participants included the ADHO/EH, Lab. Tech, VCO, MO, HI, NO, HI & HA.</p>	1
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>LG had no proof that costed ESMPs were incorporated into designs, BoOs, as required</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>LG had no proof that all health sector projects were implemented on land where the it had ownership, access and as required</p>	0

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.

DEO and DCDO conducted quarterly not monthly support supervision and monitoring of health projects as required, for example,

- E&S Compliance Monitoring Report for the proposed construction of health projects in quarter 2 by the DEO&DCDO on 30/12/2021
- E&S Compliance Monitoring Report for the proposed construction of health projects in quarter 1 by the DEO&DCDO on 28/09/2021

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

Environment and Social Certification forms were completed and signed by the DEO and DCDO prior to payments of contractor invoices/certificates of all health infrastructure projects as evidenced below;

- E&S Certification form of 62,476,605/= for the proposed construction of a staff house at Budomero HC III by the DEO&DCDO on 06/05/2022
- E&S Certification form of 61,455,701/= for the proposed construction of a staff house at Nawampiti HC III by the DEO&DCDO on 06/05/2022
- E&S Certification form of 17,999,190/= for the proposed completion of Kaliro Town Council HC II by the DEO&DCDO on 16/12/2021

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>From the Ministry MIS, the Percentage of functional rural water sources = 95%</p>	2
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>From the Ministry MIS, the Percentage of rural water facilities with functional water and sanitation committees = 97%</p>	2

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

a. The LG average
score in the water and
environment LLGs
performance
assessment for the
current. FY.

The LLG assessment results were
under verification at the time of
assessment and hence
performance could not be
determined

*Maximum 8 points on
this performance
measure*

If LG average scores is

a. Above 80% score 2

b. 60 -80%: 1

c. Below 60: 0

(Only applicable when
LLG assessment
starts)

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

b. % of budgeted water
projects implemented
in the sub-counties
with safe water
coverage below the
district average in the
previous FY.

The LG implemented 97% of the
budgeted water projects in the FY
2021/2022 in the sub counties
with safe water coverage below
the district average in the FY
2020/2021.

*Maximum 8 points on
this performance
measure*

o If 100 % of water
projects are
implemented in the
targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score
0

Page 3 of 3 excel sheet
attachment (budget line) of the
annual progress report approved
on 29/07/2022, the total budgeted
water projects implemented in the
LG for the FY 2021/22 = 36
(Drilling and installation of 10
deep boreholes, Rehabilitation of
25 boreholes and a construction
of a piped water system)

Sub counties that were below the
district average (48% access) in
the previous FY but one include;

Budomero S/c at 43%

Bukamba S/c at 14%

Bumanya S/c at 36% and

Namwiwa S/c at 45%

Projects implemented in the sub

county with safe water coverage below the district average include;

One (1) new borehole in Budomero S/c ,

Four (4) new borehole and 11 borehole rehabilitations in Bukamba S/c

Three (3) new boreholes and 6 borehole rehabilitations in Bumanya S/c,

Three (3) new boreholes and 7 borehole rehabilitations in Namwiwa S/c,

Total number of implemented projects in sub counties below the average = 35

Percentage of budgeted projects implemented in sub counties with safe water coverage(Access) below the district average = $35/36*100= 97\%$

Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

The three (3) sampled project contracts indicated that the percentage contract price variations for all the three project contracts sampled were all within - +20% as follows;

Sitting and drilling supervision of 10 boreholes in the district

Proc.Ref no.
Kal156/SRVCS/2021-2022/00003

Engineer's estimate = 38,000,000

Contract sum = 38,500,000

Percentage contract price Variation = 1.3% equivalent to

Shs. 500,000

Drilling , pump testing, casting and installation of 10 boreholes

Proc.Ref no. Kal156/Wrks/2021-2022/00001

Engineer's estimate =
216,200,000

Contract sum = 220,000,000

Percentage contract price Variation = 1.8% equivalent to
Shs.3,800,000

Construction of a 4-stance lined pit latrine in Bedda RGC

Proc.Ref no. Kal156/Wrks/2021-2022/00001

Engineer's estimate = 20,000,000

Contract sum = 19,600,000

Percentage contract price Variation = 2% equivalent . -
400,000

2

2

Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

Page 3 of 3 excel sheet attachment (Budget line) of the AWP for the previous FY approved on 04/8/2021 indicated that the LG planned to drill ten (10) new boreholes, Rehabilitate 25 old boreholes, Construct a 4-stance lined pit latrine and Construction of the piped water supply system phase II and page 3 of 3 of the annual progress report approved on 29/07/2022 indicated that all the ten (10) new boreholes, Rehabilitation of 25 old boreholes, Construction a 4-stance lined pit latrine and Construction of the piped water supply system phase II were implemented and completed in the FY 2021/22.

Total number of planned projects = 37

Total projects implemented = 37

Percentage of projects completed as per the AWP = $37/37 * 100 = 100\%$

3

0

New_Achievement of Standards:

The LG has met WSS infrastructure facility standards

Maximum 4 points on this performance measure

a. If there is an increase in the % of water supply facilities that are functioning

o If there is an increase: score 2

o If no increase: score 0.

From the Ministry MIS, there was no increase in the percentage of functional water facilities between the FY 2020/21 and 2021/22.

Percentage of functional water facilities in the FY 2020/21 = 95%

Percentage of functional water facilities in the FY 2021/22 = 95%

Percentage change = 0%

New_Achievement of Standards:

The LG has met WSS infrastructure facility standards

Maximum 4 points on this performance measure

b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).

o If increase is more than 1% score 2

o If increase is between 0-1%, score 1

o If there is no increase : score 0.

From the Ministry MIS, there was no increase in the percentage of rural water and sanitation facilities with functional committees.

Percentage of rural water facilities with functional water and sanitation committee in the FY 2020/21 = 97%

Percentage of rural water facilities with functional water and sanitation committee in the FY 2021/22 = 97%

Percentage change = 0%

Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

Maximum 3 points on this performance measure

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported:
Score: 3

The DWO accurately reported on WSS facilities constructed in the previous FY and performance of the facilities was as reported.

From page 3 of 3 of the excel attachment to the annual progress report approved on 29/07/2022, the DWO drilled and installed 10 deep boreholes, constructed a 4-stance lined pit latrine, rehabilitated 25 old boreholes and constructed a piped water supply system phase II in the FY 2021/2022

Findings from the field visit of the three sampled projects showed that all projects were in place and functional, boreholes were well protected with no deep latrines in the radius of 30m, trees were planted around, water yield and water quality was visually good and all had functional WUCs.

The 3 sampled projects include

- Kiyunga village borehole (DWD No. 78179) completed on 10/12/2021 and found in Bumanya S/c.
- Kitukiro village borehole (DWD No. 78192) completed on 12/12/2022 and found in Namwiwa S/c.
- Nakibungulya village borehole (DWD No. 78194) completed on 08/02/2022 and found in Bukamba S/c.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

There was evidence that the LG water office collected and compiled quarterly information on sub-county water supply and sanitation as evidenced below.

Quarter 1 report was submitted on 14/10/2021 and the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement was found on page 3 of the report.

Similarly quarter 2 report was submitted on 31/01/2022 and the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement was found on page 3 of the report.

Quarter 3 report was submitted on 14/05/2022 and the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement was found on page 3.

Quarter 4 report was submitted on 29/07/2022 and the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement was found on page 3.

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>The LG Water Office updated the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities. The DWO MIS was last updated in quarter 4 on 06/07/2022 with the new boreholes of the previous FY 2021/2022 and new functionality percentages. The percentage of district facilities with functional WSCs was at 97% and Access was at 51%</p>	3
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>Not applicable.</p> <p>The assessment had just been concluded in the current FY 2022/2023 and therefore there was no performance improvement plans for the lowest performing LLGs</p>	0

Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>DWO budgeted for 3 staff totaling to Ugx. 45,333,000 and the staff included;</p> <ol style="list-style-type: none"> 1. Edhaya David 2. Kiribaki Laston 3. Mulyakubi Bob 	2
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6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>The Environment and Natural Resources Officer budgeted for 3 staff totaling Ugx. 92, 355,000 and they include;</p> <ol style="list-style-type: none"> 1. Diogo Paul (Senior Environment Officer) 2. Naita Julius (Senior Lands Management officer and 3. Physical Planner 	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>No evidence</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The District Water Office identified Capacity needs of staff from the performance appraisal process and submitted the capacity needs to the HRO on 25/07/2021, the training plan and capacity needs assessment report were also in place and the LG conduct the training as indicated in the training report dated 04/05/2022 The DWO staff capacity building needs included among others, Procurement and supply chain management for senior Civil Engineer (DWO)</p>	3

Management, Monitoring and Supervision of Services.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The</p>	<ul style="list-style-type: none"> • a) Evidence that the DWO has prioritized budget 	<p>Page 3 of 3 of the excel sheet attachment (Budget line) to the AWP for the current FY2022/2023</p>	3
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Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

allocations to sub-counties that have safe water coverage below that of the district:

- If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- If 80-99%: Score 2
- If 60-79: Score 1
- If below 60 %: Score 0

approved on 22/07/2022, the DWO allocated 100% of the budget to the four sub counties below the district average

Sub counties that were below the district average(48%) in the FY 2021/2022 include;

Budomero S/c at 43%

Bukamba S/c at 14%

Bumanya S/c at 35%

Namwiwa S/c at 45%

The DWO allocated one (1) new boreholes (26,052,863), 8 borehole rehabilitations (21,600,000) and a piped water system (249,000,000) to Budomero S/c all totaling to 296,652,863, 3 new borehole amounting to 78,158,589, one production well amounting to 36,691,841 and 9 borehole rehabilitations amounting to 24,300,000 was allocated to Namwiwa S/c , 3 new boreholes amounting to 78,158,589 and 7 borehole rehabilitations amounting to 18,900,000 was allocated to Bukamba S/c and 4 borehole rehabilitations amounting to 10,800,000 and one new borehole amounting to 26,052,863 was allocated to Bumanya S/c

Total project budget for sub counties with safe water coverage below the district average = 533,022,904

Total project Budget for all the sub counties = 569,714,745

Percentage budget allocation to sub counties below the district average = $533,022,904 / (569,714,745) * 100 = 93.56\%$

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

The DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY and the communication was displayed on the district and sub county notice boards on 07/07/2022 and was also evidenced in min.3/Smm/June/2022 of the minutes of the advocacy meeting dated 15/06/2022. The allocations were as below;

One (1) new boreholes (26,052,863), 8 borehole rehabilitations (21,600,000) and a piped water system (249,000,000) to Budomero S/c all totaling to 296,652,863, 3 new borehole amounting to 78,158,589 and 9 borehole rehabilitations amounting to 24,300,000 was allocated to Namwiwa S/c , 3 new boreholes amounting to 78,158,589 and 7 borehole rehabilitations amounting to 18,900,000 was allocated to Bukamba S/c and 4 borehole rehabilitations amounting to 10,800,000 and one new borehole amounting to 26,052,863 was allocated to Bumanya S/c and lastly one production well amounting to 36,691,841 was allocated to Namwiwa S/c.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If 95% and above of the WSS facilities monitored quarterly: score 4
- If 80-94% of the WSS facilities monitored quarterly: score 2
- If less than 80% of the WSS facilities monitored quarterly: Score 0

The district Water Office monitored on average 96% WSS facilities quarterly as follows;

From the List of water and sanitation projects (form 4), and DWO MIS, Kaliro district had a total of 584 water and sanitation facilities. From the first Quarter monitoring report dated 01/10/2021, the DWO monitored 551 facilities out of 584 LG facilities equivalent to 94%.

In the second (2) quarter monitoring report dated 30/12/2021, the DWO monitored 551 facilities equivalent to 94%.

In the third (3) quarter monitoring report dated 31/03/2022, the DWO monitored 567 facilities out of 584 equivalent to 97%.

In the fourth (4) quarter monitoring report dated 29/05/2022, the DWO monitored 567 WSS facilities equivalent to 97%.

Average = $(97+97+94+94)/4=96\%$

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP.
Score 2

There was evidence that the DWO conducted quarterly DWSCC meetings and discussed the key issues presented in the monitoring reports.

The following quarterly minutes for DWSCC quarterly meetings was in place with rehabilitation and water user committee training needs discussed;

1. Quarter 1 DWSCC minutes dated 15/08/2021 with key issues discussed under Min.6/DWSCC/Aug 2021

2. Quarter 2 DWSCC minutes dated 10/12/2021 with key issues discussed on under Min.5/DWSCC/Dec 2021

3. Quarter 3 DWSCC minutes dated 30/03/2022 with key issues discussed Min.6/DWSCC/Mar 2022.

Quarter 4 DWSCC minutes dated 30/06/2022 with key issues discussed Min.3/DWSCC/06/2022

The remedial actions of borehole rehabilitations were incorporated in the approved current FY AWP and 8 new boreholes and 28 old borehole rehabilitations were to be implemented in this current FY 2022/2023 together with training of the water user committees.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

The DWO publicized the budget allocations for the current FY to LLGs and on the district and sub county notice boards on 07/07/2022 and was also evidenced in min.3/Smm/June/2022 of the minutes of the advocacy meeting dated 15/06/2022. The allocations were as below;

One (1) new boreholes (26,052,863), 8 borehole rehabilitations (21,600,000) and a piped water system (249,000,000) to Budomero S/c all totaling to 296,652,863, 3 new borehole amounting to 78,158,589 and 9 borehole rehabilitations amounting to 24,300,000 was allocated to Namwiwa S/c , 3 new boreholes amounting to 78,158,589 and 7 borehole rehabilitations amounting to 18,900,000 was allocated to Bukamba S/c and 4 borehole rehabilitations amounting to 10,800,000 and one new borehole amounting to 26,052,863 was allocated to Bumanya S/c and lastly one production well amounting to 36,691,841 was allocated to Namwiwa S/c.

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:

- If funds were allocated score 3
- If not score 0

The DWO allocated 45% of the NWR rural water and sanitation budget greater than 40% for mobilization activities.

From the annual software report for the previous FY 2021/2022 dated 04/08/2021(attachment to AWP) ,the DWO allocated 33,015,990/= equivalent to 45% of the NWR rural water and sanitation budget (73,302,654/=) towards mobilization activities

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

The DWO in liaison with the Community Development Officer established and trained WSCs on their roles on O &M of WSS facilities as evidenced below;

From the annual software report for the previous FY 2021/2022 dated 5/07/2022 on page 7 and page 2 of 3, item 6.3-6.5 of the excel sheet attachment to the Annual progress report for the previous FY 2021/2022, 11 new WSS committees were formed and trained.

For the sampled WSS in Bukamba S/c, Bumanya S/c and Namwiwa S/c, the sampled members of the WSCs were able to remember the training that was given to them about the O & M including daily cleaning of the water source, fencing the water sources and collection of the water user fees of 1000 per household.

Investment Management

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:

Score 4 or else 0

There was of existence of an updated LG asset register which set out water supply and sanitation facilities by location and LLG.

The DWO presented an updated asset register that was last updated in the last quarter of the previous FY2021/2022 with new water and sanitation facilities implemented in the previous FY 2021/2022.

The 10 boreholes, the 4-stance lined pit latrine implemented in the previous FY were seen in the asset register.

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:

Score 4 or else score 0.

There was evidence that the LG DWO conducted a desk appraisal for all WSS projects in the budget. The AWP for the current FY 2021/2022 indicated that 8 new deep boreholes, one production well, 28 old borehole rehabilitations and a piped water supply system were to be implemented the current FY 2022/2023 and all these were derived from the 5yrs approved (07/05/2021) district development plan on page 254 and 169 and were eligible for expenditure under sector guidelines of DWSSG.

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2

All the 8 boreholes that were to be implemented in the current FY had applications from the user communities as below

- Application for a borehole by Wempere village community in Bumanya S/c dated 25/05/2022
- Application for a borehole by Kerebu A village community in Namwiwa S/c dated 27/05/2022
- Application for a borehole by Buluguti village community in Namwiwa S/c dated 24/05/2022
- Application for a borehole by Wampiteku village community in Namwiwa S/c dated 15/06/2022
- Application for a borehole by Butara village community in Bukamba S/c dated 18/06/2022
- Application for a borehole by Kunya village community in Bukamba S/c dated 10/5/2022
- Application for a borehole (production well) by Kiroba village community in Namwiwa S/c dated 20/5/2022
- Application for a borehole by Bukamba village community in Bukamba S/c dated 05/4/2022

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2

There was evidence that the LG conducted field appraisals for all the current FY 2022/23 water projects.

The DWO presented a consolidated field appraisal report for the 8 boreholes, piped water supply system and the production well that were to be implemented in the current FY 2022/23 dated 24/06/2022. All the projects were to be implemented the current FY 2022/23 were screened for technical, environmental and social acceptability and all were feasible to be implemented on their respective sites.

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2

LG had proof that water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPS prepared before being approved for construction - costed ESMPs incorporated into BoQs, as evidenced by the following E&S Screening forms;

- E&S Screening form proposed construction of a hand pump borehole at Bukunya Village by the DEO&DCDO on 24/06/2022
- E&S Screening form proposed construction of a hand pump borehole at Butata Village by the DEO&DCDO on 24/06/2022
- E&S Screening form proposed construction of a hand pump borehole at Kiyunga Village by the DEO&DCDO on 24/06/2022
- E&S Screening form proposed construction of a hand pump borehole at Budomero HC III by the DEO&DCDO on 24/06/2022
- E&S Screening form proposed rehabilitation of a hand pump borehole at Namwiwa B Village by the DEO&DCDO on 24/06/2022

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0

There was evidence that the WSS infrastructure investments for Current FY were incorporated in the LG Approved procurement plan endorsed by CAO on 13th Oct 2022; The projects included;

- Drilling of 8 boreholes
- Construction of Piped water system
- Rehabilitation of old sources
- Renovation of District water office
- Construction of a 4 stance Pit latrine
- Drilling of 01 Production well at Kisinda

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:

There was evidence that the WSS infrastructure projects for the previous FY were approved by the contracts committee before commencement as indicated below.

Drilling, Pump testing, Casting and Installation of 10 No boreholes in the district Ref: KALI 556/wrks/21-22/00001. Approval of the evaluation report by the contracts committee Under MIN: 06/DCC/2021-22 was done on 07th Jul 2021

Construction of a 4 stance Pit Latrine at Beda RGC in Nawankoke. KALI 556/wrks/21-22/00038. Approval of the evaluation report by the contracts committee Under MIN: 19/DCC/2021-22 was done on 25th Aug 2021

Namukooge Piped water system. Proc Ref: KALI556/wrks/21-22/00038. Approval of the evaluation report by the contracts committee Under MIN: 12/DCC/2021-22 was done on 10th Aug 2021

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:

There was evidence that the WSS infrastructure projects for the previous FY were approved by the contracts committee and appointment made by the CAO on 20th Jul 2021 before commencement as indicated below;

- Nyonyi Paul (DE)/DWO
- Kagoya Susan (Labour Officer)
- Diogo Paul (Senior Environmental officer)
- Bob Mulyakubi COW)
- Namukose Irene (DCDO)

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2

There was evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs. The three (3) sampled projects include;

1. Kiyunga village borehole (DWD No. 78179) completed on 10/12/2021 and found in Bumanya S/c.

2. Kitukiro village borehole (DWD No. 78192) completed on 12/12/2022 and found in Namwiwa S/c.

3. Nakibungulya village borehole (DWD No. 78194) completed on 08/02/2022 and found in Bukamba S/c.

All projects were in place and functional, boreholes were well protected with no deep latrines in the radius of 30m, trees were planted around, water quality and yield was generally good and it had a functional WUCs.

For the sampled borehole drilling contract specifications, it was seen that the contractor adopted the open well design method.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects:
Score 2

There was evidence that the relevant technical officers carried out monthly technical Supervision of WSS infrastructure projects. The DWO presented the supervision report dated 8/08/2021 for the drilling, test pumping, casting and installation of 10 deep bore holes , Supervision report dated 9/12/2021 for drilling, test pumping, casting and installation of 10 deep bore holes, Supervision report dated 6/01/2021 for drilling, test pumping, casting and installation of 10 deep bore holes and Supervision report dated 6/11/2021 for the borehole rehabilitation, Supervision report dated 11/03/2022 for the piped water supply system and the Supervision report dated 8/02/2022 for the piped water supply system and all the relevant technical officers (project implementation team) participated in the supervision of the water infrastructure projects.

The participation of the all the technical officers was also evidenced in the following minutes of site meeting;

Minutes of site meeting dated 30/05/2022 and

Minutes of site meeting dated 25/05/2022

Procurement and Contract

Management/execution:
The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

The DWO verified works and initiated payments of contractors but later than the specified time frames as evidenced below:

1. Construction of Namukooge Piped Water Scheme Phase II (Certificate N. 3) by M/s Virmal Technical Investment Ltd.

The contractor claimed for payment of Shs. 72,070,000 on 28/12/2021

DWO verified works and initiated payment on 10/1/2022 after 10 working days on receipt of payment request.

2. Construction of Namukooge Piped Water Scheme Phase II (Certificate No. 4) by M/s Virmal Technical Investment Ltd

The contractor claimed for payment of Shs.6,500,000 on 10/1/2022

DWO verified the works and initiated payment on 19/4/2022 after 3 months on receipt of payment request.

3. Construction of Namukooge Piped Water Scheme Phase II (Retention Certificate) by M/s Virmal Technical Investment Ltd

The contractor claimed for payment of Shs.5,500,000 on 10/1/2022

DWO verified the works and initiated payment on 19/4/2022 after 3 months on receipt of payment request.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

The DLG had evidence of complete procurement file for water infrastructure investments as required by PPDA law;

Sampled contracts

Drilling, Pump testing, Casting and Installation of 10 No boreholes in the district Ref: KALI 556/wrks/21-22/00001. Approval of the evaluation report by the contracts committee Under MIN: 06/DCC/2021-22 was done on 07th Jul 2021, the contract was awarded to Multech Consults (U) Ltd and the contract was signed on 27th Oct 2021.

Construction of a 4 stance Pit Latrine at Beda RGC in Nawankoke. KALI 556/wrks/21-22/00038. Approval of the evaluation report by the contracts committee Under MIN: 19/DCC/2021-22 was done on 25th Aug 2021, the contract was awarded to Wairanda Consults (U) Ltd and the contract was signed on 27th Oct 2021

Namukooge Piped water system. Proc Ref: KALI556/wrks/21-22/00038. Approval of the evaluation report by the contracts committee Under MIN: 12/DCC/2021-22 was done on 10th Aug 2021, the contract was awarded to Virmar Technical Investments and the contract was signed on 29th July 2021

Environment and Social Requirements

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>LG had not recorded any grievances under water for the FY 2021/2022 however the Central Grievance Log was available as of 28/10/2021</p>	3
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>LG DWO and the DEO had disseminated guidelines on water source & catchment protection and natural resource management to DCDOs evidenced by the meeting for social mobilisers on 21/09/2021, item 5, minute 5/SMM/Sept 2021, sub heading two on page 4</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>LG had prepared the water source protection plans and natural resource management plans for WSS facilities constructed in the FY 2021/2022 evidenced by;</p> <p>Water Source Management Plan for 10 deep wells constructed in FY 2021/2022 as of 29/07/2021</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p>	<p>There was evidence that all WSS projects were implemented on land where the LG had proof of consent as evidenced in the following land agreement forms for all the 10 boreholes and 4-stance latrine implemented in the previous FY 2021/2022 and these include</p>	3

Score 3, If not score 0

- Land agreement form for the borehole located in Kitukiro Village of Namwiwa S/c signed on 11/09/2021 by Calyanga Robert as the land owner
- Land agreement forms for Kiganda B village borehole located in Namwiwa S/c signed on 11/09/2021 by Munobwa Bedda as the land owner
- Land agreement forms for Naigazi village borehole located at in Bumanya S/c signed on 10/09/2021 by Kibuka Samual as the land owner
- Land agreement form for Nabiina B village borehole located in Buyinda S/c signed on 5/08/2021 by Mukooto Ivan as the land owner
- Land agreement form for Luingo village borehole located in Bumanya S/c signed on 10/09/2021.
- Land agreement form for Kiyunga village borehole located in Bumanya S/c signed on 20/10/2021 by Kulubasi Grace as the land owner
- Land agreement form for Nakaswa village borehole located in Nansololo S/c signed on 07/11/2021 by Nabirye Ruth as the land owner
- Land agreement form for Kiganda B village borehole located in Namwiwa S/c signed on 11/07/2021 by Namugosa Betty as the land owner among others

15	2	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p>	<p>E&S Certification forms were completed and signed by Environmental Officer and DCDO prior to payments of contractor invoices/ certificates as evidenced below;</p> <ul style="list-style-type: none"> • E&S Certification form of 64,998,415/= for the proposed drilling, casting and installation of boreholes at Nakaswa, Nakibungulya, Kisira, Bukamba, Nabina, Naigazi, Lugingo, Kiyunga and Kitukiro Village by the DEO&DCDO on 05/04/2022 • E&S Certification form of 17,703,834/= for the proposed construction of 4 stance pit ltrine at Rural Growty Centre at Kitwikiro Sub County by the DEO&DCDO on 01/06/2022
15	0	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p>	<p>The DCDO and DEO undertook quarterly not monthly monitoring and as required, that is to say;</p> <ul style="list-style-type: none"> • E&S Monitoring Report for water sources in Bumanya and Kyani in by the DEO&DCDO on 30/11/2021 • E&S Monitoring Report proposed construction of boreholes in Namugongo Sub County in August by the DEO&DCDO on 30/10/2022 • E&S Monitoring Report proposed construction of boreholes in Namugongo Sub County in August by the DEO&DCDO on 30/10/2022
Score 2, If not score 0		Score 2, If not score 0		

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>Not applicable for Kaliro district at the time of assessment 2021/2022</p>	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>Not applicable for Kaliro district at the time of assessment 2021/2022</p>	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>Not applicable for Kaliro district at the time of assessment 2021/2022</p>	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	Not applicable for Kaliro district at the time of assessment 2021/2022	0
	Maximum score 6			
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/- 20% of the Agriculture Engineers estimates: Score 1 or else score 0	Not applicable for Kaliro district at the time of assessment 2021/2022	0
	Maximum score 6			
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	Not applicable for Kaliro district at the time of assessment 2021/2022	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 		

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	The LG recruited 35 LLG extension workers which was 100%. According to the approved structure, each sub county was to have 3 extension workers i.e.	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 	<ol style="list-style-type: none"> 1. Assistant Fisheries development officer 2. Assistant Agriculture officer 3. Assistant Animal husbandry officer 	
			It should be noted that the LG has 11 LLGs which translates to 33 extension workers and the 2 are based at Head Office (DPO and Senior Agriculture Engineer) to make them 35	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	Not applicable for Kaliro district at the time of assessment 2021/2022	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 or else score 0 		
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the installed micro-scale irrigation systems during last FY are functional	Not applicable for Kaliro district at the time of assessment 2021/2022	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 		

5	Accuracy of reported information: The LG has reported accurate information	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	There was evidence that position of extension workers filled was accurate. The 3 LLG sampled and visited confirmed that. These included Kaliro T/C, Namugongo and Kasokwe Sub counties.	2
	Maximum score 4		<p>1. Nasuna Agnes was deployed as the Assistant Animal Husbandry Officer to Kasokwe S/C</p> <p>2. Musiba Edward was deployed to Namugongo S/C as Assistant Fisheries officer</p> <p>3. Bwanda Gerald was deployed to Kaliro T/C as the Agriculture officer</p>	
			The number matches	
5	Accuracy of reported information: The LG has reported accurate information	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	Not applicable for Kaliro district at the time of assessment 2021/2022	0
	Maximum score 4			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0	Not applicable for Kaliro district at the time of assessment 2021/2022	0
	Maximum score 6			

6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>Not applicable for Kaliro district at the time of assessment 2021/2022</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>Not applicable for Kaliro district at the time of assessment 2021/2022</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>d) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0</p>	<p>Not applicable for Kaliro district at the time of assessment 2021/2022</p>	0

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	Not applicable for Kaliro district at the time of assessment 2021/2022	0
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Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	The LG had budgeted for shs.216, 205,103/= for extension of workers as per the workplan for micro-scale irrigation program under UgiFT dated 11/July/22 for FY 2022/2023.	1
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7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	ii Deployed extension workers as per guidelines score 1 or else 0	The LG deployed extension workers as per guidelines as below, 1. Panda Benard was deployed to Kasokwe S/C as the Assistant Agriculture Officer 2. Musiba Edward was deployed to Namugongo S/C as Assistant Fisheries officer 3. Bigale Fred was deployed to Kaliro T/C as the Assistant Fisheries Officer	1
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7

2

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0

There was evidence that extension workers were working in LLG where they were deployed. For stance according to staff attendance,

Maximum score 6

1. Panda Benard was deployed as the Assistant Agriculture Officer to Kasokwe S/C and on 28/10/2022 reported for duty at 9:20am

2. Musiba Edward was deployed to Namugongo S/C as Assistant Fisheries officer and on 24/11/2022 reported for duty at 7:40am

1. Bigale Fred was deployed to Kaliro T/C as the Assistant Fisheries Officer and on 10/10/2022 reported for work at 8:10am

7

2

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0

There was evidence that extension workers deployment list was publicized and disseminated to all LLGs visited of Kaliro T/C, Namugongo S/C but found missing on the Noticeboard at Kasokwe S/C

Maximum score 6

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>There was no evidence that all were appraised within the recommended time frame. For example,</p> <ol style="list-style-type: none"> 1. Nakwany Fatuma (Asst.Fisheries officer) Budomero S/C and 2. Muyingo Richard (AAO) Nawaikoke S/C were all appraised in July 2022 	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>There was evidence that corrective action was taken. for example Namukobe Kadhana (Fisheries Officer) HQ was during appraisal recommended for confirmation in service and on 14/07/2022, she was confirmed on the services under MIN NO.KLR/DSC/8/07/2022-g (i)</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022</p>	0

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022</p>	0
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Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>Kaliro was a phase 2 DLG where 100% was complementary services at the time of assessment for FY.2021/2022</p>	2
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<p>9</p> <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>2</p> <p>There was evidence that budget allocations made towards complementary services were in line with the sector guidelines as below</p> <p>LG awareness creation 15%-</p> <p>Shs (32,430,765/=)</p> <p>Farmer awareness creation 40%-</p> <p>Shs86,482,041/=</p> <p>Irrigation demonstrations 30%-</p> <p>Shs64861531/=</p> <p>Farm visits 15%-</p> <p>Shs32,430,765/=</p>
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<p>9</p> <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>0</p> <p>Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022</p>
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9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022</p>	0

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0	Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022	0

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022	0
	Maximum score 4			

Investment Management

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022	0
	Maximum score 8			

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022	0
	Maximum score 8			

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0	Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022	0
	Maximum score 8			

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was no evidence. The Senior Agriculture Engineer indicated that Micro Scale Irrigation was just beginning this FY 2022/2023 and at the time of this assessment, they were still conducting farmer's awareness and thereafter eligible farmers would be registered</p>	0
	<p>Maximum score 8</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There was evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan endorsed by the CAO on approved on 13th Oct 2022 for the current FY Project stated as;</p> <ul style="list-style-type: none"> • Installation of 03 Micro irrigation demonstration sites on Page 8 of the consolidated approved annual procurement plan 	1
	<p>Maximum score 18</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>There was no list of pre-qualified suppliers by MAAIF because Micro irrigation wasn't operational in FY 2021/2022</p>	0
	<p>Maximum score 18</p>			

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>There was no evaluation because Micro irrigation wasn't operational in FY 2021/2022</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	<p>There were no projects approved by the Contracts committee because Micro irrigation wasn't operational in FY 2021/2022</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p>	<p>There were no contracts signed because Micro irrigation wasn't operational in FY 2021/2022</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0</p>	<p>Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0</p>	<p>Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	<p>Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0</p>	<p>Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0</p>	<p>Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0</p>	<p>No procurement files because Micro irrigation wasn't operational in FY 2021/2022</p>	0
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>LG had not displayed a list of details of the nature and avenues to address grievance prominently on the notice board of the production department</p>	0
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>LG had no grievance recorded under micro scale irrigation because there were no micro scale irrigation investments in FY 2021/2022</p>	1
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14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	LG had no grievance investigated because there were no micro scale irrigation investments in FY 2021/2022	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	LG had no grievance responded to because there were no micro scale irrigation investments in FY 2021/2022	1
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iv. Reported on in line with LG grievance redress framework score 1 or else 0	LG had no grievance reported on in line with LG grievance redress framework because there were no micro scale irrigation investments in FY 2021/2022	1

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	Not applicable for Kaliro DLG at the time of assessment for FY.2021/2022	0
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	LG had no ESMPs prepared because there were no micro scale irrigation investments in FY 2021/2022.	0
15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	LG had not carried out Monitoring of irrigation impacts because there were no micro scale irrigation investments in FY 2021/2022.	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	LG had no EELS Certification forms completed and signed by Environmental Officer because there were no micro scale irrigation investments in FY 2021/2022.	0

15

0

Safeguards in the delivery of investments

Maximum score 6

iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects
score 1 or else 0

LG had no EELS Certification forms completed and signed by DCDO because there were no micro scale irrigation investments in FY 2021/2022.

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation <i>Maximum score is 70</i>	If the LG has recruited; a. the Senior Agriculture Engineer <i>score 70 or else 0.</i>	The Senior Agriculture Engineer Mr. Wantimba Latif was substantively appointed by CAO on 14/02/2017 CR 156/1 under MIN NO. KLR/DSC/113/2016	70
Environment and Social Requirements				
2	New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed. <i>Maximum score is 30</i>	If the LG: Carried out Environmental, Social and Climate Change screening score 30 or else 0.	LG had not carried out Environmental, Social and Climate Change screening because there were no micro scale irrigation investments in FY 2021/2022.	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The Civil Engineer Water Edhaya David was substantively appointed by CAO on 23/12/2020 under MIN NO. KLR/DSC/12/2020 (vi)	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	Mulyakubi Bob (Assistant Engineering officer-Water) was substantively appointed by CAO on 16/01/2017 CR 156/1 under MIN NO. KLR/DSC/104/2016	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	Kiribaki Laston (BMT) was substantively appointed by CAO on 13/04/2011 CR 561/2 under MIN NO. KLR/DSC/05/2011 (iv)	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The position was vacant at the time of assessment	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	The position was vacant at the time of assessment	0
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1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	The position was vacant at the time of assessment	0
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Environment and Social Requirements

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

LG had carried out Environmental, Social and Climate Change screening as follows;

- E&S Screening form for the proposed drilling, casting and installation of a borehole at Kiganda Village by the DEO&DCDO on 07/07/2021

- E&S Screening form for the proposed drilling, casting and installation of a borehole at Kitukiro Village by the DEO&DCDO on 07/07/2021

- E&S Screening form for the proposed drilling, casting and installation of a borehole at Kiyunga Village by the DEO&DCDO on 07/07/2021

- E&S Screening form for the proposed drilling, casting and installation of a borehole at Lugingo Village by the DEO&DCDO on 07/07/2021

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs)

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

All water projects that were executed in FY 2021/2022 did not require ESIAs

(including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

given their small scope, hence Costed ESMPs were prepared by the DEO&DCDO as follows;

- Costed ESMP of 3,900,000/= for the proposed drilling, casting and installation of a borehole at Kiganda Village by the DEO&DCDO on 07/07/2021

- Costed ESMP of 3,900,000/= for the proposed drilling, casting and installation of a borehole at Kitukiro Village by the DEO&DCDO on 07/07/2021

- Costed ESMP of 4.100,000/= for the proposed drilling, casting and installation of a borehole at Kiyunga Village by the DEO&DCDO on 07/07/2021

- Costed ESMP of 3,900,000/= for the proposed drilling, casting and installation of a borehole at Lugingo Village by the DEO&DCDO on 07/07/2021

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

There was evidence that Contractor obtained the abstraction permit for the piped water scheme in Kaliro DLG from the Directorate of Water Resources Management (DWRM) prior to commencement of the project as follows;

Contractor Name ;
Virmar Technical
Investment Ltd

Permit Number;
KAM48/AP-
011704/2022/PR

Issuance date;
10th/06/2022

The permit was granted for a period not exceeding 1 year which came into force on 01/07/2021 until Fri,30th/06/2022

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	Katamba Allan (DHO) was substantively appointed on 11/06/2020 CR 156/1 under MIN NO. KLR/DSC/06/2020	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	Nabagereka Hellen (ADHO-Maternal) was substantively appointed on 19/07/2017 CR 156/1 under MIN NO. 7/KLR/DSC-June (i) 2017	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	The position was not substantively filled but Sabagabo Johnson was the Ag. ADHO-environmental effective 1/01/2021 under MIN NO. KLR/DSC/12/2020 (vi)	0

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p>Sabagabo Johnson (PHI) was substantively appointed by CAO on 1/07/2017 CR/D/6543 under MIN NO.7/KLR/DSC-June (vi)/2017</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>Tidihomu Lawrence (Senior Health Educator) was substantively appointed by CAO on 30/05/2008 CR 156/1 under MIN NO. KLR/DSC/328/ (vii)/2008</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>Jafali Mohammed (Biostatician) was substantively appointed by CAO on 13/04/2011 CR156/2 under MIN NO. KLR/DSC/05/2011 (vii)</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>Kasaame Andrew was substantively appointed by CAO on 13/072006 CR 156/1 under MIN NO. BDSC/94/2006</p>	10

1

New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.

h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.

Applicable to MCs only.

Maximum score is 70

1

New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.

i. Principal Health Inspector, score 20 or else 0.

Applicable to MCs only.

Maximum score is 70

1

New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.

j. Health Educator, score 20 or else 0

Applicable to MCs only.

Maximum score is 70

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

LG had carried out Environmental, Social and Climate Change of the Health project that are being implemented in FY 2022/2023 evidenced by the E&S Screening forms below;

- E&S Screening form for the proposed construction of a 2 in 1 staff house at Buyinda HC III by the DEO&DCDO on 07/06/2022
- E&S Screening form for the proposed construction of 2 stance pit latrine at Budomero HC III by the DEO&DCDO on 07/06/2022
- E&S Screening form for the proposed construction of a female and male ward at Bumanya HC IV by the DEO&DCDO on 07/06/2022
- E&S Screening form for the proposed construction of a female and male ward at Gadumire HC IV by the DEO&DCDO on 07/06/2022

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

All health projects that are being implemented in FY 2022/2023 did not require ESIAs given their small scope according to the National Environment Act No. 5 of 2019, hence costed ESMPs were prepared by the DEO and DCDO as follows;

- Costed ESMP of 8,300,000/= for the proposed construction of a 2 in 1 staff house at Buyinda HC III by the DEO&DCDO on 07/06/2022
- Costed ESMP of 6,900,000/= for the proposed construction of 2 stance pit latrine at Budomero HC III by the DEO&DCDO on 07/06/2022
- Costed ESMP of 8,300,000/= for the proposed construction of a female and male ward at Bumanya HC IV by the DEO&DCDO on 07/06/2022
- Costed ESMP of 8,300,000/= for the proposed construction of a female and male ward at Gadumire HC IV by the DEO&DCDO on 07/06/2022

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. <i>The Maximum Score of 70</i>	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	Kamaga Edward (DEO) was substantively appointed by CAO on 14/05/2019 CR 156/1 under MIN NO. KLR/DSC/04/2019 (i)	30
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. <i>The Maximum Score of 70</i>	b) All District/Municipal Inspector of Schools, score 40 or else 0.	All inspector of schools were recruited as below; 1. Muwereza Paul (Senior Inspector of Schools) was substantively appointed by CAO on 4/07/2019 CR 156/1 under MIN NO. KLR/DSC06/2019 (v) 2. Waako Zefania (Inspector) was substantively appointed by CAO on 12/07/2022 CR 156/1 under MIN NO. KLR/DSC/30/June/2022-C(ii) 3. Balondemu Matayo (Inspector) was substantively appointed by CAO on 20/04/2022 CR 156/1 under MIN NO. KLR/DSC/10/March/2022 (Vi)-g (19) 4. Lwanga Ronald (Inspector) was substantively appointed by CAO on 12/04/2022 CR 156/1 under MIN NO. KLR/DSC/30/June/2022-C(i)	40

Environment and Social Requirements

2

15

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

LG had carried out Environmental, Social and Climate Change Screening of all education projects prior to commencement of all civil works as evidenced below;

- E&S Screening form for the proposed construction of 3 stance pit latrine for the education offices by the DEO&DCDO on 04/06/2021

- E&S Screening form for the proposed construction of a 2 classroom block at lhagalo P/S by the DEO&DCDO on 04/06/2021

- E&S Screening form for the proposed a 5 stance pit latrine at Namwiwa P/S by the DEO&DCDO on 04/06/2021

The Maximum score is 30

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

All education projects that were implemented in FY 2021/2022 did not require ESIAs given their small scope, according to the National Environment Act N0. 05 of 2019, hence costed ESMPs were prepared by the DEO and DCDO as follows;

- Costed ESMP of 3,200,000/= for the education offices by the DEO&DCDO on 04/06/2021

- Costed ESMP of 3,000,000/= for the proposed construction of a 2 classroom block at Ihagalo P/S by the DEO&DCDO on 04/06/2021

- Costed ESMP of 2,300,000/= for the proposed a 5 stance pit latrine at Namwiwa P/S by the DEO&DCDO on 04/06/2021

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	Mutome Godfrey (CFO) was substantively appointed by CAO on 23/12/2020 Ref: CR 156/1 under MIN NO. KLR/DSC/12/2020 (vi)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	Waakya Tom Francis (District Planner) was substantively appointed by CAO on 30/10/2007 Ref: CR 156/1 under MIN NO. KLR/DSC/190 (iv)2007	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The position wasn't formerly filled at the time of assessment. However, Nyonyi Paul was appointed Ag. District Engineer effective 11/08/2016 CR 156/1 under MIN NO. KLR/DSC/95/(ix) 2016	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The position of DNRO wasn't formerly filled. However, Diogo Paul was the Ag. District Natural Resource Officer effective 1/01/2021	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	Mbalumya Fred Max (DPO) was substantively appointed by CAO on 7/05/2019 Ref: CR 156/1 under MIN NO. KLR/DSC/04/2019 (i)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The position was not substantively filled. However, Atiibwa Harriet was the Ag. DCDO effective 11/10/2021	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	Nlyadi Simon (PCO) was substantively appointed by CAO on 10/03/2021 Ref: CR 156/1 under MIN NO. KLR/DSC/18/02/2021 (vi)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	Appointment letter was found missing on file at the time of assessment and couldn't confirm whether the position was substantively filled.	0

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0</p>	<p>Ataliba Shabra (Procurement Officer) was substantively appointed by CAO on 20/07/2016 Ref; CR 156/1 under MIN NO. KLR/DSC/94/2016 (v)</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>i. Principal Human Resource Officer, score 2 or else 0</p>	<p>Kwagala Rebecca (PHRO) was substantively appointed by CAO on 23/12/2020 CR 156/1 under MIN NO. KLR/DSC/12/2020 (vi)</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>j. A Senior Environment Officer, score 2 or else 0</p>	<p>Diogo Paul (SEO) was substantively appointed by CAO on 8/06/2016 Ref: CR 156/1 under MIN NO. KLR?DSC/92/2016 (x)</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>k. Senior Land Management Officer /Physical Planner, score 2 or else 0</p>	<p>Naita Julius was substantively appointed by CAO on 19/07/2017 CR 156/1 under MIN NO. 7/KLR/DSC-June (i) 2017</p>	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>The position was vacant at the time of this assessment</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>The position was not formerly filled. However, Kagaha Stephen was appointed Ag, Principal Internal Auditor effective 13/09/2021 under MIN NO. KLR/DSC/328/(vi)/2008</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>Baluka Betty (PHRO-DSC) was substantively appointed by CAO on 12/07/2022 CR 156/1 under MIN NO. KLR/DSC/30/2022 (vi)-1</p>	2
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).</p>	<p>The LG had 11 SAS and 1 Principal Town Clerk substantively recruited and these filled up all positions in the LLG as indicated below;</p> <p>1. Kasajja Franco (Kisinda) was substantively appointed by CAO on 16/01/2017 CR 156/1 MIN NO. KLR/DSC/116/2016</p> <p>2. Gabula Simon Peter (Bumanya) was substantively appointed by CAO on 29/11/2007 CR 156/1 under MIN NO. KLR/DSC/190 (xiv)/2007</p>	5

3. Kigenyi Abdul
(Nawaikoke) was
substantively appointed
by CAO on 16/01/2017
CR 156/1 under MIN NO.
KLR/DSC/116/2016

4. Biibi Samuel
(Budomero) was
substantively appointed
on 20/07/2016 CR 156/1
under MIN NO.
KLR/DSC/94/2016 (iv)

5. Timuntu Joseph
(Bukamba) was
substantively appointed
by CAO on 5/04/2022
CR 156/1 under MIN NO.
KLR/DSC/23/02/2022 (vi)

6. Namwebya Sylvia
(Nansololo) was
substantively appointed
by CAO on 16/01/2017
CR 156/1 under MIN NO.
KLR/DSC/115/2016

7. Mpanja Lydia
(Buyinda) was
substantively appointed
by CAO on 29/11/2017
CR 156/1 under MIN NO.
KLR/DSC/190 (xiv)/2007

8. Kategere Edward
(Namwiwa) was
substantively appointed
by CAO on 13/04/2011
CR 561/2 under MIN NO.
KLR/DSC/05/2011 (ii)

9. Ngira Christopher
Jude (Gadumire) was
substantively appointed
by CAO on 16/01/2017
CR 156/1 under MIN NO.
KLR/DSC/116/2016

10. Kyoziira Henry
(Principal Town Clerk
Kaliro TC) was
substantively appointed
by CAO on 22/10/2020

CR 156/1 under MIN NO.
KLR/DSC/10/2020 (vii)

11. Kagoye Irene
(Namugongo) was
substantively appointed
by CAO on 16/01/2017
CR 156/1 under MIN NO.
KLR/DSC/115/2016

12. Kasajja Benon
(Kasokwe) was
substantively appointed
by CAO on 8/01/2018
CR 156/1 under MIN NO.
KLR/DSC-Dec (i)/2017

2

New_Evidence that the LG has
recruited or the seconded staff is in
place for all essential positions in
every LLG

Maximum score is 15

b. A Community
Development Officer
/ Senior CDO in
case of Town
Councils, in all
LLGS, score 5 or
else 0.

The LG had 10 CDOs
substantively appointed
and these filled up all the
positions in the LLG as
indicated below;

1. Bukyala Miria
(Bumanya) was
substantively appointed
by CAO on 8/06/2016
CR 156/1 under MIN NO.
KLR/DSC/92/2016 (iv)

2. Namuwaya Fatina
(Nawaikoke) was
substantively appointed
by CAO on 17/06/2022
CR 156/1 under MIN NO.
KLR/DSC/3/March/2022
(vi) – C (5)

3. Wambuzi Joshua
(Kisinda) was
substantively appointed
by CAO on 8/06/2016
CR 156/1 under MIN NO.
KLR/DSC/ 92/2016 (viii)

4. Zaina Nasser
(Nansololo) was
substantively appointed
by CAO on 8/06/2016
CR 156/1 under MIN NO.
KLR/DSC/92/2016 (vii)

5

5. Mirembe Esther (Nawaikoke) was substantively appointed by CAO on 16/01/2017 CR 156/1 under MIN NO. KLR/DSC/109/2016

6. Balyejjusa Ronald (Budomero) was substantively appointed by CAO on 8/06/2016 CR 156/1 under MIN NO. KLR/DSC/92/2016 (vi)

7. Gugulu Ndyaba Francis (Bukambe) was substantively appointed by CAO on 16/01/2017 CR 156/1 under KLR/DSC/109/2016

8. Mudondo Oliver (Gadumire) was substantively appointed by CAO on 16/01/2017 CR 156/1 under MIN NO. KLR/DSC/109/2016

9. Kantono Betty (Namugongo) was substantively appointed by CAO on 16/01/2017 CR 156/1 under MIN NO. KLR/DSC/109/2016

10. Namuganza Naimah (Bukamba) was substantively appointed by CAO on 20/05/2013 CR 156/2 under MIN NO. KLR/DSC/058/2013 (i)

2

New Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The LG recruited 10 SAA or Accounts Assistants for all LLG as below;

1. Kimeigeria Jude Tadeo (Bumanya) was substantively appointed by CAO on 20/07/2016

5

CR 156/1 under MIN NO.
KLR/DSC/94/2016 (xii)

2. Manti Martn
(Namugongo) was
substantively appointed
by CAO on 20/07/2016
CR 156/1 under MIN NO.
KLR/DSC/94/2016 (xiv)

3. Mulyansawo Bagenyi
(Gadumire) was
substantively appointed
by CAO on 15/12/2016
CR 156/1 under MIN NO.
KLR/DSC/99 (iv)/2016

4. Muwoza Zakayo
(Kasokwe) was
substantively appointed
by CAO on 20/07/2016
CR 156/1 under MIN NO.
KLR/DSC/94/2016 (x)

5. Ndibogeza Justine
(Nawaiwa) was
substantively appointed
by CAO on 22/03/2018
CR/D/10591 under MIN
NO. 7/KLR/DSC-August
(ii)/2017

6. Ajiambo Beatrice
(Buyinda) was
substantively appointed
by CAO on 16/01/2017
CR 156/1 under MIN NO.
KLR/DSC/101/2016

7. Kanga Constantine
(Nansololo) was
substantively appointed
by CAO on 15/09/2016
CR 156/1 under MIN NO.
KLR/DSC/97/2016 (ii)

8. Muyodi John
(Nawaikoke) was
substantively appointed
by CAO on 22/07/2010
CR 156/1 under MIN NO.
KLR/DSC/944/2010

9. Tumwebaze Gertrude
(Budomero) was

substantively appointed by CAO on 15/09/2016 CR 156/1 under MIN NO. KLR/DSC/97/2016 (i)

10. Eiru Benard (Bukamba) was substantively appointed by CAO on 25/07/2017 CR 156/1 under MIN NO. KLR/DSC-June (iii)/2017

Environment and Social Requirements

3	0	
Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	The allocated funds for Natural Resources department in the previous FY were Shs. 136,845,765 as on page 22 of the draft AFS for FY 2021/2022.
Maximum score is 4	a. Natural Resources department, score 2 or else 0	Actual funds released were Shs. 135,435,234 as indicated on page 22 of the draft AFS representing 98.9%.
3	0	
Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	The allocated funds for Community Based Services department in the previous FY were Shs. 443,885,350 as on page 22 of the draft AFS for FY 2021/2022.
Maximum score is 4	b. Community Based Services department. score 2 or else 0.	Actual funds released were Shs. 430,748,723 as indicated on page 22 of the draft AFS representing 97%.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0

LG had carried out Environment and Social Screening of DDEG projects for FY 2021/2022 evidenced by the E&S Screening Forms by the DDEO & DDCDO as follows;

- E&S Screening form for the proposed road demarcation in Kisindi Trading Centre by the DEO&DCDO on 02/06/2021

- E&S Screening form for the proposed construction of a water borne toilet at the district administration block by the DEO&DCDO on 02/06/2021

- E&S Screening form for the proposed construction of Namwiwa Sub County administration block by the DEO&DCDO on 02/06/2021

- E&S Screening form for the proposed Physical Development Plan for Kisindi Trading Centre by the DEO&DCDO on 02/06/2021

- E&S Screening form for the proposed completion of Kisindi HC II by the DEO&DCDO on 02/06/2021

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social

b. If the LG has carried out Environment and Social Impact

All DDEG projects that were implemented in the FY 2021/2022 did not require ESIs given their

<p>Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p>	<p>Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),</p>	<p>small scope according to the National Environment Act No. 05 of 2019, hence costed ESMPs were prepared and signed by the DDEO & DDCDO as detailed below;</p>
<p>Maximum score is 12</p>	<p>score 4 or 0</p>	<ul style="list-style-type: none"> • Costed ESMP of 3,000,000/= for the proposed road demarcation in Kisindi Trading Centre by the DEO&DCDO on 02/06/2021 • Costed ESMP of 2,900,000/= for the proposed construction of a water borne toilet at the district administration block by the DEO&DCDO on 02/06/2021 • Costed ESMP of 6,000,000/= for the proposed construction of Namwiwa Sub County administration block by the DEO&DCDO on 02/06/2021 • Costed ESMP of 2,000,000/= for the proposed Physical Development Plan for Kisindi Trading Centre by the DEO&DCDO on 02/06/2021 • Costed ESMP of 5,600,000/= for the proposed completion of Kisindi HC II by the DEO&DCDO on 02/06/2021

screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

implemented using the Discretionary Development Equalization Grant (DDEG);;

score 4 or 0

Discretionary Development Equalization Grant (DDEG) which were prepared and signed respectively by the DDEO & DDCCDO as follows;

Maximum score is 12

- Costed ESMP of 3,000,000/= for the proposed road demarcation in Kisindi Trading Centre by the DEO&DCDO on 02/06/2021

- Costed ESMP of 2,900,000/= for the proposed construction of a water borne toilet at the district administration block by the DEO&DCDO on 02/06/2021

- Costed ESMP of 6,000,000/= for the proposed construction of Namwiwa Sub County administration block by the DEO&DCDO on 02/06/2021

- Costed ESMP of 2,000,000/= for the proposed Physical Development Plan for Kisindi Trading Centre by the DEO&DCDO on 02/06/2021

- Costed ESMP of 5,600,000/= for the proposed completion of Kisindi HC II by the DEO&DCDO on 02/06/2021

Financial management and reporting

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.	If a LG has a clean audit opinion, score 10;	The LG had a qualified audit opinion for FY 2021/2022.	5
	Maximum score is 10	If a LG has a qualified audit opinion, score 5		
		If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0		
6	Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).	If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),	The LG provided information to PS/ST on the status of implementation of Internal Auditor General's findings for FY 2020/2021 on 23/12/2022 later than the mandatory deadline of 28/2/2022 as in the PFMA 2015 11 2g	0
	maximum score is 10	score 10 or else 0.	The IAG findings which were responded to include the following;	
			<ul style="list-style-type: none"> • Failure to account for Administrative advances amounting to Shs. 243,612,095. 	
			<ul style="list-style-type: none"> • Failure to deduct withholding tax of Shs. 1,308,513 	
			<ul style="list-style-type: none"> • Short fall in Local revenue collection amounting to Shs. 4,074,671. 	
			In addition, responses to PS/ST on findings of the Auditor General for FY 2020/2021 were belatedly submitted on 26/6/2022 beyond the	

mandatory date of 9/3/2022 contrary to provisions of PFMA 2015 section 11 2 g.

The findings of the AG which were responded to by the Accounting Officer included the following:

- Failure to utilize wage funds of Shs. 0.4 Bn.
- Under payment of Salaries for staff worth Shs. 24,360,137
- Under absorption of funds worth 1,575,930,542..

7	Evidence that the LG has submitted an annual performance contract by August 31st of the current FY Maximum Score 4	If the LG has submitted an annual performance contract by August 31st of the current FY, score 4 or else 0.	The LG initiated the submission of the Annual Performance Contract to MoFPED through pbs@ifmis.go..ug to www.budget.go.ug on 25/7/2022 as evidenced on the inventory of LGs submissions to MoFPED	4
8	Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year maximum score 4 or else 0	If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year, score 4 or else 0.	The LG initiated the submission of the Annual Performance Contract to MoFPED through pbs@ifmis.go..ug to www.budget.go.ug on 24/8/2022 as evidenced on the inventory of LGs submissions to MoFPED	4

Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year

Maximum score is 4

If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,

score 4 or else 0.

The LG submitted Quarterly Budget Performance Reports for all the quarters of the previous FY to MoFPED as evidenced below:

1st QBPR was submitted on 23/11/2021

2nd QBPR was submitted on 2/2/2022

3rd QBPR was submitted on 10/5/2022

4th QBPR was submitted late on 23/8/2022 within the recommended deadline of 24/8/2022.